# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
American Express Travel Related Services Company, Inc.	09/20/2005

# RECEIVING PARTY DATA

Name:	ame: Ameriprise Financial, Inc.	
Street Address: 200 Ameriprise Financial Center		
City: Minneapolis		
State/Country: MINNESOTA		
Postal Code: 55474		

## PROPERTY NUMBERS Total: 53

Property Type	Number
Application Number:	60169375
Application Number:	09731163
Application Number:	60165693
Application Number:	09712743
Application Number:	09940509
Application Number:	60228366
Application Number:	09974613
Application Number:	60242191
Application Number:	10142183
Application Number:	60289769
Application Number:	60289751
Application Number:	10837849
Application Number:	60309103
Application Number:	60347492
Application Number:	10209558
	DATENT

PATENT REEL: 016574 FRAME: 0265

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	Application Number:	10289599
	Application Number:	10210827
	Application Number:	10454047
	Application Number:	60439627
	Application Number:	60436355
	Application Number:	60491500
	Application Number:	60496795
	Application Number:	10710748
	Application Number:	60482849
	Application Number:	10639897
	Application Number:	11076312
	Application Number:	10918558
	Application Number:	10820199
	Application Number:	10820071
	Application Number:	60438840
	Application Number:	10756090
	Application Number:	10618014
	Application Number:	60451599
	Application Number:	10611781
	Application Number:	60460462
	Application Number:	10659123
	Application Number:	60552960
	Application Number:	60552649
	Application Number:	10817544
	Application Number:	60564727
	Application Number:	10904668
	Application Number:	60600414
	Application Number:	10907701
	Application Number:	60629670
	Application Number:	10905439
	Application Number:	60624377
	Application Number:	11160094
	Application Number:	60624676
	Application Number:	11160008
	Application Number:	10907019
•		DATENT

PATENT REEL: 016574 FRAME: 0266

Application Number:	10838114	
Application Number:	10837871	
Application Number:	10837870	

# **CORRESPONDENCE DATA**

Fax Number: (602)382-6070

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Phone: 602-382-6228

Email: hsobelman@swlaw.com

Howard Sobelman, Snell & Wilmer L.L.P. Correspondent Name: Address Line 1: One Arizona Center, 400 E. Van Buren

Address Line 4: Phoenix, ARIZONA 85004-2202

## NAME OF SUBMITTER:

Howard Sobelman

#### Total Attachments: 6

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PATENT

**REEL: 016574 FRAME: 0267** 

### PATENT ASSIGNMENT AGREEMENT

This Patent Assignment Agreement ("**Agreement**") is made and entered into on this 19<sup>th</sup> day of September 2005, by and between:

American Express Travel Related Services Company, Inc., a New York company, with a principal place of business at 200 Vesey Street, 3 World Financial Center, New York, NY, 10285, USA ("Assignor"); and

**Ameriprise Financial, Inc.**, a Delaware company, with a principal place of business at 200 Ameriprise Financial Center, Minneapolis, MN, 55474 USA ("**Assignee**").

**WHEREAS**, Assignor and Assignee have agreed to cause Assignor to assign and transfer to Assignee all of Assignor's right, title and interest in and to the patents and patent applications identified herein.

**NOW, THEREFORE**, in consideration of the sum of ten dollars (US\$10.00), the mutual agreements of the parties set forth herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, and upon the terms set forth herein, agree as follows:

- **Assignment**. Assignor does hereby irrevocably assign, sell, transfer and set over to Assignee, its successors and assigns, its entire right, title and interest in, to and under the patents and patent applications set forth on Exhibit A hereto, including all rights pursuant to 35 U.S.C. § 154, any and all letters patents issuing from continuing, counterparts, divisional and continuation-in-part applications, substitutions, reissues, extensions, renewals and reexaminations thereof, any foreign counterparts thereof, and any existing licenses that may be associated with the patents and patent applications between Assignor and affiliated and non-affiliated third parties (collectively, the "Assigned Patents") throughout the world, including any and all causes of action and rights to damages and profits, due or accrued, relating to the foregoing, including the right to sue and recover for, and the right to profits and damages due or accrued arising out of or in connection with any and all past, present or future infringements or dilutions. The assignment of the Assigned Patents in this Paragraph 1 includes all documents related to the conception, diligence and reduction to practice of the inventions claimed therein and all domestic and international patent filing documents (collectively, the "Related Documents").
- 2. <u>Successors and Assigns</u>. All of the terms and provisions of this Agreement shall be binding upon, and shall inure to the benefit of, Assignor, Assignee and their respective successors and assigns.
- 3. **<u>Further Assurances</u>**. Assignor and Assignee shall, and shall cause each of their affiliates to, from time to time, execute and deliver such additional

PATENT REEL: 016574 FRAME: 0268 instruments, documents, conveyances or assurances and take such other actions as shall be necessary, or otherwise reasonably requested by the other party, to vest in Assignee title to and enforce any rights in and to the Assigned Patents and Related Documents in accordance with this Agreement.

- 4. **Recordation**. This Agreement shall be recorded by Assignee in the U.S. Patent and Trademark Office, and foreign patent offices around the world, where it may be open for public inspection.
- 5. **Governing Law**. This Agreement shall be governed by and construed in accordance with the laws of the State of New York, USA. The parties agree that any and all disputes, claims or controversies arising out of or related to this Agreement shall be submitted for binding arbitration. Unless the parties agree otherwise, any arbitration shall take place in the State of New York, New York County, USA, and shall be administered by, and pursuant to the Commercial Arbitration Rules of the American Arbitration Association. The provisions of this paragraph may be enforced in any court of competent jurisdiction.

**IN WITNESS WHEREOF**, the parties have caused this Patent Assignment Agreement to be executed by their duly authorized representatives on the date(s) set forth below:

\* Two Signature Pages Follow \*

- 2 -

### **ASSIGNOR**

American Express Travel Related Services Company, Inc., a New York company:

By:

Stephen P. Norman Secretary

Date: September 20, 2005

Witness 1:

Printed Name:

DAVINA M. BOWMAN

Date: September 20, 2005

Witness 2:

Printed Name:

Date: September 20, 2005

On this day of September, 2005, before me, \_\_\_\_\_\_, personally appeared Stephen P. Norman of **American Express Travel Related Services Company, Inc.**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

Notary Public

DAVINA M. BOMMAN
Notary Public State of New York
No. 01B06049977
Qualified in New York County
Commission Expires Oct. 30, 2006

ASSIGNEE Ameriprise Financial, Inc., a Delaware company:  By:	Witness 1: MBdwmOu  Printed Name:  DAVINA M. BOWMAN
Printed Name:	Date: September <u>30</u> , 2005
Stephen P. Norman Assistant Secretary	Date: September 9 - , 2003
Date: September <u>20</u> , 2005	Witness 2:

On this day of September, 2005, before me, \_\_\_\_\_\_, personally appeared Stephen P. Norman of **Ameriprise Financial, Inc.**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Printed Name:

KAMEUS ORTIZ

Date: September <u>20</u>, 2005

Witness my hand and official seal.

Notary Public

DAVINA M. BOWMAN
Notary Public State of New York
No. 01B06049977
Qualified in New York County
Commission Expires Oct. 30, 2006

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# Exhibit A

<u>Appl.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Issue No.</u>	Foreign Counterparts
60/169,375	12/7/1999		
09/731,163	12/6/2000		PCT: Appl. No. PCT/US00/33023, filed 12/6/2000
60/165,693	11/16/1999		
09/712,743	11/14/2000		PCT: Appl. No. PCT/US00/31396, filed 11/15/2000 Argentina: Appl. No. P 00 01 06017, filed 11/15/2000 GCC: Appl. No. GCC/P/2000/1021, filed 11/18/2000 Brazil: Appl. No. PI 0015629-9, filed 5/16/2002 Mexico: Appl. No. PZ/A/2002/004887, filed 5/15/2002 China: Appl. No. 00818387.2, filed 7/15/2002 EPO: Appl. No. 00986219.4, filed 5/23/2002 India: Appl. No. 513/DEL/2002, filed 7/22/2002 Japan: Appl. No. 2001-539195, filed 5/16/2002 South Korea: Appl. No. 10-2002-7006281, filed 5/16/2002 Australia: Appl. No. 22498/01, filed 5/28/2002 Canada: Appl. No. 2,391,557, filed 5/14/2002 Singapore: Appl. No. 03102519.5, filed 4/9/2003 South Africa: Appl. No. 2002/3922, filed 5/16/2002 Indexes: Appl. No. W-00200201367, filed 6/13/2002
09/940,509	8/27/2001		Indonesia: Appl. No. W-00200201367, filed 6/13/2002
60/228,366	8/28/2000		
09/974,613	10/10/2001	<del>" 1.</del>	PCT: Appl. No. PCT/US01/31350, filed 10/9/2001
60/242,191	10/20/2000		
10/142,183	5/9/2002		<b>PCT:</b> Appl. No. PCT/US02/14676, filed 5/9/2002; Pub. No. WO 02/091128A2
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10/210,827	7/31/2002		PCT: Appl. No. PCT/US02/24315, filed 7/31/2002; Pub. No. WO 03/012594A2  Argentina: Appl. No. P020102910, filed 7/31/2002  Australia: Appl. No. 2002313715, filed 7/31/2002  Canada: Appl. No. 2,455,473, filed 7/31/2002  EPO: Appl. No. 02753425.4, filed 7/31/2002  Hong Kong: Appl. No. 04103253.2, filed 5/10/2004  Japan: Appl. No. 2003-517713, filed 7/31/2002  South Korea: Appl. No. 10-2004-7001582, filed 1/31/2004  India: Appl. No. 486/DELNP/2004, filed 7/31/2002  Singapore: Appl. No. 2004/0703, filed 7/31/2002  South Africa: Appl. No. 2004/0703, filed 7/31/2002  GCC: Appl. No. GCC/P/2002/2150, filed 7/29/2002
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<u>Appl.</u> <u>Number</u>	Filing Date	Issue No.	Foreign Counterparts
11/076,312	3/9/2005		
10/918,558	8/13/2004		
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