

WEMMH Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 8/30/2008)

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Frank A. Chan

Execution Date(s) January 24, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: Roche Diagnostics Operatoins, Inc.

Internal Address: \_\_\_\_\_

Street Address: 9115 Hague Road

City: Indianapolis

State: IN

Country: USA

Zip: 46250

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☒ This document was filed together with a new application on 02/07/2005.  
See document \*102943889A\* Notice of Non-Recordation of Document.

A. Patent Application No.(s)

11/052,610

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Elizabeth A. Shuster, Reg. No. 52,672

Internal Address: Woodard, Emhardt, Moriarty,

McNett & Henry, LLP

Street Address: Bank One Center/Tower

111 Monument Circle, Suite 3700

City: Indianapolis

State: Indiana

Zip: 46204-5137

Phone Number: (317) 634-3456

Fax Number: (317) 637-7561

Email Address: \_\_\_\_\_

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 1.21(h) & 3.41)

\$ 40

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
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## 8. Payment Information

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## 9. Signature:

Elizabeth A. Shuster  
Signature

July 27, 2005

Date

Elizabeth A. Shuster

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Document to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
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Name (Print/Type) Elizabeth A. Shuster

Signature Elizabeth A. Shuster

Date

July 27, 2005

007404-000686.BSHUSTER.gsk.327960

02-18-2005



102943889

Form PTO-1595 (Rev. 08/04) OMB No. 0851-0027 (exp. 6/30/2005)		REC		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Director of the U.S. Patent and Trademark Office: Please record the document or the new address(es) below.					
1. Name of conveying party(ies)/Execution Date(s): Frank A. Chan		2. Name and address of receiving party(ies): Name: Roche Diagnostics Operations, Inc. Internal Address: _____			
Execution Date: January 24, 2005		Street Address: 9115 Hague Road			
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		City: Indianapolis State: IN Zip: 46250			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other:		Country: USA			
4. Application or Patent number(s): A. Patent Application No.(s)		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
		B. Patent No.(s)			
Additional number(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Elizabeth A. Shuster, Reg. No. 52,672  Woodard, Emhardt, Moriarty, McNett & Henry LLP 111 Monument Circle, Suite 3700 Indianapolis, IN 46204  Phone: (317) 634-3456 Fax: (317) 637-7561  Email: eshuster@uspatent.com		6. Total number of applications and patents involved: 1			
		7. Total fee (37 CFR 1.21(h) and 3.41) \$ 40.00 <input checked="" type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title.)			
		8. Payment information: a. Credit Card Last 4 Numbers: 1193 Expiration Date: 04/06 b. Deposit account number: Authorized User Name:			
9. Signature:  Elizabeth A. Shuster Name of Person Signing					
				02/07/2005 Date	
Total number of pages including cover sheet, attachments, and document:					

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Name (Print/Type)	Elizabeth A. Shuster		
Signature		Date	February 7, 2005

02/11/2005 FFANAEIA 00000010 11052610

05 FC:8021

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PATENT  
REEL: 016577 FRAME: 0512

007404-000686.ESHUSTER.320771

RDID-04152-US

**ASSIGNMENT**

WHEREAS, Frank A. Chan, 1514 Harrison Court, Sunnyvale, California 94087 hereafter referred to as "Applicant," has invented certain new and useful improvements in **LANCET PROTECTIVE CAP**, hereafter referred to as the "Application," and

WHEREAS, Roche Diagnostics Operations, Inc., a corporation of Delaware, located at 9115 Hague Road, Indianapolis, IN 46250; herein referred to "Assignee", desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said Application, and in, to and under said Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the Applicant, by these presents do sell, assign and transfer to Assignee all of the entire right, title, and interest in, to and under said Invention disclosed, described, and claimed or intended so to be in said Application, and in, to and under said Application and any and all other applications thereon and arising therefrom, including any and all non-provisional applications claiming priority thereon and divisions, continuations, and continuations-in-part, thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof. Applicant agrees to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee. Applicant hereby authorizes and requests the firm of Woodard, Emhardt, Moriarty, McNett & Henry LLP of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (**Serial No. \_\_\_\_\_, Filed \_\_\_\_\_, 2005**) the date and serial number of the U.S. patent application when officially known, and Applicant hereby authorizes and requests the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

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Executed on the dates below shown.

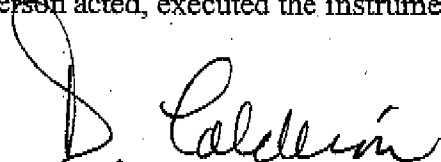


Frank A. Chan

Date January 24, 2005STATE OF CALIFORNIA )  
 ) SS:  
COUNTY OF Santa Clara )On January 24, 2005 (date), before me, D. Calderon Notary Public (name and title of officer (e.g., "Jane Doe, Notary Public")) personally appeared Frank A. Chan☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to me within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

  
Signature of Notary Public