

In place of Form PTO-1595

Docket No. **AUS920050154US1**

**RECORDATION FORM COVER SHEET
PATENTS**

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 1. Keys D. Botzum 2. Ching_Yun Chao 3. Glyn Normington Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Execution Date: <u>07/01/2005</u> <u>07/05/2005</u> <u>06/30/2005</u>
2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Road Armonk, New York 10504	3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No. <u>11/176813</u> File Date: <u>07/07/2005</u>	

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark S. Walker
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, TX 78758
512-823-5884
Customer No.: **48,916**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robin Zelent
Name of Person Signing

Robin Zelent
Signature

07/27/2005
Date

Total number of pages including cover sheet 4

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AUS920050154US1

ASSIGNMENT

Whereas, we,

- (1) **KEYS D. BOTZUM**
9031 Moving Water Lane
Columbia, Maryland 21046
- (2) **CHING-YUN CHAO**
11518 Rustic Rock Drive
Austin, Texas 78750
- (3) **GLYN NORMINGTON**
18, Colley Close
Winchester, Hampshire SO23 7ES
United Kingdom

have invented certain improvements in


Workstation Application Server Programming Protection via Classloader Policy Based Visibility Control

and executed, respectively, a United States patent application therefore on

(Dates Inventors Signed Declaration) (1) July 1, (2005); (2) _____, (2005); and
(3) _____, (2005);

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Columbia, MD on July 1, 2005.
(City) (Date)

KEYS D. BOTZUM

(2) Signed at _____ on _____, 2005.
(City) (Date)

CHING-YUN CHAO

(3) Signed at _____ on _____, 2005.
(City) (Date)

GLYN NORMINGTON

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and executed, respectively, a United States patent application therefore on

(Dates Inventors Signed Declaration) (1) _____, (2005); (2) July 5, (2005); and
(3) _____, (2005);

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

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(1) Signed at Austin on _____, 2005.
(City) (Date)

KEYS D. BOTZUM

(2) Signed at Austin on July 5, 2005.
(City) (Date)

Ching-Yun Chao
CHING-YUN CHAO

(3) Signed at Austin on _____, 2005.
(City) (Date)

GLYN NORMINGTON

ASSIGNMENT

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and executed, respectively, a United States patent application therefore on

(Dates Inventors Signed Declaration) (1) _____, (2005); (2) _____, (2005); and
(3) 30 June, (2005);

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

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(1) Signed at _____ on _____, 2005.
(City) (Date)

KEYS D. BOTZUM

(2) Signed at _____ on _____, 2005.
(City) (Date)

CHING-YUN CHAO

(3) Signed at Winchester on 30 June, 2005.
(City) (Date)

G. Normington

GLYN NORMINGTON