	RECORDATION I	05-24-200	)5 TS	ONLY	PTC			
	DIRECTOR FOR PATENTS				oje Ti			
,	PO BOX 1450 ALEXANDRIA, VA 22313-1450							
(	1. Total number of pages including cover	sneet, 203008365	8		130			
•	2. Name of Conveying Party(ies) and Exec	cution Date(s) of Docum	ment(s)	ck here if additional name(s	s) attached			
,	Name(s): (1) Dennis M. Klinman; (2) Daniela Verthelyi; (3) Ken Ishii; (4) James J. Mond; and							
	(5) Mayda Gursel							
	Execution Date(s): (1) 04/22/2002; (2) 04/22/2002; (3) 04/22/2002; (4) 04/16/2002; and (5) 04/22/2002							
	3. Name and address of receiving party  Check here if additional name(s) & address(es) are attached							
	Name Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, The							
	Address National Institutes of Health							
	Office of Technology Transfer, Suite 325							
	6011 Executive Boulevard							
	City Rockville State/Country MD Zip 20852							
	4. Nature of Conveyance							
	Assignment							
5. Total number of applications and patents involved: One								
6. Total Fee Enclosed (37 C.F.R. § 3.41): \$40.00								
7. Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550								
8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the								
Patent Number. Do not enter more than one number for the same patent.								
A. This document is being filed with a new application. Execution dates are:								
	(1) <u>4/22/2002</u> ; (2); <u>4/22/2002</u> ; (3) <u>4/22/2002</u> ; (4) <u>4/16/2002</u> ; and (5) <u>4/22/2002</u> B.  Patent Application No.(s) or Patent No.(s):							
	Check here if additional numbers are attached							
	9. Correspondent's name, address, and tele	ephone number						
	Gwynedd Warren, Ph.D.							
	Klarquist Sparkman, LLP							
	One World Trade Center, Suite 1600							
	121 S.W. Salmon Street							
	Portland, Oregon 97204-2988							
	Telephone: 503-226-7391 Facsimile: 503-228-9446							
ı			4.					
10. Please return the attached postcard to confirm that these items have been received.								
	11. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
	correct una u		copy of the ort	Smar accument.	5			
	Gwynedd Warren, Ph.D.	Munida O	Sku	May 17, 2005	5			
	Name of Person Signing	Signature		Date	;			

cc: Client
Docketing
NIH Paralegal

PATENT REEL: 016581 FRAME: 0201

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## ASSIGNMENT

WHEREAS, we, Dennis Klinman, of 2 Candlelight Court, Potomac, Maryland, a citizen the United States of America, Daniela Verthelyi, of 11615 Regency Drive, Potomac, Maryland, a citizen of Argentina, and Ken Ishii, of 257 Congressional Lane, No. 120, Rockville, Maryland, a citizen of Japan, employees of the Department of Health and Human Services at the time the invention was made, have invented OLIGODEOXYNUCLEOTIDE AND ITS USE TO INDUCE AN IMMUNE RESPONSE for which the following patent applications have been filed:

- U.S. Provisional Patent Application No. 60/128,898 filed on April 12, 1999;
- PCT Application No. PCT/US00/09839 filed on April 12, 2000;
- U.S. Application No. 09/958,713 filed on October 11, 2001; and
- U.S. Application No. 10/068,160 filed on February 6, 2002;

all four of which applications are collectively referred to herein as "the patent applications."

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known for and in consideration of the premises and other valuable considerations, we the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT), and their successors, the full and exclusive right, title, and interest in the invention, patent application and patent rights throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file the invention described in the aforesaid application for Letters Patent of the United States of America, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the applications as provided by United States Law and the Paris Convention, or of any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, we hereby authorize and request the Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as an assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by us, had this assignment not been made;

AND, we hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by us;

AND, we hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which

ASSIGNMENT - Page 1 of 3

may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon our heirs, legal representatives, administrators and assigns, and we shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:	4-22-	n	2
Dawa.	1 22-	•	

STATE OF M

COUNTY OF MONTGOMEN

This 2 2 day of PAT (2002, before me personally came the above-named Dennis Klinman, who executed the foregoing Assignment in my presence, and who ack flowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for

Daniela Verthelyi

My commission expires:

[SEAL]

Dated: 4-22-0 Z

STATE OF

COUNTY OF NONTamen

This 2 Zday of April 2002, before me personally came the above-named Daniela Verthelyi, who executed the foregoing Assignment in my presence, and who acknowledged to me that

he executed the same of his own free will for the purposes set forth therein.

Notary Public for

My commission expires:

ASSIGNMENT - Page 2 of 3

Dated: 4-22-0 Z

Ken Ishii

STATE OF

COUNTY OF M

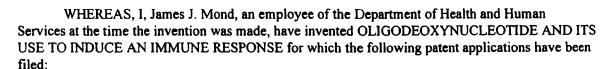
This 22day of 40rt 2002, before me personally came the above-named Ken Ishii, who executed the foregoing Assignment in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for

My commission expires:

[SEAL]

## **ASSIGNMENT**



- U.S. Provisional Patent Application No. 60/128,898 filed on April 12, 1999;
- U.S. Application No. 09/958,713 filed on October 11, 2001; and
- U.S. Application No. 10/068,160 filed on February 6, 2002;

all three of which applications are collectively referred to herein as "the patent applications."

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known for and in consideration of the premises and other valuable considerations, I the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT), and their successors, the full and exclusive right, title, and interest in the invention, patent application and patent rights throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file the invention described in the aforesaid application for Letters Patent of the United States of America, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the applications as provided by United States Law and the Paris Convention, or of any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, I hereby authorize and request the Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as an assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by me, had this assignment not been made;

AND, I hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by me;

AND, I hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence

ASSIGNMENT - Page 1 of 2

for interference purposes, of the other proceedings, whenever requested, to the interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my heirs, legal representatives, administrators and assigns, and I shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

I hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Dated: 4 16 03

This day of \_\_\_\_\_\_, 2002, before me personally came the above-named James J. Mond, who executed the foregoing Assignment in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

[SEAL]

## ASSIGNMENT

WHEREAS, 1, Mayda Gursel, of 257 Congressional Lane, No. 508, Rockville, Maryland, a citizen of Turkey, an employee of the Department of Health and Human Services at the time the invention was made, have invented OLIGODEOXYNUCLEOTIDE AND ITS USE TO INDUCE AN IMMUNE RESPONSE for which the following patent applications have been filed:

U.S. Application No. 10/068,160 filed on February 6, 2002;

which application is referred to herein as "the patent application."

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known for and in consideration of the premises and other valuable considerations, I the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT), and their successors, the full and exclusive right, title, and interest in the invention, patent application and patent rights throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file the invention described in the aforesaid application for Letters Patent of the United States of America, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the application as provided by United States Law and the Paris Convention, or of any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, I hereby authorize and request the Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as an assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by me, had this assignment not been made;

AND, I hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by me;

AND, I hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers

ASSIGNMENT - Page 1 of 2

PATENT REEL: 016581 FRAME: 0207



required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my heirs, legal representatives, administrators and assigns, and I shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

I hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignor has signed her name on the date indicated.

Dated: 4-72-02

RECORDED: 05/17/2005

In I , 2002, before me personally came the above-pamed Mayda Gursel, who executed the foregoing Assignment in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for

My commission expires!

[SEAL]

ASSIGNMENT - Page 2 of 2

**PATENT** REEL: 016581 FRAME: 0208