

05-25-2005



Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
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*S/23/05*

**103009679  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Hassan A. Serhan (04/07/2005), Michael A. Slivka (04/06/2005), Mathew Hannen (04/06/2005), Peter Newton (04/07/2005), and Michael Nilsson (04/21/2005)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: DePuy Spine, Inc.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

325 Paramount Drive

City: Raynham

State: Massachusetts

Country: United States of America Zip: 02767

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of Conveyance:**

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

A. Patent Application No.(s)  
10/907,233

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Lisa J. Michaud  
NUTTER MCCLENNEN & FISH LLP

Internal Address: Atty. Dkt.: 101896-0326

Street Address: World Trade Center West  
155 Seaport Boulevard

City: Boston

State: MA Zip: 02210-2604

Phone Number: (617) 439-2000

Fax Number: (617) 310-9000

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
- Authorized to be charged by credit card
  - Authorized to be charged to deposit account
  - Enclosed
  - None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

**9. Signature:**

May 19, 2005  
Date

Lisa J. Michaud - 44,238  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

**Recordation Form Cover Sheet**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 19, 2005 Signature: [Signature] (Lisa J. Michaud)

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05/24/2005 610411 0000156 10907233

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01 FC:0021

**ASSIGNMENT**

We, Hassan A. Serhan of S. Easton, Massachusetts, Michael A. Slivka of Taunton, Massachusetts, Mathew Hannen of Boston, Massachusetts, Peter Newton of San Diego, California, and Michael Nilsson of Cleveland Heights, Ohio, in consideration of One Dollar and other valuable consideration paid to us by

**DEPUY SPINE, INC.**

a corporation of Ohio, having its principal place of business at **325 Paramount Drive, Raynham, MA 02767-0350**, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

**DEPUY SPINE, INC.**

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

**LOW PROFILE SPINAL TETHERING SYSTEMS**

described in U.S. Application executed by us on even date herewith and filed in the United States Patent and Trademark Office on **March 24, 2005**, identified as attorney docket number **Atty. Docket No.: 101896-326 (DEP5470USNP3)** and assigned application serial no. **10/907,233**, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

In testimony of which I have executed this Assignment as an instrument under seal on the date indicated next to my name.

WITNESS my hand and seal this 7 day of April, 2005.

By: [Signature]  
Hassan A. Serhan

NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this 7<sup>th</sup> day of April, 2005 before me, the undersigned notary public, personally appeared Hassan A. Serhan (name of document signer), proved to me through satisfactory evidence of identification, which were personally known to me to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that he signed it voluntarily for its stated purpose.

[Signature] (official signature and seal of notary)



AMY SCHICK  
Notary Public  
Commonwealth of Massachusetts  
My Commission Expires January 21, 2011

WITNESS my hand and seal this 6<sup>th</sup> day of April, 2005.

By: [Signature]  
Michael A. Slivka

NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this 6<sup>th</sup> day of April, 2005 before me, the undersigned notary public, personally appeared Michael A. Slivka (name of document signer), proved to me through satisfactory evidence of identification, which were personally known to me to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that she signed it voluntarily for its stated purpose.

[Signature] (official signature and seal of notary)



AMY SCHICK  
Notary Public  
Commonwealth of Massachusetts  
My Commission Expires January 21, 2011

WITNESS my hand and seal this 6 day of April, 2005.

By: *Mathew Hannen*  
Mathew Hannen

NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this 6<sup>th</sup> day of April, 2005 before me, the undersigned notary public, personally appeared Mathew Hannen (name of document signer), proved to me through satisfactory evidence of identification, which were personally known to me to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that he signed it voluntarily for its stated purpose.

*Amy Schick* (official signature and seal of notary)



AMY SCHICK  
Notary Public  
Commonwealth of Massachusetts  
My Commission Expires January 21, 2011

WITNESS my hand and seal this 7<sup>th</sup> day of April, 2005.

By: *Peter Newton*  
Peter Newton

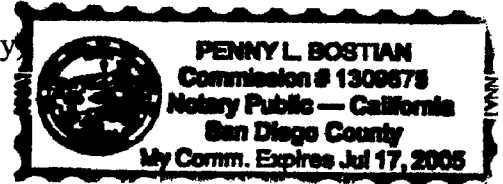
NOTARIAL SEAL

State/Commonwealth: California

County of: San Diego

On this 7<sup>th</sup> day of April, 2005 before me, the undersigned notary public, personally appeared Peter Newton (name of document signer), proved to me through satisfactory evidence of identification, which were know to me, to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that he signed it voluntarily for its stated purpose.

*Penny L. Bostian* (official signature and seal of notary)



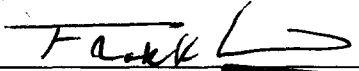
WITNESS my hand and seal this 21 day of April, 2005.

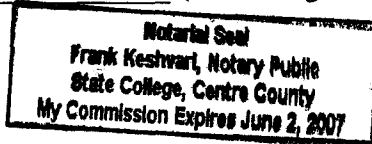
By:   
Michael Nilsson

State/Commonwealth: PA NOTARIAL SEAL

County of: Centre

On this 21 day of April, 2005 before me, the undersigned notary public, personally appeared Michael Nilsson (name of document signer), proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that he signed it voluntarily for its stated purpose.

 (official signature and seal of notary)



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