

Form PTO-1595 (Rev. 03/05)  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
<b>1. Name of conveying party(ies)</b> HC Chem Research & Service Corporation  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>USA as Represented by the Administrator of the NASA</u> Internal Address: _____  Street Address: <u>300 E Street SW</u>  City: <u>Washington</u> State: <u>DC</u> Country: <u>USA</u> Zip: <u>20546</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>04/12/2004</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>10/868,450</u> B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Robert M Padilla, NASA Ames Research Center</u> Internal Address: _____  Street Address: <u>Mail Stop 202A-4</u>  City: <u>Moffett Field</u> State: <u>CA</u> Zip: <u>94035-1000</u> Phone Number: <u>(650) 604-0887</u> Fax Number: <u>(650) 604-2767</u> Email Address: <u>vkent@mail.arc.nasa.gov</u>	<b>6. Total number of applications and patents involved:</b> <u>1</u> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> <u>\$40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title) <b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>14-0116</u> Authorized User Name _____
<b>9. Signature:</b> <u>John F. Schipper</u> <u>02 August 2005</u> Signature Date John F. Schipper Name of Person Signing Total number of pages including cover sheet, attachments, and documents: <u>5</u>	

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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PATENT  
REEL: 016611 FRAME: 0280



National  
Aeronautics and  
Space  
Administration

Patent Application

### Assignment to the Government and License to the Small Entity Contractor

Contractor/Grantee: HC Chem Research & Service Corporation

Incorporated Under the Laws of: \_\_\_\_\_

Address: 15221 Skyview Drive, San Jose, California 95132

WHEREAS the above named Contractor/Grantee (Hereinafter the Contractor), having elected not to retain title, or hereby elects not to retain title, under the provisions of 35 U.S.C. 202 to a SUBJECT INVENTION made in the performance of work under a contract/grant (Hereinafter a contract) between the Contractor and the Government of the United States of America (Hereinafter the Government), the SUBJECT INVENTION and contract being identified as:

Title of Invention: Secondary Polymer Layered Impregnated Tile

Inventors:

1. <u>Huy K. Tran</u>	Employer <u>NASA Ames Research Center</u>
2. <u>Daniel J. Rasky</u>	Employer <u>NASA Ames Research Center</u>
3. <u>Christine E. Szalai</u>	Employer <u>NASA Ames Research Center</u>
4. <u>Joseph A. Carroll</u>	Employer <u>Tether Applications</u>
5. <u>Ming-ta S. Hsu</u>	Employer <u>HC Chem Research &amp; Service Corporation</u>

This assignment is applicable to INVENTORS (Check appropriate),

☐ (1), ☐ (2), ☐ (3), ☐ (4), ☒ (5);

Contract No. NAS2-14080

NASA Case No. ARC-14165-1

Contractor Case No. \_\_\_\_\_

Application Executed on 04/12/2004

Application Serial No. 10/868,450

Contractor is a (Check one):

- ☒ Small Business  
☐ College or University  
☐ Nonprofit Organization

### Assignment to the Government

WHEREAS, the Government as represented by the Administrator of the National Aeronautics and Space Administration (NASA), is desirous of acquiring an assignment of the SUBJECT INVENTION identified above and disclosed in said application and other rights and benefits herein granted;

**Assignment to the Government (continued)**

NOW THEREFORE, in consideration of the contract and other benefits attributable to the contractual relationship, the Contractor hereby assigns and transfers to the Government the full and exclusive right in and to said SUBJECT INVENTION within the United States of America, its territories and possession, and the entire right, title, and interest in and to said patent application, and any applications continuing therefrom and such Letters Patent as may issue therefrom.

The Contractor also grants to the Government, an assignment of the foreign rights in and to the said invention including rights of priority under the International Convention of Paris (1883), as amended.

The Contractor hereby covenants that it has the right to grant the foregoing assignments.

The Contractor further agrees to make, execute and/or deliver to the Government, upon request, but at the expense of the Government, any and all data, drawings, notes, reports, information, papers, documents, affidavits, statements, or other items necessary in the prosecution of said application and of any applications continuing therefrom or applications for reissue or reexamination of said Letters Patent, or of any foreign patent application or any application continuing therefrom, and to assist the Government in every way in protecting said invention as may be requested, provided that any expense arising through such assistance will be paid by the Government.

**License to the Contractor**

FURTHER, the Contractor hereby retains, pursuant to the Federal Acquisition Regulation clause 52.227-11 entitled "Patent Rights - Retention by the Contractor (Short Form)," a revocable, nonexclusive, royalty-free license throughout the world in the SUBJECT INVENTION in each patent application filed in any country on the invention and in any resulting patent in which the Government acquires title. The license extends to the Contractor's domestic subsidiaries and affiliates, if any, within the corporate structure of which the Contractor is a part and includes the right to grant sublicenses of the same scope to the extent the Contractor was legally obligated to do so at the time the contract was awarded. The license is transferable only with the approval of the National Aeronautics and Space Administration except when transferred to the successor of the part of the Contractor's business to which the invention pertains. The Contractor's domestic license may be revoked or modified by the National Aeronautics and Space Administration to the extent necessary to achieve the expeditious practical application of the SUBJECT INVENTION pursuant to an application for an exclusive license submitted in accordance with the Department of Commerce Patent Licensing Regulations. This license will not be revoked in that field of use or the geographical areas in which the Contractor has achieved practical application and continues to make the benefits of the invention reasonably accessible to the public. The license in any foreign country may be revoked or modified at the discretion of the National Aeronautics and Space Administration to the extent the Contractor, its licensees, or its domestic subsidiaries or affiliates have failed to achieve practical application in that foreign country. Before revocation or modification of the license, the National Aeronautics and Space Administration will furnish the Contractor a written notice of its intention to revoke or modify the license, and the Contractor will be allowed 30 days (or such other time as may be authorized by the National Aeronautics and Space Administration for good cause shown by the Contractor) after the notice to show cause why the license should not be revoked or modified. The Contractor has the right to appeal, in accordance with the procedures set forth in the Department of Commerce Patent Licensing Regulations, from any decision concerning the revocation or modification of its license.

The Contractor hereby executes this instrument by its legally authorized representative on

4-12-04

Name Ming-ta S. Hsu

Signature Ming-ta S. Hsu ~~4-12-04~~

Title President

(Corporate Office)

RECORDED: 08/02/2005

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