

RECORDATION FORM COVER SHEET PATENTS ONLY

Docket No. 3588.1005-002

To the Director of the U S Patent and Trademark Office. Please record the attached documents or the new address(es) below.

1 Name of conveying party(ies)/Execution Date(s).

(1) ANDY H. LEVINE
(2) DAVID A. MELANSON
(3) RONALD B. LAMPORT

Execution Date: (1) 3/18/05; (2) 3/19/05; (3) 3/18/05

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance.

[X] Assignment [] Merger
[] Security Agreement [] Change of Name
[] Other Corrective Cover Sheet

2. Name and address of receiving party(ies)

Name: GI DYNAMICS, INC.

Internal Address: _____

Street Address: 313 PLEASANT STREET

City: WATERTOWN

State: MA

Country: USA Zip: 02472

Additional name(s) & address(es) attached? [] Yes [X] No

4 Application number(s) or patent number(s)

A. Parent Application No.(s)
11/057,861

Additional numbers attached? [] Yes [X] No

[] This document is being filed together with a new application.

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JAMES M. SMITH, ESQ.

Internal Address: _____

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Street Address: 530 VIRGINIA ROAD, P.O. BOX 9133

City: CONCORD State: MA ZIP: 01742-9133

Phone No. 978-341-0036 Fax No 978-341-0136

Email Address: JIM.SMITH@HBSR.COM

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40

[] Enclosed
[X] Authorization to charge deposit account number 08-0380
[X] Previously submitted - Doc. ID No. 700170112
[X] Authorized to charge any deficiencies or credit any overpayment to deposit account number 08-0380

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8. Ralph Tremontozzi  8/9/2005
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: [5]

CH \$40.00 080380 11057861

04/12/2005

RECORDATION FORM COVER SHEET PATENTS ONLY

Docket No. 3588.1005-092

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents at the new address(es) below

<p>1 Name of conveying party(ies)/Execution Date(s)</p> <p>(1) ANDY H. LEVINE (2) DAVID A. MELANSON (3) RONALD B. LAMPORT</p> <p>Execution Date (1) 3/18/05, (2) 3/19/05, (3) 3/18/05</p>	<p>2 Name and address of receiving party(ies)</p> <p>Name <u>GI DYNAMICS, INC.</u></p> <p>Internal Address _____</p>
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<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p>	<p>Street Address <u>313 PLEASANT STREET</u></p> <p>City: <u>WATERTOWN</u></p> <p>State: <u>MA</u></p> <p>Country <u>USA</u> Zip <u>02472</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>4 Application number(s) or patent number(s)</p> <p>A. Patent Application No (s) <u>11/057,861</u></p>	<p><input type="checkbox"/> This document is being filed together with a new application</p> <p>B. Patent No.(s)</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5 Name and address of party to whom correspondence concerning document should be mailed.</p> <p>Name <u>JAMES M. SMITH, ESQ.</u></p> <p>Internal Address: _____</p> <p><u>HAMILTON, BROOK, SMITH & REYNOLDS, P.C.</u></p> <p>Street Address <u>530 VIRGINIA ROAD, P.O. BOX 9133</u></p> <p>City <u>CONCORD</u> State <u>MA</u> Zip <u>01742-9133</u></p> <p>Phone No <u>978-341-0036</u> Fax No. <u>978-341-0136</u></p> <p>Email address <u>JIM SMITH@HBSR.COM</u></p>	<p>6 Total number of applications and patents involved <input type="checkbox"/> 1</p> <p>7. Total Fee (37 CFR 1.41) \$ <u>40</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorization to charge deposit account number US-0380</p> <p><input type="checkbox"/> Previously submitted - Doc ID No []</p> <p><input checked="" type="checkbox"/> Authorized to charge any deficiencies or credit any overpayment to deposit account number US-0380</p> <p>Do not attach a copy of this page if paying by deposit account and filing via facsimile</p> <p>Attach a copy of this page if paying by deposit account and filing via mail</p>
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<p>8. <u>Troy T Svihl, Esq.</u> Name of Person Signing</p>	<p><u><i>Troy T Svihl</i></u> Signature</p>	<p><u>April 12, 2005</u> Date</p>
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Total number of pages including cover sheet, attachments, and documents: [4]

FORM 100-000-00000001-01 (03/07/04)

Docket No. 3588.1005-002

JointASSIGNMENT*RONALD BLAMPART*

WHEREAS, we, **Andy H. Levine, David A. Melanson and Ron Lamport**, have invented a certain improvement in **Methods and Devices for Placing a Gastrointestinal Sleeve** described in an application for Patent,

- the specification of which is about to be filed in the United States Patent Office (*use for utility (37 CFR § 1.53(b)) and design filings only*);
- is about to be filed in the United States Patent Office as a Provisional Application;
- the specification of which is United States Application No. **11/057,861**, filed **February 14, 2005**;
- the specification of which is a Patent Cooperation Treaty Application, International Application No. [], filed [], which designates the United States of America [and assigned United States Application No. []];
- which was patented under United States Patent No. [].

WHEREAS, **GI Dynamics, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **313 Pleasant Street, Watertown, Massachusetts 02472** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

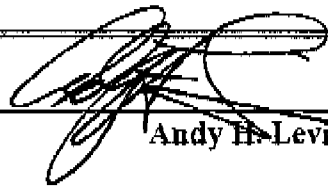
PATENT
REEL: 016631 FRAME: 0927

Docket No. 3588.1005-002

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.


Inventor's Signature: 
Andy H. Levine

State/Commonwealth of Massachusetts
County of Middlesex

On this 18th day of March, 2005, before me, the undersigned notary public, personally appeared **Andy H. Levine**,

- personally known to me, or
- proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)  Notary Public
Joan De Resma (print name)
My Commission expires 7/25/08

Docket No. 3588.1005-002

Inventor's Signature: David A. Melanson
David A. Melanson

State/Commonwealth

of ~~New Hampshire~~ Massachusetts

County of ~~Hillsborough~~ Middlesex

On this 19 day of March, 2005, before me, the undersigned notary public, personally appeared **David A. Melanson**,

personally known to me, or

proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Jean Des Resnicow Notary Public
Jean Des Resnicow (print name)
My Commission expires 7/25/08

Inventor's Signature: Ronald B. Lampert
Ron Lampert RONALD B. LAMPERT

State/Commonwealth

of ~~New Hampshire~~ Massachusetts

County of ~~Hillsborough~~ Middlesex

On this 19 day of March, 2005, before me, the undersigned notary public, personally appeared **Ron Lampert**,

personally known to me, or

proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Jean Des Resnicow Notary Public
Jean Des Resnicow (print name)
My Commission expires 7/25/08