

Docket No.: 2055.031

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
POBA/REV03

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1. Name of conveying party(ies):

Edwin Yue Bun PUN
Ke LIU

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

2. Name and address of receiving party(ies):

Name: CITY UNIVERSITY OF HONG KONG

Address: Tat Chee Avenue

City: Kowloon

State/Prov.:

Country: Hong Kong

ZIP:

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

11/132,359

05/18/2005

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jill M. Breedlove, Esq.

Registration No. 32,684

Address: Heslin Rothenberg Farley & Mesiti P.C.

5 Columbia Circle

City: Albany

State/Prov.: NY

Country: U.S.A.

ZIP: 12205

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jill M. Breedlove, Reg. No. 32,684

Name of Person Signing

Signature

August 10, 2005

Date

4

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PATENT

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TP538 f

ASSIGNMENT

WHEREAS, We,

Edwin Yue Bun PUN, a citizen of the United Kingdom, residing at 42A, The Waterfront, 1 Austin Road West, Tsim Sha Tsui West, Kowloon, Hong Kong, China; and

Ke LIU, a Chinese citizen, residing at Tat Chee Avenue, Kowloon, Hong Kong, China;

(collectively INVENTORS) have invented certain new and useful improvements in A METHOD FOR FABRICATING BURIED ION-EXCHANGED WAVEGUIDES USING FIELD-ASSISTED ANNEALING for which We have executed an application for Letters Patent of the United States, U.S. Serial No. 11/132,359, filed May 18, 2005; and

WHEREAS, CITY UNIVERSITY OF HONG KONG, having offices at Tat Chee Avenue, Kowloon, Hong Kong, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, We the said INVENTORS have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto CITY UNIVERSITY OF HONG KONG, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to CITY UNIVERSITY OF HONG KONG, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.


AND WE HEREBY further covenant and agree that We will communicate to CITY UNIVERSITY OF HONG KONG, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and generally do everything possible to aid CITY UNIVERSITY OF HONG KONG, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

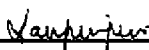
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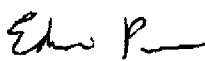
Lloyd Wise & CO, HK 2868 5438

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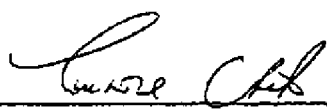
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30th day of June
2005.

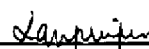

Witness


Witness


Edwin Yue Bun PUN

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30th day of June
2005.


Witness


Witness


Ke LIU