

Form PTO-1595 (Rev. 03/05)
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John Bahaychick, James R. Anglin, Patricia A. Stewart, Thomas A. Ferrazzoli, Larry F. Wiesorman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

7/1/05, 7/5/05, 7/7/05, 8/2/05

Execution Date(s)

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Alcoa Inc.

Internal Address: _____

Street Address: 201 Isabella Street

City: Pittsburgh

State: PA

Country: U.S.A Zip: 15212-5858

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/197,623

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Norman F. Hainer, Jr., Esq.

Internal Address: Eckert Seamans Cherin and Mellott, LLC
at Alcoa Technical Center

Street Address: 100 Technical Drive

City: Alcoa Center

State: PA Zip: 15609

Phone Number: 724/337-2993

Fax Number: 724/337-5959

Email Address: norman.hainer@alcoa.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

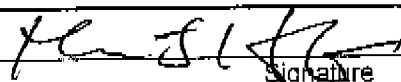
8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number 02-2556

Authorized User Name Eckert Seamans

9. Signature:


Signature

7-15-05

Date

Norman F. Hainer, Jr., Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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CH \$40.00 022556 11197623

370023-00119

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good, and valuable considerations, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, we, John Bohaychick, of New Kensington, in the county of Westmoreland, and the state of Pennsylvania; James R. Anglin, of Export, in the county of Westmoreland, and the state of Pennsylvania; Patricia A. Stewart, of Pittsburgh, in the county of Allegheny, and the state of Pennsylvania; Thomas A. Ferrazzoli, of Lower Burrell, in the county of Westmoreland, and the state of Pennsylvania; and Larry F. Wieserman, of Apollo, in the county of Westmoreland, and the state of Pennsylvania; respectively

Hereby sell, assign and transfer to ALCOA INC., a corporation of the State of Pennsylvania, having a place of business at Alcoa Corporate Center, 201 Isabella Street, Pittsburgh, Pennsylvania 15212-5858, its successors, assigns, and legal representatives, our entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in the application for United States Patent, filed herewith, entitled, **"Lubricant for Improved Surface Quality of Cast Aluminum and Method"** (Atty. Docket No. 370023-00119) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request my attorney to insert above the filing date and application number when they become known;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, ALCOA INC., its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, we will, for all countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to us relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives.

370023-00119

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

John Bohaychick 7/7/05
John Bohaychick Date

State of Pennsylvania)

)SS.

County of Westmoreland)

Before me this 7th day of July, 2005 personally appeared **John Bohaychick**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Virgine M Laible
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Virgine M. Laible, Notary Public
Upper Burrell Twp., Westmoreland County
My Commission Expires Dec. 17, 2007
Member, Pennsylvania Association Of Notaries

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

James R. Anglin July 1, 2005
James R. Anglin Date

State of Pennsylvania)

)SS.

County of Westmoreland)

Before me this 15th day of July, 2005 personally appeared **James R. Anglin**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Susan Cranmer-Jervis
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Susan Cranmer-Jervis, Notary Public
Upper Burrell Twp., Westmoreland County
My Commission Expires Dec. 11, 2007
Member, Pennsylvania Association Of Notaries

PATENT

REEL: 016637 FRAME: 0699

370023-00119

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

Patricia A. Stewart 08-02-05
Patricia A. Stewart Date

State of Pennsylvania)
)SS.
County of Westmoreland)

Before me this 2nd day of August, 2005 personally appeared **Patricia A. Stewart**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Nancy L. Bayne
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Nancy L. Bayne, Notary Public
Upper Burrell Twp., Westmoreland County
My Commission Expires Jan. 7, 2008
Member, Pennsylvania Association Of Notaries

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

Thomas A. Ferrazzoli July 07, 2005
Thomas A. Ferrazzoli Date

State of Pennsylvania)
)SS.
County of Westmoreland)

Before me this 7th day of July, 2005 personally appeared **Thomas A. Ferrazzoli**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Nancy L. Bayne
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Nancy L. Bayne, Notary Public
Upper Burrell Twp., Westmoreland County
My Commission Expires Jan. 7, 2008
Member, Pennsylvania Association Of Notaries

370023-00119

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

Larry F. Wieserman 5 July 2005
Larry F. Wieserman Date

State of Pennsylvania)
)SS.
County of Westmoreland)

Before me this 6th day of July, 2005 personally appeared **Larry F. Wieserman**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Nancy L. Bayne
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Nancy L. Bayne, Notary Public
Upper Burrell Twp., Westmoreland County
My Commission Expires Jan. 7, 2008
Member, Pennsylvania Association Of Notaries