

Form PTO-1595 (Rev. 08/05)
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U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alberta Research Council.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 23, 2000

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Alberta Research Council Inc.

Internal Address: _____

Street Address: 250 Karl Clark Road

City: Edmonton

State: Alberta

Country: Canada Zip: T6N 1E4

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

5,932,038

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Melissa Gobert

Internal Address: _____

Street Address: 250 Karl Clark Road

City: Edmonton

State: Alberta Zip: T6N 1E4

Phone Number: (780) 450-5199

Fax Number: (780) 469-5296

Email Address: gobert@arc.ab.ca

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 501578

Authorized User Name Lisa Spinks

9. Signature:

Lisa Spinks Signature

Aug 23, 2005 Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 14

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 501578 5932038

CERTIFICATE OF CHANGE OF NAME
AND
ASSIGNMENT

BETWEEN:

ALBERTA SCIENCE, RESEARCH AND TECHNOLOGY AUTHORITY
(formerly **ALBERTA RESEARCH COUNCIL**)
250 Karl Clark Road
P. O. Box 8330, Station "F"
Edmonton, Alberta
Canada T6H 5X2

(the "Assignor")

AND

ALBERTA RESEARCH COUNCIL INC.
250 Karl Clark Road
Edmonton, Alberta
Canada T6N 1E4

(the "Assignee")

WHEREAS ALBERTA RESEARCH COUNCIL acquired legal status as a corporation pursuant to a Statute of the Province of Alberta, Canada, namely, *The Alberta Research Council Act*, S.A. 1981, chapter A-35.1;

AND WHEREAS ALBERTA RESEARCH COUNCIL has a right, title or interest in and to certain intellectual property including those applications for Letters Patent set forth and described in Schedule "A" attached hereto (the "Patent Applications") and those Letters Patent set forth and described in Schedule "B" attached hereto (the "Patents");

AND WHEREAS *The Alberta Research Council Act* was repealed pursuant to a further Statute of the Province of Alberta, Canada, namely, *The Alberta Science, Research and*

Technology Authority Act, S.A. 1998, chapter A-37.3, which *Act* was proclaimed in force on April 1, 1999;

AND WHEREAS pursuant to *The Alberta Science, Research and Technology Authority Act*, the corporation known as ALBERTA RESEARCH COUNCIL is continued under the name ALBERTA SCIENCE, RESEARCH AND TECHNOLOGY AUTHORITY (the "Assignor");

AND WHEREAS ALBERTA RESEARCH COUNCIL INC. (the "Assignee") was incorporated in the Province of Alberta, Canada on April 1, 1999 pursuant to *The Business Corporations Act (Alberta)*, S.A. 1981, chapter B-15;

AND WHEREAS the Assignor and the Assignee entered into an Agreement effective April 1, 1999 wherein the Assignor agreed to transfer to the Assignee all of the Assignor's right, title and interest in and to certain intellectual property of the Assignor including the Patent Applications and the Patents;

NOW THEREFORE, the Assignor hereby certifies and confirms that pursuant to Section 2(1) of *The Alberta Science, Research and Technology Authority Act*, S.A. 1998, chapter A-37.3, the corporation known as ALBERTA RESEARCH COUNCIL is continued under the name ALBERTA SCIENCE, RESEARCH AND TECHNOLOGY AUTHORITY;

FURTHER, FOR GOOD AND VALUABLE CONSIDERATION, the receipt and sufficiency of which are hereby acknowledged, the Assignor does hereby irrevocably assign, grant, sell, convey, transfer and make over unto the Assignee its entire right, title and interest in and to the Patent Applications set forth and described in Schedule "A" and the Patents set forth and described in Schedule "B", any other applications for Letters Patent related thereto or arising therefrom filed or to be filed in any country or territory worldwide, and all Letters Patent related thereto or arising therefrom granted or to be granted in any country or territory worldwide, together with the right to claim in respect of any country or territory worldwide, the priority date of any such Patent Applications, Patents or related or associated applications for Letters Patent or granted Letters Patent.

This Certificate of Change of Name and Assignment shall enure to the benefit of and be binding upon the Assignor and the Assignee and their respective heirs, executors, administrators, successors and assigns.

IN WITNESS WHEREOF the Assignor has caused this Certificate of Change of Name and Assignment to be executed at Edmonton, Alberta, Canada this 23rd day of MARCH, 2000.

ALBERTA SCIENCE, RESEARCH AND
TECHNOLOGY AUTHORITY

Per: 

Name - Dr. Roger Palmer

Title - C.E.O.