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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies)

Enboa Wu and Ying-Ching Shih

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: May 31, 2005

## 2. Name and address of receiving party(ies)

Name: National Taiwan University

Internal Address: \_\_\_\_\_

Street Address: No. 1, Sec. 4, Roosevelt RoadTaipei, Taiwan

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 31, 2005

A. Patent Application No.(s)

B. Patent No.(s)

for "Optical Subassembly Of Optical Transceiver"

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jason Z. Lin

Internal Address: \_\_\_\_\_

Supreme Patent ServicesStreet Address: Post Office Box 2339City: Saratoga State: CA ZIP: 950706. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) ..... \$ 40.00☒ Credit Card Payment Form PTO-2038 Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jason Z. Lin

Name of Person Signing

[Signature]  
SignatureJune 4, 2005  
DateTotal number of pages including cover sheet, attachments, and document: 2Mail documents to be recorded with required cover sheet information to:  
Director of the US Patent and Trademark Office, Mail Stop Assignment  
P.O. Box 1450, Alexandria, VA 22313-1450

06/08/2005 HMARZ11 00000078 11144720

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PATENT  
REEL: 016665 FRAME: 0579

## ASSIGNMENT

WHEREAS, I/we, Enboa Wu and Ying-Ching Shih,  
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented certain new and useful  
improvements Optical Subassembly Of Optical Transceiver

( hereinafter referred to as the Invention) for which an application for United States Letters Patent was

- ☐ executed on even date herewith;  
☒ executed on \_\_\_\_\_;  
☐ filed on \_\_\_\_\_, Serial No. \_\_\_\_\_;  
☐ filed as PCT International Application No. \_\_\_\_\_ on \_\_\_\_\_, designating  
The United States of America;  
☐ Patent number \_\_\_\_\_, issue on \_\_\_\_\_.

WHEREAS, National Taiwan University,  
whose post office address(es) are No. 1, Sec. 4, Roosevelt Road, Taipei City, Taiwan 106, R. O. C.,  
hereinafter referred to as ASSIGNEE(s), are desirous of acquiring the entire right, title and interest in and to the same in the  
United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I/we, ASSIGNOR,  
by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said invention  
and application throughout the United States of America, including any and all Letters Patent granted on any division,  
continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing,  
prosecution and maintenance of said application or any other patent application(s) in the United States for said invention,  
including additional documents that may be required to affirm the rights of ASSIGNEE in and to said invention, all without  
further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and  
communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the invention that are within  
ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may  
be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent  
encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's  
heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters  
Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for  
ASSIGNEE's sole use and behoof; and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full  
end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by  
ASSIGNOR had this assignment and sale not been made.

Assignor: **Enboa Wu**  
**No. 1, Sec. 4,**  
**Roosevelt Road, Taipei City,**  
**Taiwan 106, R. O. C.**

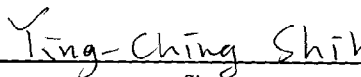
Dated this 31 day of May, 2005 at Taiwan, R. O. C.



Signature

Assignor: **Ying-Ching Shih**  
**No. 1, Sec. 4,**  
**Roosevelt Road, Taipei City,**  
**Taiwan 106, R. O. C.**

Dated this 31 day of May, 2005 at Taiwan, R. O. C.



Signature

Assignor

Dated this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_

Signature

☐ CONTINUED ON PAGE 2