

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 8/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Mark E. Davis (07/20/2005), Kenneth W. Wright (07/13/2005), and Brendan Mack (07/20/2005)

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: California Institute of Technology

Internal Address: _____

Street Address: _____

1200 East California Blvd

City: Pasadena

State: California

Country: United States of America Zip: 91125

Additional name(s) & address(es) attached: Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): In parentheses after inventor name

Assignment Merger Change of Name

Security Agreement Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/148011
60/577906
60/631448

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Matthew P. Vincent
ROPES & GRAY LLP

Internal Address: Atty. Dkt.: CTCH-P01-025

Street Address: One International Place

City: Boston

State: MA Zip: 02110-2624

Phone Number: (617) 951-7739

Fax Number: (617) 951-7050

Email Address: MVincent@ropesgray.com

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00

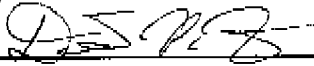
- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 18-1945
Authorized User Name Matthew P. Vincent

9. Signature:



Signature

August 24, 2005

Date

David P. Halstead, Ph.D., Reg. No. 44,735

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: August 24, 2005

Signature:  (Mary Jane DiPalma)

CH \$120.00 181945 11148011

CTCH-P01-025

ASSIGNMENT

WHEREAS, we, **Mark E. Davis, Kenneth W. Wright, and Brendan Mack**, have invented a certain improvement in **BIODEGRADABLE DRUG-POLYMER DELIVERY SYSTEM** described in an application for Letters Patent of the United States, the specification of which:

- [X]** was filed on **June 7, 2005** as Application No. **11/148011**
- [X]** was filed on **June 7, 2004** as Application No. **60/577906**
- [X]** was filed on **November 29, 2004** as Application No. **60/631448**

WHEREAS, **California Institute of Technology**, (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **state of California**, having principal offices at **1200 East California Boulevard, Pasadena, California 91125** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

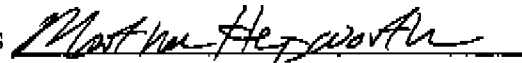
PATENT

CTCH-P01-025

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor  Date: July 20, 2005
Mark E. Davis

Address 575 Laguna Road
Pasadena, California 91105

Witness  Date: 7-20-05

Address 7714 Le Berthon St.
Tujunga, CA 91042

Inventor _____ Date: _____
Kenneth W. Wright

Address 19 Latigo Lane
Rolling Hills Estate, California 90274

Witness _____ Date: _____

Address _____

Inventor _____ Date: _____
Brendan Mack

Address 1036 E. Del Mar, Apt. 102
Pasadena, California 91106

Witness _____ Date: _____

Address _____

CTCH-P01-025

ASSIGNMENT

WHEREAS, we, **Mark E. Davis, Kenneth W. Wright, and Brendan Mack**, have invented a certain improvement in **BIODEGRADABLE DRUG-POLYMER DELIVERY SYSTEM** described in an application for Letters Patent of the United States, the specification of which:

- was filed on **June 7, 2005** as Application No. **11/148011**
- was filed on **June 7, 2004** as Application No. **60/577906**
- was filed on **November 29, 2004** as Application No. **60/631448**

WHEREAS, **California Institute of Technology**, (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of **California**, having principal offices at **1200 East California Boulevard, Pasadena, California 91125** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention application or patent directed thereto may be involved;

PATENT
REEL: 016667 FRAME: 0841

CTCH-P01-025

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor Mark E. Davis Date: _____

Address 575 Laguna Road
Pasadena, California 91105

Witness _____ Date: _____

Address _____

Inventor  Date: 2/13/05
Kenneth W. Wright

Address 19 Latigo Lane
Rolling Hills Estate, California 90274

Witness  Date: 7/13/05

Address 130 E. 13th St
LA CA 90011

Inventor Brendan Mack Date: _____

Address 1036 E. Del Mar, Apt. 102
Pasadena, California 91106

Witness _____ Date: _____

Address _____

CTCH-P01-025

ASSIGNMENT

WHEREAS, we, **Mark E. Davis, Kenneth W. Wright, and Brendan Mack**, have invented a certain improvement in **BIODEGRADABLE DRUG-POLYMER DELIVERY SYSTEM** described in an application for Letters Patent of the United States, the specification of which:

- [X] was filed on **June 7, 2005** as Application No. **11/148011**
- [X] was filed on **June 7, 2004** as Application No. **60/577906**
- [X] was filed on **November 29, 2004** as Application No. **60/631448**

WHEREAS, **California Institute of Technology**, (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of **California**, having principal offices at **1200 East California Boulevard, Pasadena, California 91125** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT

CTCH-P01-025

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor Mark E. Davis Date: _____

Address 575 Laguna Road
Pasadena, California 91105

Witness _____ Date: _____

Address _____

Inventor _____ Date: _____
Kenneth W. Wright

Address 19 Latigo Lane
Rolling Hills Estate, California 90274

Witness _____ Date: _____

Address _____

Inventor Brendan Mack Date: 7/20/05
Brendan Mack

Address 1036 E. Del Mar, Apt. 102
Pasadena, California 91106

Witness Matha Aguilar Date: 7-20-05

Address 7714 Le Berthier St.
Tujunga, CA 91042