

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	assignee name should be "Lehman Brothers Inc." not "Lehman Brothers Holdings, Inc."

**CONVEYING PARTY DATA**

Name	Execution Date
Eric Glicksman	08/01/2003
Spyros Papadakis	08/01/2003
Yidong Ding	08/01/2003
Omar Gzouli	08/01/2003

**RECEIVING PARTY DATA**

<b>Name:</b>	Lehman Brothers Inc.
<b>Street Address:</b>	745 Seventh Ave.
<b>City:</b>	New York
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10019

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	10465462

**CORRESPONDENCE DATA**

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<b>ATTORNEY DOCKET NUMBER:</b>	18638-04-0155
<b>NAME OF SUBMITTER:</b>	Nancy Swanon

CH \$40.00 10465462

Total Attachments: 5

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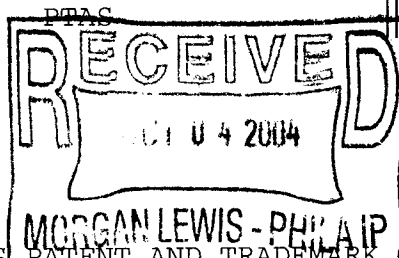


**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 29, 2004

DANIEL H. GOLUB  
1701 MARKET STREET  
PHILADELPHIA, PA 19103-2921



\*102720483A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/09/2004

REEL/FRAME: 015194/0601  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GLICKSMAN, ERIC

DOC DATE: 08/01/2003

ASSIGNOR:  
PAPADAKIS, SPYROS

DOC DATE: 08/01/2003

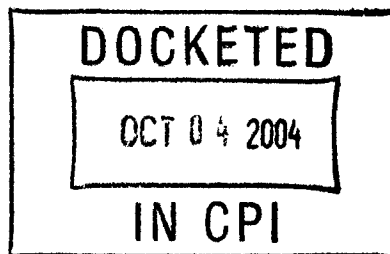
ASSIGNOR:  
DING, YIDONG

DOC DATE: 08/01/2003

ASSIGNOR:  
GZOULI, OMAR

DOC DATE: 08/01/2003

ASSIGNEE:  
LEHMAN BROTHERS HOLDINGS, INC.  
745 SEVENTH AVENUE  
NEW YORK, NEW YORK 10019



015194/0601 PAGE 2

SERIAL NUMBER: 10465462

FILING DATE: 06/18/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: FINANCIAL DATA PROCESSOR SYSTEM AND METHOD FOR IMPLEMENTING EQUITY-  
CREDIT LINKED INVESTMENT VEHICLES

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-14-2004

FORM PTO-1595

RECOI



DEPARTMENT OF COMMERCE

Patent and Trademark Office

(REV. 6-93)

OMB No. 0651-0011 (exp. 4/94)

4904

102720483

No. EV260286615US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Eric Glicksman; Spyros Papadakis; Yidong Ding; and Omar Gzouli  
Additional name(s) of conveying party(ies) attached? [ ] Yes [ X ] No

2. Name and address of receiving party(ies):  
Name: Lehman Brothers Holdings, Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 745 Seventh Avenue  
City: New York State: NY ZIP: 10019  
Additional name(s) & address(es) attached? [ ] Yes [ X ] No

3. Nature of conveyance:  
[ X ] Assignment [ ] Merger  
[ ] Security Agreement [ ] Change of Name  
[ ] Other \_\_\_\_\_  
Execution Date(s): 8/1/03

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No(s). 10/465,462  
B. Patent No(s). \_\_\_\_\_  
~~Additional~~ numbers attached [ ] Yes [ X ] No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: DANIEL H. GOLUB  
Internal Address: \_\_\_\_\_  
Street Address: 1701 Market Street  
City: Philadelphia State: PA ZIP: 19103-2921

6. Total number of applications and patents involved: [ 1 ]  
Total fee (37 C.F.R. § 3.41) \$ 40.00  
[ ] check enclosed as part of the payment  
[ X ] is authorized to be charged to deposit account  
8. Deposit account number:  
50-0310  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alison B. Weisberg  
Name of Person Signing

[Signature]  
Signature

4/9/04  
Date

Total number of pages including cover sheet, attachments, and document: [ 3 ]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

04/13/2004 6T0N11 00000036 500310 10465462

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PATENT  
REEL: 016694 FRAME: 0223

**A S S I G N M E N T**

**FOR VALUE RECEIVED, WE, ERIC GLICKSMAN, SPYROS PAPADAKIS, YIDONG DING and OMAR GZOULI, hereby sell, assign and transfer to LEHMAN BROTHERS, having its principal place of business at 399 Park Avenue, New York, New York 10022, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to**

**FINANCIAL DATA PROCESSOR SYSTEM AND METHOD FOR IMPLEMENTING EQUITY-CREDIT LINKED INVESTMENT VEHICLES**

described in an application for Letters Patent in the United States, Application No. 10/465462 filed on June 18, 2003, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

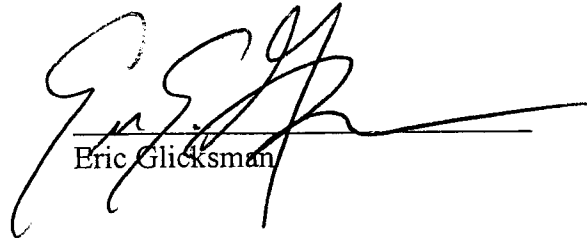
We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers and take all rightful oaths for vesting title to and in said certain inventions in said assignee, its successors, assigns and legal representatives or nominees, and, for reasonable compensation from said assignee, its successors, assigns and legal representatives or nominees, and, for reasonable compensation from said assignee if not currently employed by said assignee, do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries.

We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, Patent Cooperation Treaty, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and, more particularly, as proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, Patent Cooperation Treaty, or by any convention which may henceforth be substituted for it.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

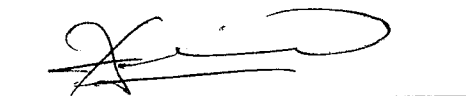
Dated: 8/1/03



Eric Glicksman

Witness: \_\_\_\_\_

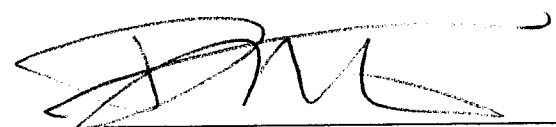
Dated: 8/1/03



Spyros Papadakis

Witness: \_\_\_\_\_

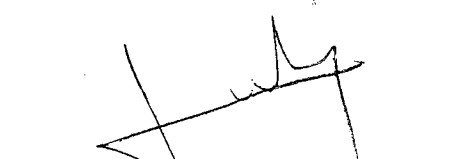
Dated: 8/1/03



Yidong Ding

Witness: \_\_\_\_\_

Dated: 8/1/03



Omar Gzouli

Witness: \_\_\_\_\_