Substitute Form PTO-1595 Attorney Docket No.: 09857-179001

## PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(les).	
Name of conveying party(les):	Name and address of receiving party(les):
Frank Hatheway, Daniel F. Moore, Timothy E. Cox, Peter J. Martyn, Dan Barnard Franks, Adam Seth Nunes and Tim McCormick	The Nasdaq Stock Market, Inc. Office of the General Counsel 9513 Key West Avenue
Additional name(s) attached? ☐ Yes ☐ No	Rockville, MD 20850
3. Nature of conveyance:	
<ul> <li>■ Assignment</li> <li>□ Merger</li> <li>□ Security Agreement</li> <li>□ Change of Name</li> <li>□ Other:</li> </ul>	
Execution Date: 8/10/05; 8/11/05; 8/12/05; 8/16/05; 8/31/05	Additional names/addresses attached? ☐ Yes 図 No
Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution	date of the application is:
A. Patent Application No(s).:	B: Patent No(s).:
11/077,503	
Additional numbers at	tached? □ Yes 国 No
<ol><li>Name/address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications/patents involved: 1
DENIS G. MALONEY	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C.	☐ Enclosed
225 Franklin Street Boston, MA 02110	■ Authorized to charge Deposit Account.
5000011, 1017 02 1 10	8. Deposit Account No.: 06-1050
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Denis G. Maloney Reg. No. 29,670 Name of Person Signing  Signature  Date	
Tatal	number of pages including coversheet, attachments and document: 12
Total number of pages including coversiteet, strachments and document. 12	

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## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

August 31, 2005
Date of Transmission

N/Clul Signature

/ Marie Collins yped Name o**∰ 9**(s**ean £i gning** Co

**REEL: 016696 FRAME: 0523** 

## <u>ASSIGNMENT</u>

For valuable consideration, we, FRANK HATHEWAY of 7423 Lynnhurst St., Chevy Chase, MD 20815, DANIEL F. MOORE of 58 Seu Street, New Haven, Connecticut 06519

United States of America, TIMOTHY E. COX of 4615 North Park Avenue #908, Chevy Chase, MD 20815, PETER J. MARTYN of 417 Overbrook Rd. Ridgewood, New Jersey 07450, DAN 2733 VIA CIPELINI, CLERKAMIEL FOR STREET BROOKING NY 11201, ADAM S. NUNES of 303 Greenwich St., #3F, New York, NY 10013, TIMOTHY MCCORMICK of, hereby assign to:

The Nasdao Stock Market, Inc., a corporation of Delaware having a place of business at:

Office of the General Counsel 9513 Key West Avenue Rockville, MD 20850; and

its successors and assigns (collectively hereinafter called "the Assignee, the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled OPENING CROSS IN ELECTRONIC MARKET, filed March 9, 2005, and assigned U.S. Serial Number 11/077,503 and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Assignment Page 1 of 2

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NO. 2316 P. 4 T-453 P.03/03 Job-075

Attorney Docket No: 09857-179001

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FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	DATE
PETER J. MARTYN	DATE
DAN B. FRANKS	DATE
ADAM S. NUNES	DATE
TIMOTHY MCCORMICK	DATE

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Assignment Page 2 of 2

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TIMOTHY E. COX	DATE
PETER J. MARTYN	DATE
DAN B. FRANKS	DATE
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TIMOTHY MCCORMICK	DATE

	Attorney Docket No: 09357-179001
FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	8/31/05 DATE
PETER J. MARTYN	DATE
DAN B. FRANKS	DATE
ADAM S. NUNES	DATE
TIMOTHY MCCORMICK	DATE

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Assignment Page 2 of 2

FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	DATE
PETER J. MARTYN	09-/0-05 DATE
DAN B. FRANKS	DATE
ADAM S. NUNES	DATE
TIMOTHY MCCORMICK	DATE

FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	DATE
PETER J. MARTYN	DATE
DAN B. FRANKS	8-16-05 DATE
ADAM S. NUNES	DATE
TIMOTUV MCCORMICY	DATE

## <u>ASSIG</u>NMENT

For valuable consideration, we, FRANK HATHEWAY of 7423 Lynnhurst St., Chevy Chase, MD 20815, DANIEL F. MOORE of 58 Sea Street, New Haven, Connecticut 06519 United States of America, TIMOTHY E. COX of 4615 North Park Avenue #908, Chevy Chase, MD 20815, PETER J. MARTYN of 417 Overbrook Rd, Ridgewood, New Jersey 07450, DAN B. FRANKS of 118 Willow Street, Brooklyn, NY 11201, ADAM S. NUNES of 303 Greenwich St., #3F, New York, NY 10013, TIMOTHY MCCORMICK of,

The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at:

Office of the General Counsel 9513 Key West Avenue Rockville, MD 20850; and

its successors and assigns (collectively hereinafter called "the Assignee, the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled OPENING CROSS IN ELECTRONIC MARKET, filed March 9, 2005, and assigned U.S. Serial Number 11/077,503 and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Assignment Page 1 of 2

NO. 2316 P. 10 Q 2006

Attorney Docket No: 09857-179001

FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	DATE
PETER J. MARTYN	DATE
DAN B. FRANKS	DATE
oden no	August 11, 2005
ADAM S. NUNES	DATE
TIMOTHY MCCORMICK	DATE

ASSIGNMENT

For valuable consideration, we, FRANK HATHEWAY of 7423 Lynnhurst St., Chevy Chase, MD 20815, DANIEL F. MOORE of 58 Sea Street, New Haven, Connecticut 06519 United States of America, TIMOTHY E. COX of 4615 North Park Avenue #908, Chevy Chase, MD 20815, PETER J. MARTYN of 417 Overbrook Rd, Ridgewood, New Jersey 07450, DAN B. FRANKS of 118 Willow Street, Brooklyn, NY 11201, ADAM S. NUNES of 303 Greenwich St., #3F, New York, NY 10013, TIMOTHY MCCORMICK of, SIII Crossfield Ct. hereby assign to:

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FRANK HATHEWAY	DATE
DANIEL F. MOORE	DATE
TIMOTHY E. COX	DATE
PETER J. MARTYN	DATE
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ADAM S. NUNES	DATE
Emotty Miles	8-12-05
TIMOTHY MCCORMICK	DATE

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**RECORDED: 08/31/2005** 

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