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KNOBBE MARTENS et al

#### Client Code: <u>IMEC182.001AUS/001C1/001C1C1</u>

### CORDATION FORM COVER SHEE

PATENTS ONLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

1. Name of conveying party: (If multiple assignors, list numerically)

ASM America, Inc.

Nature of conveyance:

() Security Agreement() Change of Name

(X) Assignment

Other:

1 above) January 14, 2004

() Merger

()

3.

5.

б.

Additional name(s) of conveying party attached? () Yes (X) No

Execution Date: (If multiple assignors, list execution

dates in numerical order corresponding to numbers indicated in

Name and address of party to whom correspondence

Total number of applications and patents involved: 3

KNOBBE, MARTENS, OLSON & BEAR, LLP

concerning document should be mailed:

Customer No. 20,995 Internal Address: Fourteenth Floor Street Address: 2040 Main Street

City: Irvine State: CA ZJP: 92614 Attorney's Docket No.: IMEC182.001AUS

Name: Andrew N. Merickei

2. Name and address of receiving parties:

Name: Interuniversitair MicroElectronica Centrum VZW (IMEC)

Internal Address: Kapeldreef 75, 3001 Leuven, Belgium

Additional name(s) of receiving party(ies) attached? (X) Yes () No

4. Application numbers or Patent numbers:

- Patent Application No.: 10/731,656
  Filing Date: December 8, 2003
- Patent No.: 6,664,192
  Issue Date: December 16, 2003
- (X) Patent No.: 6,391,785 Issue Date: May 21, 2002

Additional numbers attached? ( ) Yes (X)

7. Total fee (37 CFR 1.21(h)): \$120

(X) Enclosed

(X) Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.  $\sim$ 

<u>Andrew N. Merickel</u> Name of Person Signing

Signature

i/16/04-

53,317 Registration No.

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

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> PATENT REEL: 016712 FRAME: 0510

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2. Name and address of receiving party(i

Name: ASM International NV Internal Address: Jan Van Eycklaan 10, 3723BC Bilthoven, The Netherlands

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PATENT REEL: 016712 FRAME: 0511 Patent No.: 6,391,785 Issue Date: May 21, 2002 PATENT Client Code: IMEC182.001AUS Page I

#### ASSIGNMENT

WHEREAS, ASM America, Inc., a U.S. corporation having offices at 3440 University Drive, Phoenix, AZ 85034-7200 (hereinafter "ASSIGNOR"), represents and warrants that it is the assignee of record for certain new and useful improvements disclosed in the following United States issued Letters Patents and pending applications in the United States (hereinafter "the Patents"):

<u>Patent No.</u> 6,391,785	<u>Issue Date</u> May 21, 2002	<u>Title</u> METHOD OF BOTTOMLESS DEPOSITION OF BARRIER LAYERS IN INTEGRATED CIRCUIT METALLIZATION SCHEMES
<u>Application No.</u> 10/123,492	<u>Filing Date</u> April 15, 2002	<u>Title</u> METHOD OF BOTTOMLESS DEPOSITION OF BARRIER LAYERS IN INTEGRATED CIRCUIT METALLIZATION SCHEMES

WHEREAS, Interuniversitair MicroElectronica Centrum VZW (IMEC), a Belgium corporation having offices at Kapeldreef 75, 3001 Leuvein, Belgium, and ASM International NV, a Netherlands corporation having offices at Jan Van Eycklaan 10, 3723BC Bilthoven, the Netherlands (hereinafter "ASSIGNEES") desire to purchase the entire right, title, and interest in and to the inventions disclosed in the Patents;

NOW, THEREFORE, in return for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby further acknowledges that it has sold, assigned, and transferred, and by these presents does hereby sell, assign, and transfer, unto ASSIGNEES, their successors, legal representatives, and assigns, any right, title, and interest throughout the world in, to, and under the said improvements, and the said Patents and all Patents that may be granted thereon, and all provisional applications relating thereto, and all divisions, continuations, reissues, reexaminations, renewals, and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent that may hereafter be filed for said improvements or for the said Patents in any country or countries foreign to the United States; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country foreign to the United States, whose duty it is to issue patents to ASSIGNEES, their successors, legal representatives, and assigns, in accordance with the terms of this Agreement.

ASSIGNOR does hereby sell, assign, transfer, and convey to ASSIGNEES, their successors, logal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEES, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of the said issued Letters Patents;

ASSIGNOR hereby covenants and agrees that it will communicate to ASSIGNEES, their successors, legal representatives, and assigns any facts known to ASSIGNOR respecting the Patents immediately upon becoming aware of those facts, and that it will testify in any legal proceeding involving any of the Patents, will sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and will generally do everything possible to aid ASSIGNEES, their successors, legal representatives, and assigns to obtain and enforce the Patents in all countries.

PATENT REEL: 016712 FRAME: 0512 Patent No.: 6,391,785 Issue Date: May 21, 2002 Client Code: IMEC182.001AUS Page 2

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 14th day of

ASM America, Inc.

By: Todd Westersund

Name Printed: \_\_\_\_

Title: Intellectual Property Officer

Date: \_\_\_\_\_

STATE OF COUNTY OF

On <u>knucky</u> [4,2554, before me, <u>July</u> <u>Murphy</u>, personally appeared personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

TNESS my band and official seal JUDY MURPHY Notary Public - Arizona [SEAL] MARICOPA COUNTY My Comm. Exp. 9-29-2006

**SS**.

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Notary Signature







PATENT REEL: 016712 FRAME: 0514

## **Knobbe Martens Olson & Bear LLP**

Intellectual Property Law

One Sansome Street Suite 3500 San Francisco CA 94104 Tel 415-954-4114 Fax 415-954-4111 www.kmob.com

Andrew N. Merickel, Ph.D. 415-217-8396 americkel@kmob.comp

September 2, 2005

#### VIA FACSIMILE (571) 273-0140

Mail Stop Assignment Recordation Services Director, U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### Re: NOTICE OF RECORDATION

Title: METHOD FOR BOTTOMLESS DEPOSITION OF BARRIER LAYERS IN INTEGRATED CIRCUIT METALLIZATION SCHEMES Patent Number: 6,391,785 Issued: May 21, 2002 Our Reference No.: IMEC182.001AUS

To Whom It May Concern:

The attached Assignment and Recordation cover sheet were filed on January 16, 2004. Upon checking PAIR and after a telephone conversation with Ms. Shepard of the U.S. Patent and Trademark Office, it has been determined that the attached assignment was never recorded in the PTO. However, the check accompanying the documents was cashed by the PTO, indicating receipt of the documents. A copy of the cancelled check is attached.

It is respectfully requested that the attached recordation cover sheet and assignment be recorded and a Notice of Recordation back dated to the actual filing date be issued and forwarded to us. As the check accompanying the documents was cashed by the PTO, it is believed that no fee is required. However, if there are any additional fees due, please charge our Deposit Account No. 11-1410.

Respectfully yours,

Andrew N. Merickel Reg. No. 53,317 Customer No. 20,995

1892755

Orange County 949-760-0404 San Diego 619-235-8550 Los Angeles 310-551-3450 Riverside San Luis Obispo 951-781-9231 PATENP5747-5580 REEL: 016712 FRAME: 0515

# Knobbe Martens Olson & Bear LLP

Intellectual Property Law

2040 Main Street Fourteenth Floor Irvine, CA 92614 Tel 949-760-0404 Fax 949-760-9502 www.kmob.com

I hereby certify that this correspondence and all marked attachments are being facsimile transmitted to (571) 273-0140 at the U.S. Patent and Trademark Office on the date

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Andrew N. Merickel, Reg. No. 53.317

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USPTO FACSIMILE NO.:	(571) 273-0140			
USPTO REFERENCE:	Applicant: ASM America, Inc.			
	Patent No.: 6,391,785			
	Issued: May 21, 2002			
	Title: METHOD FOR BOTTOMLESS DEPOSITION OF			
	BARRIER LAYERS IN	NINTEGRATED CIRCUIT		
	METALLIZATION SC	HEMES		
PROSECUTING ATTORNEY:	Andrew N. Merickel / PHONE NO.: 415-217-8396			
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**RECORDED: 09/02/2005**