

06-29-2005

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. 1819/100651



103030310

original documents or copy thereof.

6-27-05

To the Honorable Commissioner

1. Name of conveying party(ies):

Michael J. Parthum, Sr.

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date(s):

- 1st Inventor: April 25, 2005
- 2nd Inventor:
- 3rd Inventor:
- 4th Inventor:

2. Name and address of receiving party(ies)

Name: Rochester Institute of Technology

Street Address: 5 Lomb Memorial Drive

City: Rochester State: NY Zip: 14623-5603

Country: USA Postal Code:

Additional name(s) & address(es) attached? Yes No

OFFICE OF PUBLIC RECORDS
2005 JUN 27 AM 10:13
FBI - ROCHESTER

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s) 11/061,429

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Gunnar G. Leinberg, Esq.

Firm Name: Nixon Peabody LLP

Internal Address: _____

Street Address: Clinton Square, P.O. Box 31051

City: Rochester State: NY Zip: 14603-1051

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

14-1138

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gunnar G. Leinberg

Name of Person Signing
Registration No. 35,584

Gunnar Leinberg
Signature

June 24, 2005
Date

Total number of pages including cover sheet, attachments, and documents: 2

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Assignment Recordation Services, Director of the United States Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____

6/24/2005

Sherri A. Moscato

Signature

Sherri A. Moscato

Typed or printed name

06/26/2005 DBYRNE 00006129 11061429

01 FC:8021

40.00 DP

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services, Director of the United States Patent and Trademark Office,
P.O. Box 1450, Alexandria, VA 22313-1450

R829505.1

PATENT
REEL: 016717 FRAME: 0658

ASSIGNMENT


WHEREAS, I, **Michael J. Parthum, Sr.**, an **United States** citizen, residing at **39 Twin Oaks Drive, Rochester, New York 14606** have invented certain new and useful improvements in **A METHOD TO CONTROL RESIDUAL STRESS IN A FILM STRUCTURE AND A SYSTEM THEREOF** for which I have executed an application for Letters Patent in the United States, filed as U. S. Serial No. **11/061,429** on **February 18, 2005**;

AND WHEREAS, **Rochester Institute of Technology**, with its principal place of business at **5 Lomb Memorial Drive, Rochester, New York 14623-5603**, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I, the said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Rochester Institute of Technology** its successors, legal representatives and assigns, in accordance with the terms of this instrument.

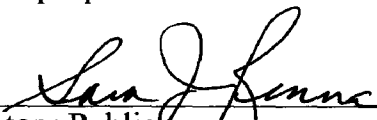
AND I HEREBY covenant and agree that I will communicate to the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 25 day of April, 2005.


Michael J. Parthum, Sr.

STATE OF New York
COUNTY OF Monroe SS:

This 25 day of April, 2005, before me personally came the above-name **Michael J. Parthum, Sr.**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.


Notary Public

SARA J RENNA
Notary Public, State of New York
Qualified in Monroe County
Commission Expires 12-31-2005

R829504.1 (1819/100651)

RECORDED: 06/27/2005

PATENT
REEL: 016717 FRAME: 0659