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06-30-20 			Patent and Attorney D 00000	d Tradem Docket No	F Commerce ark Office o. 08350-4211- Number: 22,85	
To the Director of the U.S. Patent and Trad Please record the attached original docume 703033	400	Mail			ordation Service	
Name of conveying party: Jim Wilbur LANDES	2.		address of re	eceiving p	arty(ies):	
	Name:	Caterpi	llar Inc.	_	200	
Additional name(s) of conveying party attached? Yes No	Internal A	Address:			ა.ტ 2	
3. Nature of conveyance:	Street Ad	dress:	100 N.E. A	dams Stre	eet 01	
Assignment Merger	City:	Peoria			113	
Security Change of Name Agreement	State:	IL	Zip	Code:	61629-6490	
Other:	Additiona	Additional name(s) & Address(es) attached?				
Execution Date: June 10, 2005		☐ Yes	⊠ No	0		
4. Application number(s) or patent number(s): If this document the application: June 10, 2005	is being filed to	gether with	a new applic	cation, the	execution date of	
A. Patent Application Number(s):		B. Patent Number(s):				
C:8021 (40.00 OP)	 Vas	m	No			
40.00 0P Additional numbers attached? 5. Name and address of party to whom correspondence		Total numb		ations and	registrations	
5. Name and address of party to whom correspondence concerning document should be mailed:	6.	Total numb	per of applica	<u></u>		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRE	6. 7.	Total numbinvolved: Total fee (3	per of applica 1 37 CFR 1.21	(h) and 3.		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy	6. 7.	Total numbinvolved: Total fee (3 Enclose accou	per of applica 1 37 CFR 1.21 sed (Please ont 06-0916)	(h) and 3.	41): \$40	
Additional numbers attached? 5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRE & DUNNER, L.L.P.	6. 7. X	Total numbinvolved: Total fee (3 Enclose accou	per of applica 1 37 CFR 1.21 sed (Please ont 06-0916)	(h) and 3.	41): \$40 ficiency to depos	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRE & DUNNER, L.L.P. Street Address: 901 New York Avenue, N.W.	6. 7. □	Total numbinvolved: Total fee (3 Enclose accou	per of applica 1 37 CFR 1.21 sed (Please ont 06-0916)	(h) and 3. charge de	41): \$40 ficiency to depos	
Additional numbers attached? 5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRE & DUNNER, L.L.P. Street Address: 901 New York Avenue, N.W. City: Washington, D.C.	6. 7. XIII XI XIII XIII XIII XIII XIII XI	Total numbinvolved: Total fee (3 Enclos accou Autho	per of applica 1 37 CFR 1.21 sed (Please ont 06-0916) prized to be o	(h) and 3. charge de charged to	41): \$40 ficiency to depos deposit account	
Additional numbers attached? 5. Name and address of party to whom correspondence concerning document should be mailed: Name: John M. Mulcahy Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRE & DUNNER, L.L.P. Street Address: 901 New York Avenue, N.W. City: Washington, D.C. State: Zip: 20001-4413 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is to	6. 7. XIII XI XIII XIII XIII XIII XIII XI	Total numbinvolved: Total fee (3 Enclos accou Autho	per of applica 1 37 CFR 1.21 sed (Please ont 06-0916) prized to be o	(h) and 3. charge de charged to	41): \$40 ficiency to depos deposit account copy of the origina	

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SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.4211-00000 Client Ref. No. 04-211

ASSIGNMENT

WHEREAS I, the below named inventor [hereinafter referred to as Assignor], have made an invention entitled:

SYSTEMS AND METHODS FOR CONTROLLING A POWERTRAIN

	d States Letters Patent concurrently herewith or file	ed an application for United				
States Letters Patent on,	2005 (Serial No); and					
Department, 100 N.E. Adams Street, Peoria, the entire right, title, and interest in and to thi	corporation of Delaware, whose post office addres IL 61629-6490 (hereinafter referred to as Assigne is invention in all countries throughout the world, a aution and the Letters Patent to be issued upon this	e), is desirous of securing and in and to the application				
other good and valuable consideration the resold, assigned, transferred, and set over, and successors and assigns, my entire right, title, filed (if a Letters Patent of the United States which ma on the basis of the above provisional application, and all applications for Letters Patent which may be granted on the reissues thereof; and I hereby authorize and and any official of any foreign country whose Letters Patent for this invention to Assignee, AND, I HEREBY covenant that I have	It for and in consideration of the sum of One Dollar sceipt of which from Assignee is hereby acknowled do hereby sell, assign, transfer, and set over unterpretation, and interest in and to this invention, provisional any), and this application, and all divisions, and control by be granted thereon, and all reissues thereof, and tion (if any), as well as all rights to claim priority on attent which may hereafter be filed for this invention his invention in any foreign country, and all extension request the Commissioner of Patents and Tradem duty it is to issue patents on applications as described by the full right to convey the interest assigned by the ment in conflict with this Assignment:	ged, I, as Assignor, have the Assignee, its lawful pplication Serial No. tinuations thereof, and all all rights to claim priority the basis of this in any foreign country and ions, renewals, and harks of the United States ribed above, to issue all e terms of this Assignment;				
not executed and will not execute any agreement in conflict with this Assignment; AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns. IN TESTIMONY WHEREOF, I have hereunto set my hand.						
1. FULL NAME OF SOLE OR FIRST ASSIGNOR	ASSIGNOR'S SIGNATURE	DATE				
Jim Wilbur LANDES	James W. Lance	1010005				
ADDRESS		CITIZENSHIP				
44 S Riverview Drive, East Peoria, IL 61611		USA				

Listing of Inventors/Witnesses Continued on Page 2 hereof $\ \boxtimes$ Yes Page 1 of 2

PATENT REEL: 016721 FRAME: 0149

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.4211-00000 Client Ref. No. 04-211

1. WITNESS NAME	WITNESS SIGNATURE	DATE
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Page 2 of 2

RECORDED: 06/23/2005

PATENT REEL: 016721 FRAME: 0150