

07-06-2005

RE



103035093

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

6.2805

1. Name of conveying party(ies)/Execution Date(s):

SREENIVASAN, Santosh;
ZAJKOWSKI, Jeffrey;
BISHKO, Christopher;
ROTHSCHILD, James A.

Execution Date(s) June 28, 2005

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: JPMorgan Chase Bank

Internal Address: _____

Street Address: 270 Park Avenue

City: New York

State: New York

Country: USA Zip: 10172

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Milbank, Tweed, Hadley & McCloy LLP

Internal Address: _____

Street Address: 1 Chase Manhattan Plaza - 45/1

City: New York

State: New York Zip: 10005

Phone Number: 212-530-5000

Fax Number: 212-530-5219

Email Address: cholm@milbank.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 13-3250

Authorized User Name Milbank Tweed

9. Signature:

Chris L. Holm
Signature

June 28, 2005 Date

Chris L. Holm
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

06/30/2005 ZJUHR1 00000049 11168679

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40.00 DP

11/20/08 U.S. PTO 11/168679



062805

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

SREENIVASAN, Santosh; 300 E. 93rd St, #14E, New York, NY 10128, USA;
ZAJKOWSKI, Jeffrey J., 11 Sherwood Rd., Short Hills, NJ 07078, USA;
BISHKO, Christopher, 381 Bleecker St., Floor #2, New York, NY 10014, USA; and
ROTHSCHILD, James A., 10 E. 29th St., #28D, New York, NY 10016, USA.

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

METHOD AND SYSTEM FOR EXCHANGEABLE BUNDLED OPTION AND NO-PRINCIPAL DEBT STRUCTURES

(title of discovery or invention)

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on , under Serial No. , and

WHEREAS:

JP Morgan Chase & Co., 270 Park Avenue, New York, NY 10014-2070

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discover or invention, and execute and deliver and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that it reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations,

or re-filings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discover in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: 

Santosh SREENIVASAN

Citizenship: India

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this _____ day of _____, 20__.

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20__, before me, the undersigned authority, personally appeared to me

known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Notary Public

Assignor's signature: 
Jeffrey J. ZAJKOWSKI

Citizenship: United States

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this _____ day of _____, 20__.

STATE OF _____)

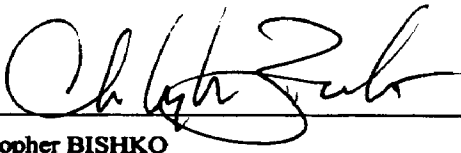
ss.:

COUNTY OF _____)

On this _____ day of _____, 20__, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Notary Public

Assignor's signature: 
Christopher BISHKO

Citizenship: United States

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this _____ day of _____, 20__.

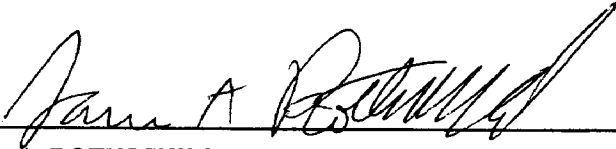
STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20__, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Notary Public

Assignor's signature: 
James A. ROTHSCHILD

Citizenship: United States

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this _____ day of _____, 20__.

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20__, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Notary Public