

**PATENT ASSIGNMENT**

Electronic Version v1.1  
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<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the Assignee from California Institute to Technology to California Institute of Technology previously recorded on Reel 016669 Frame 0778. Assignor(s) hereby confirms the California Institute to Technology.

**CONVEYING PARTY DATA**

Name	Execution Date
Harry A. Atwater	06/19/2005
Robert J. Walters	06/20/2005

**RECEIVING PARTY DATA**

<b>Name:</b>	California Institute of Technology
<b>Street Address:</b>	1200 East California Boulevard
<b>Internal Address:</b>	Mail Stop 201-85
<b>City:</b>	Pasadena
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	91125

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11100807

**CORRESPONDENCE DATA**

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<b>ATTORNEY DOCKET NUMBER:</b>	54614/JWP/C766
<b>NAME OF SUBMITTER:</b>	Cynthia A. Bonner

**CH \$40.00 11100807**

Total Attachments: 3

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## ASSIGNMENT

**WHEREAS, WE**, Harry A. Atwater and Robert J. Walters, residing at 1945 Leman Street, South Pasadena, California 91030 and 1127 East Del Mar Boulevard, Apartment 224, Pasadena, California 91106, respectively, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled SEQUENTIALLY CHARGED NANOCRYSTAL LIGHT EMITTING DEVICE, filed April 6, 2005, Application No. 11/100,807 and with claim to the priority of United States Provisional Application No. 60/560,167, filed April 7, 2004; and

**WHEREAS** CALIFORNIA INSTITUTE OF TECHNOLOGY, a California nonprofit organization, having a place of business at 1200 East California Blvd., Mail Stop 201-85, Pasadena, California 91125 (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application.

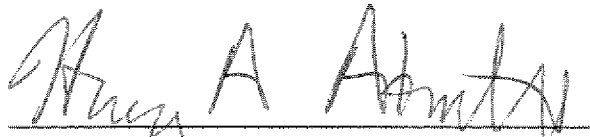
**NOW, THEREFORE**, in consideration of the sum of Ten Dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we do hereby sell, assign, transfer and set over to **ASSIGNEE**:

- (i) the entire right, title and interest in and to **U.S. Patent Application No. 11/100,807** and all continuations, divisions, reexaminations, reissues, substitutes, extensions and counterparts thereof throughout the world, and all inventions disclosed and claimed therein; and
- (ii) any and all claims and demands that I may have against any firm, person or corporation which has heretofore infringed upon my right in said **U.S. Patent Application No. 11/100,807**, together with the right to retain for **ASSIGNEE** any and all sums that **ASSIGNEE** may obtain or recover as a result of the assertion or enforcement of any such claim or demand.

**WE HEREBY** covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith; and

**WE HEREBY** further covenant and agree that we will communicate to **ASSIGNEE** any and all facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination, reissue, substitute and foreign counterpart applications, make all rightful oaths and generally do everything possible to aid **ASSIGNEE** to obtain and enforce proper patent protection for said improvements in all countries.

**IN TESTIMONY WHEREOF**, I hereunto set my hand this 19<sup>th</sup> day of June, 2005.

  
\_\_\_\_\_  
Harry A. Atwater

STATE OF )  
COUNTY OF )


On \_\_\_\_\_, before me \_\_\_\_\_,  
Notary Public, personally appeared **Harry A. Atwater** personally known to me (or proved to me  
on the basis of satisfactory evidence) to be the person whose name is subscribed to the within  
instrument and acknowledged to me that she executed the same in her authorized capacity, and  
that by her signature on the instrument the person, or the entity upon behalf of which the person  
acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Signature of Notary

\*\*\*\*\*

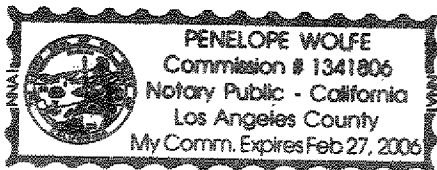
IN TESTIMONY WHEREOF, I hereunto set my hand this 20<sup>th</sup> day of JUNE,  
2005.

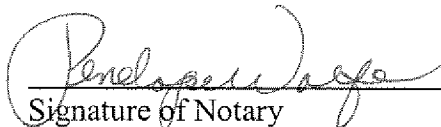
  
\_\_\_\_\_  
Robert J. Walters

STATE OF California )  
COUNTY OF Los Angeles )

On June 20, 2005, before me Penelope Wolfe, Notary Public, personally  
appeared **Robert J. Walters**, ~~personally known to me~~ (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized capacity, and that by his  
signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
Signature of Notary

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