

FORM PTO-1595 (Rev. 06/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET**

**PATENTS ONLY**

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Mark A. Reiley, Robert M. Scribner, Lawrence R. Jones, David Stinson

Execution Date(s) 01/31/2005, 01/19/2005, 01/26/2005, 01/25/2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License

Other Correction of Assignor information for Reel/Frame 016239/0929 recorded on 02/02/2005.

**2. Name and address of receiving party(ies):**

Name: Archus Orthopedics, Inc.

Street Address: 8624 154<sup>th</sup> Avenue N.E.

City: Redmond

State: WA

Country USA Zip 98052

Additional name(s) & address(es) attached? ☐ Yes ☐ No

**4. Application number(s) or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s) 10/974,009

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Cecily Anne O'Regan

Internal Address: Wilson Sonsini Goodrich & Rosati

Street Address: 650 Page Mill Road

City: Palo Alto

State: CA Zip: 94304-1050

Phone Number: (650) 493-9300

Fax Number: (650) 493-6811

Email Address: coregan@wsgr.com

Atty Docket No.: 29914-701.504

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

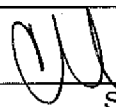
**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

B. Deposit account number: 23-2415

Authorized User Name Wilson Sonsini Goodrich & Rosati

**9. Signature.**



Signature

September 8, 2005

Date

Cecily Anne O'Regan, Reg. No. 37,448

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

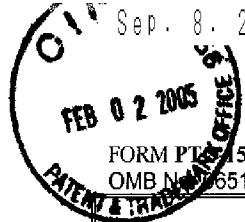
Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 232415 10974009

**PATENT**

**REEL: 016759 FRAME: 0467**

2720222-1.DOC  
**700209267**



02-11-2005

FORM PLT-1595 (Rev. 06/04)  
OMB No. 1551-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



RE

102939789

2-2-05

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**  
Mark A. Reiley, Robert M. Scibner, Lawrence R. Jones, David Stinson

Execution Date(s) 1/31/05, 1/19/05, 1/26/05, 1/25/05  
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
Other \_\_\_\_\_

**2. Name and address of receiving party(ies):**  
Name: Archus Orthopedics, Inc.

Internal Address: \_\_\_\_\_

Street Address: 8624 154<sup>th</sup> Avenue, N.E.

City: Redmond

State: WA

Country USA Zip 98052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application number(s) or patent number(s):** ☐ This document is being filed together with a new application.

A. Patent Application No.(s) 10/974,009

B. Patent No.(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Sheldon Lee

Internal Address: Wilson Sonsini Goodrich & Rosati

Street Address: 650 Page Mill Road

City: Palo Alto

State: CA Zip: 94304-1050

Phone Number: (650) 493-9300

Fax Number: (650) 493-6811

Email Address: sklee@wsgr.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 40E  
Expiration Date \_\_\_\_\_

B. Deposit account number: 23-2415

Authorized User Name Wilson Sonsini Goodrich & Rosati

**9. Signature.** \_\_\_\_\_ February 2, 2005  
Signature Date

Sheldon Lee, Reg. No. 53,197  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

02/10/2005 LCUOPER 0000206 232415 10974009  
01/10/05 10.00 00

## ASSIGNMENT OF APPLICATION

Docket Number 29914-701.504

Whereas, the undersigned:

1. REILEY, Mark A.  
Piedmont, CA2. SCRIBNER, Robert M.  
Niwot, CO3. JONES, Lawrence R.  
Conifer, CO4. STINSON, David  
Woodinville, CA

hereinafter termed "Inventors", have invented certain new and useful improvements in

**PROTHESES, SYSTEMS AND METHODS FOR REPLACEMENT OF NATURAL  
FACET JOINTS WITH ARTIFICIAL FACET JOINT SURFACES**

- ☒ for which an application for United States Patent was filed on October 25, 2004, Application No. 10/974,009.  
☐ for which a United States Patent issued on \_\_, U.S. Patent No. \_\_.

WHEREAS, Archus Orthopedics Inc., a corporation of the State of Delaware, having a place of business at 8624 154<sup>th</sup> Avenue N.E., Redmond, WA 98052, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

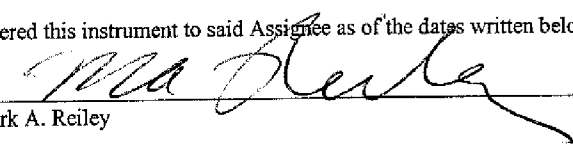
1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 1/31/05  
Mark A. Reiley

Date: \_\_\_\_\_

Robert M. Scribner

Date: \_\_\_\_\_

Lawrence R. Jones

Date: \_\_\_\_\_

David Stinson

## ASSIGNMENT OF APPLICATION

Docket Number 29914-701.504

Whereas, the undersigned:

- |                                    |                                     |                                      |                                      |
|------------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|
| 1. REILEY, Mark A.<br>Piedmont, CA | 2. SCRIBNER, Robert M.<br>Niwot, CO | 3. JONES, Lawrence R.<br>Conifer, CO | 4. STINSON, David<br>Woodinville, CA |
|------------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|

hereinafter termed "Inventors", have invented certain new and useful improvements in

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- ☒ for which an application for United States Patent was filed on October 25, 2004, Application No. 10/974,009.
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NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: \_\_\_\_\_

Mark A. Reiley

Date: 19 Jan 2005

Robert M. Scribner

Date: \_\_\_\_\_

Lawrence R. Jones

Date: \_\_\_\_\_

David Stinson

## ASSIGNMENT OF APPLICATION

Docket Number 29914-701.504

Whereas, the undersigned:

- |                                    |                                     |                                      |                                      |
|------------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|
| 1. REILEY, Mark A.<br>Piedmont, CA | 2. SCRIBNER, Robert M.<br>Niwot, CO | 3. JONES, Lawrence R.<br>Conifer, CO | 4. STINSON, David<br>Woodinville, CA |
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NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

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IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: \_\_\_\_\_

Mark A. Reiley

Date: \_\_\_\_\_

Robert M. Scribner

Date: 26 JAN 2005

Lawrence R. Jones

Date: \_\_\_\_\_

David Stinson

## ASSIGNMENT OF APPLICATION

Docket Number 29914-701.504

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- |                                    |                                    |                                      |                                      |
|------------------------------------|------------------------------------|--------------------------------------|--------------------------------------|
| 1. REILEY, Mark A.<br>Piedmont, CA | 2. SCRIBNER, Robert M.<br>Niwt, CO | 3. JONES, Lawrence R.<br>Conifer, CO | 4. STINSON, David<br>Woodinville, CA |
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Mark A. Reiley

Date: \_\_\_\_\_

Robert M. Scribner

Date: \_\_\_\_\_

Lawrence R. Jones

Date: 1/25/05<sup>PS</sup>

David Stinson