

07-18-2005

U.S. DEPARTMENT OF COMMERCE
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103042177

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Morteza M. Farr, D. O.

Ciaran Keane

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 1, 2005, May 1, 2005

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Innovative Spine, LLC

Internal Address: _____

Street Address: 36064 Manon Ave.

City: Madera

State: CA

Country: USA Zip: 93638

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

07/12/2005 SDIRETA1 00000028 11176889

05 FC:8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark D. Miller

Internal Address: Kimble, MacMichael & Upton

Street Address: 5260 N. Palm Ave., Suite 221

City: Fresno

State: CA Zip: 93704

Phone Number: 559-435-5500

Fax Number: 559-435-1500

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

Mark D. Miller

Signature

July 6, 2005

Date

Mark D. Miller #32,277

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Assignment of Invention


For good and valuable consideration, the receipt of which is hereby acknowledged, **Morteza M. Farr, D.O.** of 2206 Lighthouse Cir., Tracy, California 95304 (hereafter "Assignor"), does hereby assign, sell and transfer to Innovative Spine, LLC, a California Limited Liability Corporation, of 36064 Manon Ave., Madera, California 93638 (hereafter "Assignee"), and the successors, assigns and legal representatives of the Assignee, the entire worldwide right, title and interest for not only the United States and its territorial possessions, but also in all foreign countries, including the rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled **Sleeve Assembly For Spinal Stabilization System And Methods Of Use** and which is found in the U.S. patent application executed on even date herewith, and any legal equivalent thereof in any foreign country, including the right to claim priority, and in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

Assignor covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Assignor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments, affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

In Witness Whereof, I have hereunto set hand and seal this 20 day of May, 2005.

Assignor



Morteza M. Farr, D.O

10541 00 assignment1.2

Assignment of Invention

For good and valuable consideration, the receipt of which is hereby acknowledged, Ciaran Keane of 818 American St., San Carlos, California 94070 (hereafter "Assignor"), does hereby assign, sell and transfer to Innovative Spine, LLC, a California Limited Liability Corporation, of 36064 Manon Ave., Madera, California 93638 (hereafter "Assignee"), and the successors, assigns and legal representatives of the Assignee, the entire worldwide right, title and interest for not only the United States and its territorial possessions, but also in all foreign countries, including the rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled **Sleeve Assembly For Spinal Stabilization System And Methods Of Use** and which is found in the U.S. patent application executed on even date herewith, and any legal equivalent thereof in any foreign country, including the right to claim priority, and in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

Assignor covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Assignor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments, affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

In Witness Whereof, I have hereunto set hand and seal this 25 day of April, 2005.

Assignor


Ciaran Keane

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