

07-19-2005



103042511

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Ho P. Ho (06/27/2005), Chi L. Wong (06/29/2005),  
Shu Y. Wu (06/29/2005), Wing C. Law  
(06/29/2005),

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

2. Name and address of receiving party(ies)

Name: The Chinese University of Hong Kong

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

Shatin, N.T.,

City: Hong Kong

State: \_\_\_\_\_

Country: People's Republic of China Zip: \_\_\_\_\_

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Paul F. Fehlner, Ph.D.  
DARBY & DARBY P.C.

Internal Address: Atty. Dkt.: 20516/0203051-USO

Street Address: P.O. Box 5257

City: New York

State: NY Zip: 10150-5257

Phone Number: (212) 527-7665

Fax Number: (212) 753-6237

Email Address: pfehlner@darbylaw.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

9. Signature:

Signature

Jeffrey C. Pepe - 46,985

Name of Person Signing

July 5, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

5

07/13/2005 CINGUYEN2 00000062 11178077

04 FC:8021

40.00 DP

Express Mail Label No.

Dated: \_\_\_\_\_

{W:\20516\0203051us0\00467257.DOC }

PATENT  
REEL: 016772 FRAME: 0201

**RECORDATION FORM COVER SHEET  
(continued)****Additional Conveying Party(ies)/Execution Date(s) (1. Continued):**

Chinlon Lin (06/29/2005), and Sui K. Kong (06/28/2005)

**Additional Assignees (2. Continued):**

Assignee Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Assignee Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Assignee Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

**Additional Applications and/or Patents (4. Continued):**Additional Patent Application Numbers  
4A. Continued:Additional Patent Numbers  
4B. Continued:

Additional numbers attached?

☐

Yes

☐

No

**ASSIGNMENT**

I, Ho Pui HO, a citizen of British, residing at  
Flat 1A, 15 Dianthus Road, Yau Yat Chuen, Kowloon, Hong Kong; and

I, Chi Lok WONG, a citizen of China, residing at  
Flat 2011, Yan King House, King Sing Court, Fanling, Hong Kong; and

I, Shu Yuen WU, a citizen of China, residing at  
Rm. 2032, Wah Sang House, Wah Fu Estate, Hong Kong; and

I, Wing Cheung LAW, a citizen of China, residing at  
Rm. 1502, Kwai Yan House, Kwai Fong Est., N.T., Hong Kong; and

I, Chinlon LIN, a citizen of USA, residing at  
Residence 17-11B, the Chinese University of Hong Kong, Shatin, NT, Hong Kong; and

I, Sui Kai Kong, a citizen of British, residing at  
Flat A, 2/F, Tower 12A, Laguna Verde, Kln, Hong Kong;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

The Chinese University of Hong Kong

a University organized under the laws of People's Republic of China, located at Shatin, N.T., Hong Kong, PEOPLE'S REPUBLIC OF CHINA (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, **in and for the United States of America only** in and to my Invention entitled:

Optical Sensing Devices with SPR Sensors Based on Differential Phase Interrogation and  
Measuring Method using the Same

invented by me and described in the specification bearing the above Attorney Docket No. and title executed by me concurrently herewith; and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: 27 June 05

HP HO  
Ho Pui HO, Inventor

Dated: 29 June 05

Chi Lok  
Chi Lok WONG, Inventor

Dated: 29 June 05

Shu Yuen WU  
Shu Yuen WU, Inventor

Dated: 29 June 05

Wing Cheung LAW  
Wing Cheung LAW, Inventor

Dated: 29 June 05

Chinlon LIN  
Chinlon LIN, Inventor

Dated: 28 June 2005

Sui Kai KONG  
Sui Kai KONG, Inventor