

7-20-05

07-28-2005

PATENT RECORD
COVER SHEET

103049681

Docket No.: U73.12-0005 -- owk

113014 U.S. PTO
11/185995

072005

To the Commissioner for Patents: **Mail Stop Assignment Recordation Services** - Please record the attached copy thereof.

1. Name of conveying party(ies):

- (1) John A. Giaimo
(2) John P. Tirone III
(3)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: United Technologies Corporation

Internal Address: _____

Street Address: One Financial PlazaCity: Hartford, State: CT ZIP: 06101Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other _____

Execution Date: July 19, 2005

4A. Application No.(s)

If this document is being filed together with a new application, the execution date(s) of the application is: July 19, 2005Additional numbers attached? ☐ Yes ☒ No

4B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David R. FairbairnStreet Address: Kinney & Lange, P.A.,312 South Third StreetCity: Minneapolis State: MN ZIP 55415-10026. Total number of applications and patents involved: ☐ 17. Total fee (37 C.F.R. 3.41):.....\$ 40.00

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


 David R. Fairbairn

7/20/05
 Date
Total number of pages including cover sheet, attachments and document: ☒ 3

07/27/2005 DBYRNE 00000030 11185995

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40.00 DP

ASSIGNMENT

Attorney Docket No.

U73.12-0005

First Named Inventor : John A. Giaimo

Title : INNER DIAMETER VARIABLE VANE ACTUATION MECHANISM

WHEREAS, I, John A. Giaimo, of Weston, FL, U.S.A. and John P. Tirone III, of Moodus, CT, U.S.A., have invented certain new and useful improvements as described in an application entitled INNER DIAMETER VARIABLE VANE ACTUATION MECHANISM for Letters Patent of the United States, the application having been executed on even date herewith, and/or being identifiable in the United States Patent and Trademark Office by Application No. _____, filed _____; and

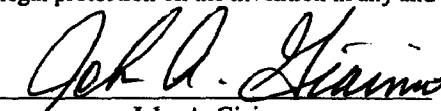
WHEREAS, United Technologies Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at One Financial Plaza, Hartford CT 06101, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

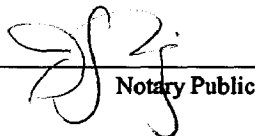
I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

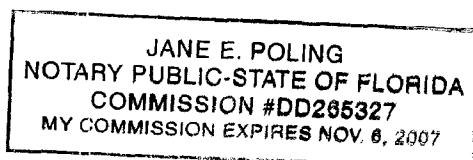

John A. Giaimo

STATE OF Florida)
) ss.
COUNTY OF Palm Beach

Subscribed and sworn to before me, a Notary Public, this 19 day of July, 2005.


Notary Public

(SEAL)



Page 1 of 2 Pages

PATENT
REEL: 016801 FRAME: 0168

John P. Tirone III

STATE OF)
) ss.
COUNTY OF)

Subscribed and sworn to before me, a Notary Public, this ____ day of _____, 200__.

Notary Public

(SEAL)

ASSIGNMENT

Attorney Docket No.

U73.12-0005

First Named Inventor : John A. Giaimo

Title : INNER DIAMETER VARIABLE VANE ACTUATION MECHANISM

WHEREAS, I, John A. Giaimo, of Weston, FL, U.S.A. and John P. Tirone III, of Moodus, CT, U.S.A., have invented certain new and useful improvements as described in an application entitled INNER DIAMETER VARIABLE VANE ACTUATION MECHANISM for Letters Patent of the United States, the application having been executed on even date herewith, and/or being identifiable in the United States Patent and Trademark Office by Application No. _____, filed _____; and

WHEREAS, United Technologies Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at One Financial Plaza, Hartford CT 06101, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

John A. Giaimo

STATE OF)
) ss.
COUNTY OF)

Subscribed and sworn to before me, a Notary Public, this ____ day of _____, 200__.

Notary Public

(SEAL)

John P. Tirone III

Subscribed and sworn to before me, a Notary Public, this 19th day of July, 2005.

Notary Public

JOAN KUZMINSKI
NOTARY PUBLIC
MY COMMISSION EXPIRES OCT. 31, 2009