

07-29-2005



Tab settings ⇨⇨⇨

103051193

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Erhard Schreck  
Francis P. Crimi  
Duc Banh  
Thao Anh Nguyen  
Kazuhiro Saito  
Donald J. Brunnett

Andrew LeFebvre  
Scott Hughes  
Brian Strom  
Michael Mallary  
Hyunchul Shim  
Bruce C. Schardt

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: July 6, 2005

2. Name and address of receiving party(ies)  
Name: Maxtor Corporation  
Internal Address: \_\_\_\_\_

Street Address: 2452 Clover Basin Drive

City: Longmont State: CO Zip: 80503

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
11/101,298 filed on 04/07/2005

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Steven G. Roeder  
The Law Office of Steven G. Roeder  
Internal Address: \_\_\_\_\_  
Street Address: 5560 Chelsea Avenue  
City: La Jolla State: CA Zip: 92037

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:  
50-1141

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven G. Roeder  
Name of Person Signing

[Signature]  
Signature

07/25/05  
Date

Total number of pages including cover sheet, attachments, and documents: 10

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

7-26-05

OPR/FINANCE  
2005 JUL 26 AM 12:23

7/28/2005 00000015 11101298

40.00 DP

1 FC:8021

## ASSIGNMENT

WHEREAS, we, Erhard Schreck, a citizen of Germany, residing at 608 King George Ave., San Jose, CA 95136; Francis P. Crimi, a citizen of the United States of America, residing at 999 Highlands Circle, Los Altos, CA 94024; Bruce C. Schardt, a citizen of the United States of America, residing at, 4244 Lindsey Lane, Tracey, CA 95377; Michael Mallary, a citizen of the United States of America, residing at 4 Matthew Lane, Sterling, MA 01564; Scott Hughes, a citizen of the United States of America, residing at 7752 Huntridge Lane, Cupertino, CA 95014; Kazuhiro Saito, a citizen of Japan, residing at, 4627 La Crescent Loop, San Jose, CA 95136; Andrew LeFebvre, a citizen of the United States of America, residing at 91 Hunt Way, Cambell, CA 95008; Brian Strom, a citizen of the United States, residing at 7744 Robindell Way, Cupertino, CA 95014; Duc Banh, a citizen of the United States of America, residing at 3461 Ambum Ave, San Jose, CA 95148; Hyunchul Shim, a citizen of the South Korea, residing at 218 Edgewater Dr, Milpitas, Ca 95035; Donald J. Brunnett, a citizen of the United States of America, residing at 429 Dolores Ct., Pleasanton, CA 94566; and Thao Anh Nguyen a citizen of the United States of America, residing at 6035 Assisi Court San Jose, CA 95138 have invented a certain new and useful invention entitled METHOD FOR ADJUSTING HEAD-TO-DISK SPACING IN A DISK DRIVE, for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney Docket No.3123-643-5 / 20011.09.5 and executed concurrently herewith;


NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, MAXTOR CORPORATION, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503, its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefore to a party other than MAXTOR CORPORATION and we are under no obligation to make and shall not make any assignment of the invention, application, or patent therefore to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to

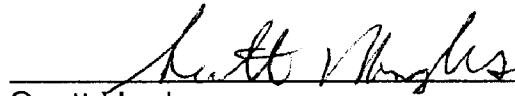
MAXTOR CORPORATION, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Executed this 31 day of March, 2005

  
Erhard Schreck


Executed this 1<sup>st</sup> day of APRIL, 2005

  
Scott Hughes

Executed this 31 day of March, 2005

  
Kazuhiro Saito

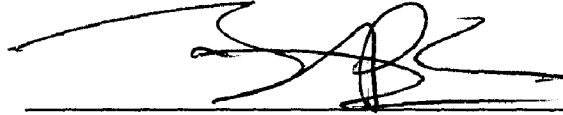
Executed this 31 day of March, 2005

  
Andrew LeFebvre

Executed this \_\_\_\_ day of \_\_\_\_\_, 2005

\_\_\_\_\_  
Donald J. Brunnett

Executed this 1<sup>st</sup> day of April, 2005

\_\_\_\_\_  


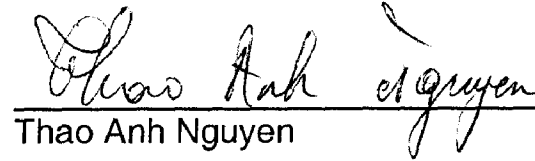
Francis P. Crimi

Executed this 31 day of March, 2005

\_\_\_\_\_  

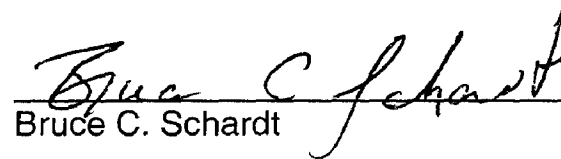

Duc Bahn

Executed this 1<sup>st</sup> day of April, 2005

\_\_\_\_\_  



Thao Anh Nguyen

Executed this 31 day of March, 2005

\_\_\_\_\_  


Bruce C. Schardt

Executed this \_\_\_ day of 7/2/05, 2005

  
\_\_\_\_\_  
Donald J. Brunnett

Executed this \_\_\_ day of \_\_\_\_\_, 2005

\_\_\_\_\_  
Francis P. Crimi

Executed this \_\_\_ day of \_\_\_\_\_, 2005

\_\_\_\_\_  
Duc Bahn

Executed this \_\_\_ day of \_\_\_\_\_, 2005

\_\_\_\_\_  
Thao Anh Nguyen

Executed this \_\_\_ day of \_\_\_\_\_, 2005

\_\_\_\_\_  
Bruce C. Schardt



Executed this 1 day of April, 2005

*Brian Strom*

Brian Strom

STATE OF CA )  
COUNTY OF Santa Clara ) ss.

Before me, a Notary Public in and for said County and State, personally appeared Brian Strom known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 1<sup>st</sup> day of April 2005, 2005.



*Stacey M. Estrada*  
Notary Public

My Commission Expires:  
5/25/07

Executed this 23 day of June, 2005

Michael Mallary  
Michael Mallary

STATE OF MASSACHUSETTS  
COUNTY OF WORCESTER )<sup>ss.</sup>

Before me, a Notary Public in and for said County and State, personally appeared Michael Mallary, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 23<sup>rd</sup> day of June, 2005.

Ann M. Robell  
Notary Public

My Commission Expires: 11/17/20.



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

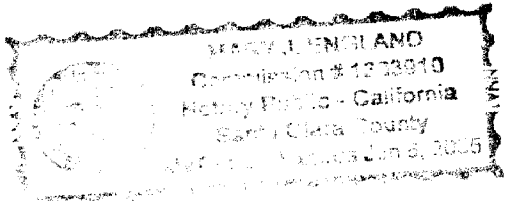
County of Santa Clara } ss.

On March 31, 2005 before me, Mary J. England  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Erhard Schreck  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

M J England  
Signature of Notary Public

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: Assignment

Document Date: March 31, 2005 Number of Pages: six (6)

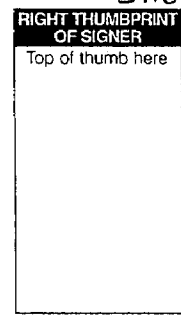
Signer(s) Other Than Named Above: ~~Erhard Schreck~~ <sup>MJE,</sup> Scott Hughes, Kazuhiro Saito,  
Andrew Le Febvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn,

Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt,  
Hyunchul Skim, Michael Mallory and Brian Strom

Signer's Name: Erhard Schreck

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Santa Clara } ss.

On April 1, 2005

Date

before me, Mary J. England

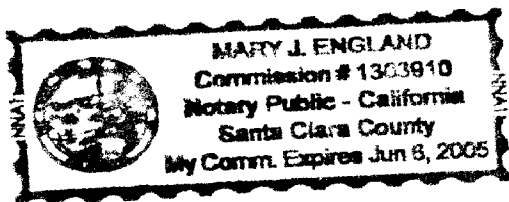
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Francis Patrick Crimi

Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person~~s~~ whose name~~s~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity~~(ies)~~, and that by his/~~her~~/~~their~~ signature~~s~~ on the instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the instrument.



WITNESS my hand and official seal.

Mary J. England  
Signature of Notary Public

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: Assignment

Document Date: March 31 / April 1, 2005

Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saito,

Andrew LeFebvre, Donald J. Brunnett, ~~Francis Patrick Crimi~~, Duc Bahn,

Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt,

Hyunchul Shim, Michael Malloy and Brian Strom

Signer's Name: Francis Patrick Crimi

Individual

Corporate Officer — Title(s): \_\_\_\_\_

Partner —  Limited  General

Attorney-in-Fact

Trustee

Guardian or Conservator

Other: \_\_\_\_\_

Signer Is Representing: self

**RIGHT THUMBPRINT OF SIGNER**

Top of thumb here

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Santa Clara } ss.

On March 31, 2005 before me, Mary J. England

Date

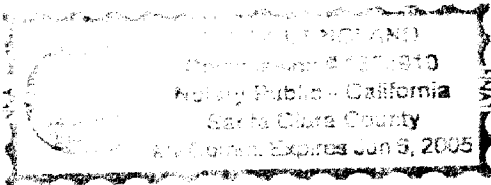
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared ~~AAAF. MJE,~~ Duc Hieu Banh

Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Mary J. England  
Signature of Notary Public

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Title or Type of Document: Assignment

Document Date: March 31, 2005

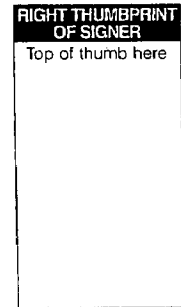
Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saito, Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, ~~Duc Banh~~, MJE

Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt, Hyunghul Shim, Michael Mallory and Brian Strom

Signer's Name: Duc Hieu Banh

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_



Signer Is Representing: self

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Santa Clara } ss.

On April 1, 2005 before me, Mary J. England

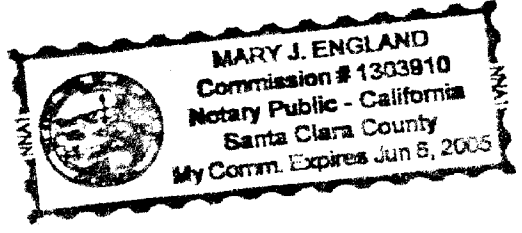
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Thao Anh Nguyen

Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person~~s~~ whose name~~s~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity~~(ies)~~, and that by his/~~her~~/their signature~~s~~ on the instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the instrument.



WITNESS my hand and official seal.

Mary J. England  
Signature of Notary Public

**OPTIONAL**

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**Description of Attached Document**

Title or Type of Document: Assignment

Document Date: April 1 + March 31, 2005 Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saito, Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn,

Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt, Hyunghul Shim, Michael Mallory and Brian Strom

Signer's Name: Thao Anh Nguyen

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

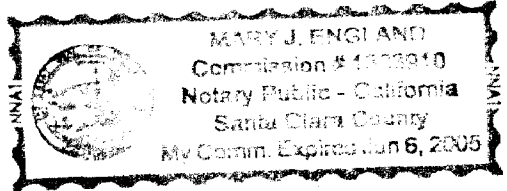
County of Santa Clara } ss.

On March 31, 2005 before me, Mary J. England  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Saito Kazuhiro  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.  
[Signature]  
Signature of Notary Public

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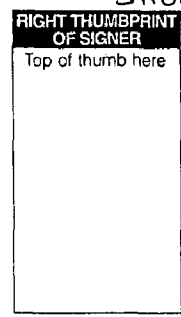
Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiro Saito, Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn,

Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt, Hyunchul Skim, Michael Malborg and Brian Strom

Signer's Name: Saito Kazuhiro

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California  
County of Santa Clara } ss.

On July 6, 2005 before me, Mary J. England  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")  
personally appeared Donald Joseph Brunnett  
Name(s) of Signer(s)

personally known to me  
 proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.  
Mary J. England  
Signature of Notary Public

**OPTIONAL**

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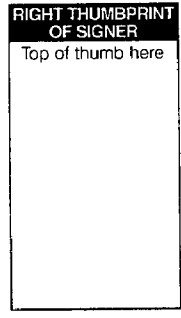
Document Date: July 6, 2005 Number of Pages: Six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiro Saito, Andrew LeFebvre, Francis P. Crimi, Duc Bahr, Thao Anh Nguyen, Bruce C. Schardt, Hyunchal Shim, Michael Mellary and Brian Strom

Capacity(ies) Claimed by Signer  
Signer's Name: Donald Joseph Brunnett

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Santa Clara } ss.

On March 31, 2005 before me, Mary J. England

Mary J. England  
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Andrew Vincent LeFebvre

Andrew Vincent LeFebvre  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

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WITNESS my hand and official seal.

[Signature]  
Signature of Notary Public

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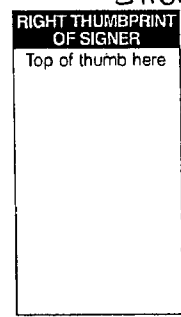
Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saite,

WJ LeFebvre, David J. Brunnett, Francis P. Crimi, Duc Bahn,  
**Capacity(ies) Claimed by Signer** Thao Anh Nguyen, Bruce C. Schardt,  
Hyunchul Shim, Michael Mallory and Brian Strom

Signer's Name: Andrew Vincent LeFebvre

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

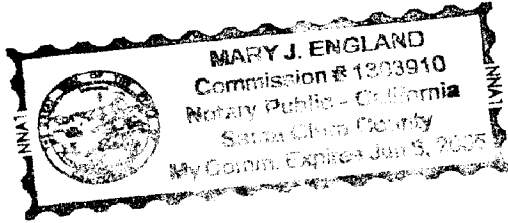
County of Santa Clara } ss.

On April 1, 2005 before me, Mary J. England  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Scott Anthony Hughes  
Name(s) of Signer(s)

personally known to me  
 proved to me on the basis of satisfactory evidence

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WITNESS my hand and official seal.  
[Signature]  
Signature of Notary Public

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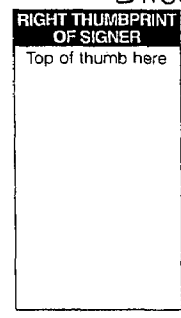
Document Date: March 31 & April 1, 2005 Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, ~~Scott Hughes~~, Kazuhiko Saito, Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn, Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt, Hyunghul Shim, Michael Mallory and Brian Strom

Signer's Name: Scott Anthony Hughes

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self





**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

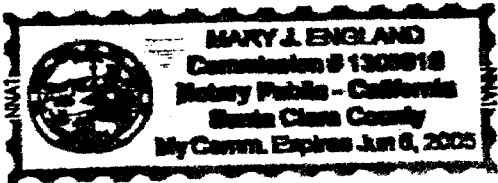
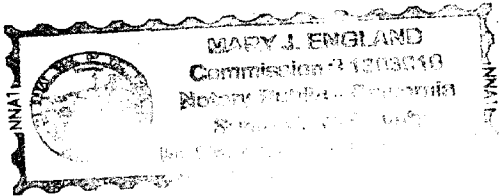
State of California

County of Santa Clara } ss.

On April 1, 2005 before me, Mary J. England  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Hyunchul Shim  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary J. England  
Signature of Notary Public

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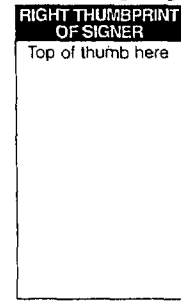
Document Date: March 31 & April 1, 2005 Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saito, Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn, Capacity(ies) Claimed by Signer Thao Anh Nguyen, Bruce C. Schardt, Michael Mallory and Brian Strom

Signer's Name: Hyunchul Shim

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Santa Clara } ss.

On March 31, 2005

Date

before me, Mary J. England

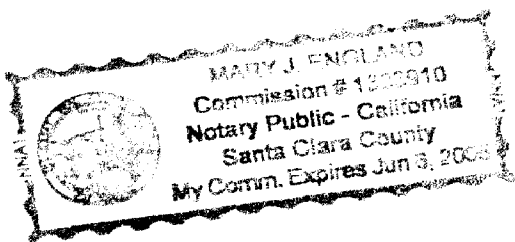
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Bruce C. Schardt

Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Mary J. England  
Signature of Notary Public

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: Assignment

Document Date: March 31, 2005

Number of Pages: six (6)

Signer(s) Other Than Named Above: Erhard Schreck, Scott Hughes, Kazuhiko Saito,

Andrew LeFebvre, Donald J. Brunnett, Francis P. Crimi, Duc Bahn,  
**Capacity(ies) Claimed by Signer** Thao Anh Nguyen, Bruce C. Schardt,  
Hyunchul Shim, Michael Malloy and Brian Strom

Signer's Name: Bruce C. Schardt

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self

