

08-01-2005

J.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RE



103051818

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Shubh D. Sharma
Yi-Qun Shi
Ramesh Rajpurohit
Hui-Zhi Cai; Margarita Bastos

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 25, 2005

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Palatin Technologies, Inc.

Internal Address: 4-C Cedar Brook Drive

Street Address: _____

City: Cranbury

State: New Jersey

Country: USA Zip: 08512

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.
A. Patent Application No.(s) _____
B. Patent No.(s) _____

A. Patent Application No.(s)

07/27/2005 CNGUYEN2 00000053 11188552

40.00 DP

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Stephen A. Slusher

Internal Address: _____

Street Address: Palatin Technologies, Inc.

4-C Cedar Brook Drive

City: Cranbury

State: New Jersey Zip: 08512

Phone Number: 609-495-2200

Fax Number: 609-495-2202

Email Address: sslusher@palatin.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

Stephen A. Slusher

Name of Person Signing

7/25/2005

Date

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 016811 FRAME: 0752

ASSIGNMENT OF INVENTION AND PATENT RIGHTS

Inventors: **Shubh D. Sharma**
 Yi-Qun Shi
 Ramesh Rajpurohit
 Hui-Zhi Cai
 Margarita Bastos

U.S. Serial No.

Title: METALLOPEPTIDE COMPOUNDS

Filing Date: July 25, 2005

For valuable consideration received or to be received, and hereby acknowledged, Shubh D. Sharma of 6 Petty Road, Cranbury, New Jersey 08512, Yi-Qun Shi of 18 Aldrich Street, East Brunswick, New Jersey 08516, Ramesh Rajpurohit of 54 Nostrand Road, Hillsboro, New Jersey 08844, Hui-Zhi Cai of 14 Appletree Lane, East Brunswick, New Jersey 08516, and Margarita Bastos of 8 Clydesdale Court, Plainsboro, New Jersey 08536, (hereinafter referred to as "Inventors"), hereby sell, assign and transfer unto Palatin Technologies, Inc., Cedar Brook Corporate Center, 4-C Cedar Brook Drive, Cranbury, New Jersey 08512, its successors and assigns (hereinafter referred to as "Assignee"), the entire interest for the United States of America and all foreign countries, including all rights of priority under the international conventions and treaties, in and to a certain invention or improvement known as METALLOPEPTIDE COMPOUNDS, and described in an application filed in the United States Patent and Trademark Office, as Attorney Docket No. 0507-05 and given U.S. Patent Application Serial No. _____, which claims priority of U.S. Provisional Patent Application Serial No. 60/590,933, entitled Metallopeptide Compounds, filed on July 23, 2004, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or on any provisional, utility, divisional, continuing, reissue, reexamination or other applications based in whole or in part thereon. And Inventors agree hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper in Assignee's sole discretion to secure to Assignee the grant of Letters Patent in the United States and/or in other countries as Assignee may determine in its sole discretion, with specifications and claims in such form as shall be approved by Assignee's counsel, and to vest and confirm in Assignee the legal and equitable title and full use and benefit of all such patents.

Inventors hereby authorize and request the attorneys of record in said application to insert in this Assignment the filing date and serial number of said application when officially known.

Inventors further agree with Assignee that this Assignment covers all processes, specifications, procedures, techniques and other knowledge and information now or hereafter known to Inventors which are not in the public domain and which relate to the above-mentioned invention or improvement, its manufacture, uses or embodiments, or other matters (the "Related Know-How"). Inventors agree to disclose or provide all Related Know-How to Assignee when discovered or upon request.

Inventors further covenant that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to Inventors and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Inventors' rights in the above-mentioned invention or improvement and Related Know-How and any patent application(s) and Letters Patent thereon have not been previously assigned, mortgaged or otherwise encumbered, and Inventors have full right, power and authority to assign all such rights to Assignee hereunder.

As used herein, the terms "Inventors" and "Assignee" shall include such parties and their heirs, administrators, estates, successors and assigns of every kind.

Inventors hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and all foreign countries' equivalent officials, to issue such Letters Patent as shall be granted on said application or applications based thereon to Assignee.

DATED this 25 day of July, 2005.

Shubh D. Sharma
Shubh D. Sharma

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

The foregoing instrument was acknowledged before me this 25 day of
July, 2005, by Shubh D. Sharma

Brenda L. Guadagno
Notary Public

My commission expires:

January 29, 2007
SEAL

Brenda L. Guadagno
Notary Public of New Jersey
Commission Expires January 29, 2007

DATED this 24th day of July, 2005.

Yi-Qun Shi
Yi-Qun Shi

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

The foregoing instrument was acknowledged before me this 25 day of
July, 2005, by Yi-Qun Shi

Brenda L. Guadagno
Notary Public

My commission expires:

January 29, 2007
SEAL

Brenda L. Guadagno
Notary Public of New Jersey
Commission Expires January 29, 2007

DATED this 15 day of July, 2005.

Ramesh Rajpurohit
Ramesh Rajpurohit

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

The foregoing instrument was acknowledged before me this 25 day of July, 2005, by Ramesh Rajpurohit.

My commission expires:

January 29, 2007
SEAL

Brenda L. Guadagno
Notary Public

Brenda L. Guadagno
Notary Public of New Jersey
Commission Expires January 29, 2007

DATED this 25 day of July, 2005.

Hui-Zhi Cai
Hui-Zhi Cai

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

The foregoing instrument was acknowledged before me this 25 day of July, 2005, by Hui-Zhi Cai.

My commission expires:

January 29, 2007
SEAL

Brenda L. Guadagno
Notary Public

Brenda L. Guadagno
Notary Public of New Jersey
Commission Expires January 29, 2007

DATED this 25 day of July, 2005.

Margarita Bastos
Margarita Bastos

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

The foregoing instrument was acknowledged before me this 25 day of
July, 2005, by Margarita Bastos.

My commission expires:

January 29, 2007
SEAL

Brenda L. Guadagno
Notary Public

Brenda L. Guadagno
Notary Public of New Jersey
Commission Expires January 29, 2007

[L:\Patent Applications\Metallopeptide\Assign-Metallopeptide Compounds.doc]