

08-08-2005



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U.S. Patent and Trademark Office  
Docket No. 19603/2851 (CRF D-2461A)

To the Honorable Comm

Attached original documents or copy thereof.

1. Name of conveying party(ies):

103057001

William Mark Saltzman  
Dan Luo  
Hong Shen  
Kim Woodrow-Mumford

Name: Cornell Research Foundation, Inc.

Street Address: Cornell Business & Technology  
Park, 20 Thornwood Drive, Suite 105

City: Ithaca State: NY Zip: 14850

Country: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date(s):

1<sup>st</sup> Inventor: August 18, 20002<sup>nd</sup> Inventor: September 8, 20003<sup>rd</sup> Inventor: January 20, 20024<sup>th</sup> Inventor: September 4, 2000

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the filing date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/616,711

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Edwin V. MerkelFirm Name: Nixon Peabody LLP

Internal Address: \_\_\_\_\_

Street Address: Clinton Square, P.O. Box 31051City: Rochester State: NY Zip: 14603-10516. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

14-1138

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Edwin V. Merkel

Name of Person Signing  
Registration No. 40,087

  
Signature

August 1, 2005  
Date

Total number of pages including cover sheet, attachments, and documents: 7

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Laura L. Trost

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PATENT  
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# ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned,

**William Mark Saltzman**  
**Dan Luo**  
**Hong Shen**  
**Kim Woodrow-Mumford**  
**Nadya D. Belcheva**

Hereby sell, assign and transfer to:

## **CORNELL RESEARCH FOUNDATION, INC.**

a corporation of The State of New York, having a place of business at Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York 14850, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/616,711, filed July 14, 2000, entitled

## **CONTROLLED NUCLEIC ACID DELIVERY SYSTEMS**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date 8/18/00

Date 9/8/00

 (L.S.)

**William Mark Saltzman**

 (L.S.)

**Dan Luo**

Date	_____	_____ (L.S.)
		<b>Hong Shen</b>
Date	_____	_____ (L.S.)
		<b>Kim Woodrow-Mumford</b>
Date	_____	_____ (L.S.)
		<b>Nadya D. Belcheva</b>

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Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date \_\_\_\_\_ (L.S.)

**William Mark Saltzman**

Date \_\_\_\_\_ (L.S.)

**Dan Luo**

Date Jan 20, 2002

  
\_\_\_\_\_  
**Hong Shen** (L.S.)

Date \_\_\_\_\_

\_\_\_\_\_  
**Kim Woodrow-Mumford** (L.S.)

Date \_\_\_\_\_

\_\_\_\_\_  
**Nadya D. Belcheva** (L.S.)

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Date \_\_\_\_\_

\_\_\_\_\_  
**William Mark Saltzman** (L.S.)

Date \_\_\_\_\_

\_\_\_\_\_  
**Dan Luo** (L.S.)

Date \_\_\_\_\_

\_\_\_\_\_  
**Hong Shen** (L.S.)

Date 9/9/02

  
**Kim Woodrow-Mumford** (L.S.)

Date \_\_\_\_\_

\_\_\_\_\_  
**Nadya D. Belcheva** (L.S.)