Form **PTO-1595** (Rev. 03/05)



Attorney Docket No. 02307W-145310

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005	Officed States Faterit and Trademark Office
103056533	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)  The Regents of the University of
Raffaele Mezzenga	Name: California
Glenn H. Fredrickson Edward J. Kramer	Internal Address:
	Internal / day ood.
Additional name(s) of conveying party(ies) attached?  Yes  No	Street Address: 1111 Franklin Street,
3. Nature of conveyance/Execution Date(s):	12th Floor
Execution Date(s) 5/21/05; 5/19/05; 5/19/05	City: Oakland
Assignment Merger	
Security Agreement Change of Name	State: California
Joint Research Agreement	
Government Interest Assignment	Country: US Zip: 94607
Executive Order 9424, Confirmatory License	
Other	Additional name(s) & address(es) attached?  Yes  No
4. Application or patent number(s):	
A. Patent Application No.(s)	B. Patent No.(s)
11/084,727	ached? Tyes No
	F
Additional numbers attached? Yes No	
5. Name and address to whom correspondence 6. Total number of applications and patents	
concerning document should be mailed:	involved: 1
Name: Babak Kusha	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Internal Address:	Authorized to be charged by credit card
TOWNSEND AND TOWNSEND AND CREW LLP	Authorized to be charged to deposit account
Street Address: Two Embarcadero Center,	Enclosed
Eighth Floor	None required (government interest not affecting title)
City: San Francisco	8. Payment Information a. Credit Card Last 4 numbers
State: California Zip: 94111-3834	a. Credit Card Last 4 numbers Expiration Date
Phone Number: (415) 576-0200	b. Deposit Account Number 20-1430
Fax Number: (415) 576-0300	Authorized User Name Townsend and
Email Address: BK@townsend.com	Townsend and Craw LP
9. Signature: Bassak Kuche	8/1/05
Signature	Date
Babak Kusha Total number of pages including cover Name of Person Signing Atty. Reg. No. 51,095 sheet, attachments, and documents:	
Name of Person Signing Atty. Reg. No. 51,095 sheet, attachments, and documents: 🙎 🔠	

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, VA 22313-1450

**PATENT** 

**REEL: 016833 FRAME: 0011** 

## **ASSIGNMENT**

U.C. Case No. 2002-471-2 For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S), 2. Glenn H. Fredrickson 1. Raffaele Mezzenga 3. Edward J. Kramer hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: PROCESS FOR CREATING HIGH INTERNAL PHASE POLYMERIC EMULSIONS and which is found in U.S. provisional application filed herewith and listing the above-named persons as inventors (a) U.S. patent application filed herewith and listing the above-named persons as inventors (b) X U.S. application serial no. 11/084,727, filed on March 18, 2005 (c) U.S. Patent No.: , issued \_\_\_\_\_ (d) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment: ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this Signature of Inventor(s) \_\_\_\_ day of \_\_\_\_\_, 20<u>05</u> Lenn H. Fredr 19 day of May , 2005 Glenn H. Fredrickson day of \_\_\_\_\_, 20<u>05</u>

Edward J. Kramer

rev 10//03 10150574 v1

> PATENT REEL: 016833 FRAME: 0012

## **ASSIGNMENT**

U.C. Case No. 2002-471-2 For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S), 1. Raffaele Mezzenga 2. Glenn H. Fredrickson 3. Edward J. Kramer hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: PROCESS FOR CREATING HIGH INTERNAL PHASE POLYMERIC EMULSIONS and which is found in U.S. provisional application filed herewith and listing the above-named persons as inventors (a) U.S. patent application filed herewith and listing the above-named persons as inventors (b) <u>X</u> U.S. application serial no. 11/084,727, filed on March 18, 2005 (c) U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_ (d) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. Signature of Inventor(s) executed this \_\_\_\_ day of \_\_\_\_\_, 20<u>05</u> Raffaele Mezzenga 19th day of May, 2005 Edward J. Kramer

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## **ASSIGNMENT**

U.C. Case No. 2002-471-2 For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S), 2. Glenn H. Fredrickson 3. Edward J. Kramer hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: PROCESS FOR CREATING HIGH INTERNAL PHASE POLYMERIC EMULSIONS and which is found in U.S. provisional application filed herewith and listing the above-named persons as inventors (a) (b) U.S. patent application filed herewith and listing the above-named persons as inventors U.S. application serial no. 11/084,727, filed on March 18, 2005 (c) U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_ (d) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this 21 day of MAY , 2005

Glenn H. Fredrickson

Edward J. Kramer

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\_\_\_\_ day of \_\_\_\_\_, 20<u>05</u>

\_\_\_\_\_ day of \_\_\_\_\_\_, 20<u>05</u>

RECORDED: 08/04/2005

PATENT REEL: 016833 FRAME: 0014