Form PTO-1595 (Rev. 03/05) OMB No. 0651-0027 (exp. 6/30/2005) 08-03-2005



U.S. DEPARTMENT OF COMMERCE nited States Patent and Trademark Office

	ase record the attached documents or the new address(es) below
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
BARCLAYS BUSINESS CREDIT, INC.	Name: SHAWMUT CAPITAL CORPORATION
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes I	No.
3. Nature of conveyance/Execution Date(s):	Street Address: 6060 J.A. JONES DRIVE
Execution Date(s) 1/31/1995	-
Assignment Merger	0.4
Security Agreement Change of Name	City: CHARLOTTE
Joint Research Agreement	State: NORTH CAROLINA
Government Interest Assignment	Country: USA Zip:28287
Executive Order 9424, Confirmatory License	
Other SEE ATTACHED CONVEYANCE DESCRIPTION	Additional name(s) & address(es) attached? Yes
A. Patent Application No.(s)  Additional numbers	B. Patent No.(s)  SEE ATTACHED SCHEDULE A  attached? Yes No
Additional numbers  5. Name and address to whom correspondence	SEE ATTACHED SCHEDULE A
Additional numbers	SEE ATTACHED SCHEDULE A  attached? ✓ Yes  No
Additional numbers  5. Name and address to whom correspondence	see ATTACHED SCHEDULE A  attached?  Yes  No  6. Total number of applications and patents
Additional numbers  5. Name and address to whom correspondence concerning document should be mailed:	see ATTACHED SCHEDULE A  attached?  Yes No  6. Total number of applications and patents involved: 34
Additional numbers  5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL	see attached? Yes No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card Authorized to be charged to deposit account
Additional numbers  5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL	see attached? Yes No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card Authorized to be charged to deposit account Y Enclosed
Additional numbers  5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL  Internal Address: CARRUTHERS & ROTH, P.A.	see attached?  Yes  No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$1,360.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Finclosed  None required (government interest not affecting
Additional numbers  5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL  Internal Address: CARRUTHERS & ROTH, P.A.	set attached? Yes No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card Authorized to be charged to deposit account Yenclosed None required (government interest not affecting 8. Payment Information
5. Name and address to whom correspondence concerning document should be mailed: Name: SARAH SEALY, PARALEGAL Internal Address: CARRUTHERS & ROTH, P.A. Street Address: 235 NORTH EDGEWORTH STREET	attached?  Yes  No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Finclosed  None required (government interest not affecting 8. Payment Information  a. Credit Card Last 4 Numbers
5. Name and address to whom correspondence concerning document should be mailed: Name: SARAH SEALY, PARALEGAL Internal Address: CARRUTHERS & ROTH, P.A.  Street Address: 235 NORTH EDGEWORTH STREET  City: GREENSBORO	attached? Yes No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card Authorized to be charged to deposit account Yenclosed None required (government interest not affecting 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date
5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL  Internal Address: CARRUTHERS & ROTH, P.A.  Street Address: 235 NORTH EDGEWORTH STREET  City: GREENSBORO  State: NORTH CAROLINA Zip:27401	see attached?  Yes  No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Finclosed  None required (government interest not affecting a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number
5. Name and address to whom correspondence concerning document should be mailed:  Name: SARAH SEALY, PARALEGAL  Internal Address: CARRUTHERS & ROTH, P.A.  Street Address: 235 NORTH EDGEWORTH STREET  City: GREENSBORO  State: NORTH CAROLINA Zip:27401  Phone Number: 336-478-1123	attached? Yes No  6. Total number of applications and patents involved: 34  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,360.00  Authorized to be charged by credit card Authorized to be charged to deposit account Yenclosed None required (government interest not affecting 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## Continued from No. 3 - Nature of Conveyance:

This is a corrective assignment to change the nature of conveyance from "Assignment of Assignor's Interest (see document for details)" to Assignment of Security Interest" for the document previously recorded at Reel/Frame 015027/0969.

### GIBSON DESIGN PATENTS

<u>Pat</u>	ENT NO.	PATENT DATE	TITLE
Dss.	239,052	09-02-76	ELECTRIC GUITAR OR SIMILAR ARTICLE
Des.	240,764	07-27-75	ELECTRIC GUITAR OR SIMILAR ARTICLE
Des.	240,855	08-03-76	PICKGUARD FOR GUITAR OR SIMILAR ARTICLE
Des.	242,072	10-25-76	PICK GUARD FOR GUITAR OR SIMILAR ARTICLE
Des.	242,073	10-26-76	TRUSS ROD COVER FOR GUITAR OR SIMILAR ARTICLE
Des.	242,074	10-26-76	GUITAR OR SIMILAR ARTICLE
Das.	243,118	01-18-77	BRIDGE FOR GUITAR OR SIMILAR ARTICLE
Des.	250,732	01-02-79	CARRYING CASE FOR A MUSICAL INSTRUMENT OR SIMILAR ARTICLE
Des.	254,260	02-19-80	BASS GUITAR OR SIMILAR ARTICLE
Des.	254.621	04-01-80	GUITAR OR SIMILAR ARTICLE
Des.	256,367	08-12-80	GUITAR OR SIMILAR ARTICLE
Des.	256.358	08-12-80	GUITAR OR SIMILAR ARTICLE
Des.	269,523	06-28-83	GUITAR OR SIMILAR ARTICLE
nes.	269,524	06-28-83	BASS GUITAR OR SIMILAR ARTICLE
Des.	280,521	09-10-85	GUITAR OR SIMILAR ARTICLE
Des.	306,455	03-06-90	BASS GUITAR
		,	

-1-

### GIBSON UTILITY PATENTS

		3
PATENT NO.	PATENT DATE	TITLE
3,725,561	04-03-73	METHOD OF ELECTRICALLY REPRODUCING MUSIC AND IMPROVED ELECTRICAL PICKUP FOR PRACTICING THE SAME
3,902,394	09-02-75	ELECTRICAL PICKUP FOR A STRINGED MUSICAL INSTRUMENT
3,911,777	10-14-75	ELECTRIC GUITAR WITH SLIDABLE PICKUP BENEATH STRINGS
3,915.048	10-28-75	ELECTRIC GUITAR CIRCUIT
3,918,751	11-04-75	ELECTRICAL PICKUP FOR A STRINGED MUSICAL INSTRUMENT
3,939,305	02-17-76	REVERBERATION MIXER CIRCUIT
4,016,793	04-12-77	BRIDGE POR STRINGED MUSICAL INSTRUMENT
4,026,178	05-31-77	HAGNETIC PICKUP FOR STRINGED MUSICAL INSTRUMENT
4,027,570	06-07-77	NECK-BODY JOINT FOR GUITAR-LIKE INSTRUMENTS
4,079.654	03-21-78	BRACING STRUCTURE FOR STRINGED MUSICAL INSTRUMENT
4,151.776	05-01-79	ELECTRONIC PICKUP SYSTEM FOR STRINGED MUSICAL INSTRUMENT
4,208,941.	06-24-80	ADJUSTABLE BRIDGE SADDLE
4,278,002.	07-14-81	FAST-WIND TUNING WACHINE FOR STRINGED MUSICAL INSTRUMENT
4,334,452	06-15-82	PLASTIC HUSICAL INSTRUMENT BODY HAVING STRUCTURAL INSERT
4,334,454	06-15-82	CUITAR BRIDGE
4,385,543	05-31-83	ADJUSTABLE BRIDGE FOR A STRINGED MUSICAL INSTRUMENT
4,657,114	04-14-87	BRIDGE PICKUP FOR STRING INSTRUMENTS
4,846.038	07-11-69	NECK STRUCTURE FOR STRINGED INSTRUMENT

-2-

### GIBSON FOREIGN PATENTS

## BRIDGE PICKUP FOR STRING INSTRUMENTS CORRESPONDING TO U.S. PATENT NO. 4,657,114

Country	Patent or Serial No.	Date
Australia	585,005 8,506,087	06-08-89
Prance Germany Great Britain	3,613,888 2,189,338	10-29-87 11-29-89
Japan	SN F61-102224	Filed 06-05-86

Form PTO-1593 (Rev. 10/02)  OMB No. 0651-0027 (exp. 6/30/2005)  Tab settings ⇔ ⇔	U.S. DEPARTMENT OF COMU.S. Patent and Tradema
	rks: Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): BARCLAYS BUSINESS CREDIT, INC. 3-3-04	Name and address of receiving party(ies)     Name: SHAWMUT CAPITAL CORPORATION
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes	No
3. Nature of conveyance:	
Assignment Merger  Security Agreement Change of Name	Street Address: 6060 J.A. JONES DRIVE
Other	City: CHARLOTTE State: NC Zip: 28287
1/31/1995 Execution Date:	· ·
If this document is being filed together with a new a	unication, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s) SEE ATTACHED SCHEDULE "A"
Additional number	B. Patent No.(s)
	B. Patent No.(s)
Additional number  5. Name and address of party to whom correspondence	B. Patent No.(s)SEE ATTACHED SCHEDULE "A"s attached?
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:	B. Patent No.(s)
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:  Name: Deborah E. Lindley  Carruthers & Roth, P.A.	B. Patent No.(s)  SEE ATTACHED SCHEDULE "A"  s attached? Ves No  6. Total number of applications and patents involve  7. Total fee (37 CFR 3.41)
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:  Name: Deborah E. Lindley  Carruthers & Roth, P.A.	B. Patent No.(s)  SEE ATTACHED SCHEDULE "A"  s attached? Yes No  6. Total number of applications and patents involve  7. Total fee (37 CFR 3.41)
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:  Name:  Carruthers & Roth, P.A.  Internal Address:	B. Patent No.(s)  SEE ATTACHED SCHEDULE "A"  s attached? Yes No  6. Total number of applications and patents involve  7. Total fee (37 CFR 3.41)
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:  Name: Deborah E. Lindley  Carruthers & Roth, P.A.  Internal Address: 235 N. Edgeworth Street  City: Greensboro State: NC Zip: 27401  DO NOT L	B. Patent No.(s)  SEE ATTACHED SCHEDULE "A"  s attached? Yes No  6. Total number of applications and patents involve  7. Total fee (37 CFR 3.41)
Additional number  5. Name and address of party to whom correspondent concerning document should be mailed:  Name: Deborah E. Lindley  Carruthers & Roth, P.A.  Internal Address: 235 N. Edgeworth Street  City: Greensboro State: NC Zip: 27401	B. Patent No.(s)  SEE ATTACHED SCHEDULE "A"  s attached? Yes No  6. Total number of applications and patents involve  7. Total fee (37 CFR 3.41)

documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

PATENT

**REEL: 016835 FRAME: 0077** 

# ASSIGNMENT OF SECURITY INTERESTS IN INTELLECTUAL PROPERTY

THIS ASSIGNMENT OF SECURITY INTERESTS IN INTELLECTUAL PROPERTY ("Assignment"), made as of the 31st day of January, 1995, by BARCLAYS BUSINESS CREDIT, INC., a Connecticut corporation ("Assignor"), having a place of business at 6060 J. A. Jones Drive, Charlotte, North Carolina 28287, in favor of SHAWMUT CAPITAL CORPORATION, a Connecticut corporation ("Assignee"), having a place of business at 6060 J. A. Jones Drive, Charlotte, North Carolina 28287;

### **WITNESSETH:**

WHEREAS, Gibson Guitar Corp., a Delaware corporation ("Borrower"), having its principal place of business at 1818 Elm Hill Pike, Nashville, Tennessee 37210, pursuant to that certain Amended, Restated and Substitute Patent Assignment, dated December 19, 1990 ("Security Agreement"), granted to Assignor a security interest in all of Borrower's intellectual property more particularly described in Schedule A attached hereto and incorporated herein by reference (collectively, the "Intellectual Property"), which Security Agreement was recorded at Reel 5570, Frame 220, Office of the Commissioner of Patents and Trademarks;

WHEREAS, Assignor desires to transfer and convey to Assignee all of Assignor's right, title and interest in the Security Agreement and the liens and security interests created thereby;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby expressly acknowledged, Assignor does hereby grant, sell, assign, transfer and set over unto Assignee and its successors and assigns all of Assignor's right, title and interest in and to the Intellectual Property described in Schedule A attached hereto and incorporated herein by reference, to have and to hold the same unto Assignee and its successors and assigns, together with the indebtedness secured by the Security Agreement and the benefits and privileges created thereunder.

IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the 31st day of January, 1995.

BARCLAYS BUSINESS CREDIT, INC.

By: Nice President

### STATE OF NORTH CAROLINA

### COUNTY OF MECKLENBURG

The foregoing Assignment of Security Interests in Intellectual Property was executed and acknowledged before me this 35 day of January, 1995, by W. Ked OUN , personally known to me to be Vice President of BARCLAYS BUSINESS CREDIT, INC., a Connecticut corporation, on behalf of the corporation.

My commission expires: March 6, 1998

BBCI\CROSSBOW\GIBSON.ASN 12/JLB/1-23-95

-2-

Sarah M. Sealy Paralegal Direct Phone 336.478.1123 Direct Fax 336.478.1114 sms@crlaw.com

August 2, 2005

### VIA FEDERAL EXPRESS (PRIORITY)

Rhonda Nicol, Supervisor United States Patent and Trademark Office Assignment Division 2900 Crystal Drive, 2nd Floor Arlington, Virginia 22202

Re:

Corrective Documents - Assignment of Security Interests: Barclays Business Credit, Inc. ("Barclays"); Shawmut Capital Corporation ("Shawmut"); Fleet Capital

Corporation ("Fleet")

Dear Ms. Nichol:

Enclosed are twenty-five (25) Recordation Form Cover Sheets Trademarks Only and nineteen (19) Recordation Form Cover Sheets Patents Only, together with appropriate copies of previously recorded documents. The trademark documentation is in the order listed on <u>Table 1</u> to this letter and the enclosed patent documentation is in the order listed on <u>Table 2</u> to this letter.

The trademark and patent documentation corrects (a) the Nature of Conveyance and (b) corrects the name of the Conveying Party for certain of the enclosed documents where Fleet Capital Corporation is the Conveying Party. Also enclosed are our firm's checks attached to each document set in payment of the fees noted on each cover sheet.

On receipt, please record the enclosed documents and return the recorded documents to my attention.

Thank you for your assistance and please let me know if you have any questions.

Regards,

Sarah Sealy

**Enclosures** 

cc: June L. Basden, Esq. (without enclosures)

RECORDED: 08/03/2005

Mitchell M. Purvis, Esq. (First Class Mail, without enclosures)

Rhonda J. Birdwell (First Class Mail, without enclosures)

L. Wayne Beavers, Esq. (First Class Mail, without enclosures)

235 North Edgeworth Street • Post Office Box 540 (27402) • Greensboro, North Carolina 27401
Telephone 336.379.8651 • Facsimile 336.273.7885 • Litigation Facsimile 336.478.1175 • www.crlaw.com