

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Ascent Pediatrics, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): May 18, 2004

- ☐ Assignment ☐ Merger ☒ Change of Name  
☐ Security Agreement ☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

2. Name and address of receiving party(ies)

Name: MEDICIS PEDIATRICS, INC.

Internal Address:

Street Address:

8125 North Hayden Road

City: Scottsdale

State: Arizona

Country: United States of America Zip: 85258-2463

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)  
10/786,435

☐ This document is being filed together with a new application.

B. Patent No.(s)  
5,763,449  
5,962,461

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Li-Hsien Rin-Laures, M.D.  
MARSHALL, GERSTEIN & BORUN LLP

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Email Address:

6. Total number of applications and patents involved:

3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers  
Expiration Date

b. Deposit Account Number 13-2855  
Authorized User Name Li-Hsien Rin-Laures, M.D.

9. Signature:

*Li-Hsien Rin-Laures*  
Signature

Li-Hsien Rin-Laures, M.D. - 33,547  
Name of Person Signing

Sept. 27, 2005  
Date

Total number of pages including cover sheet, attachments, and documents:

3

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-0140, on the date shown below.

Dated: September 27, 2005

Signature: *Li-Hsien Rin-Laures* (Li-Hsien Rin-Laures, M.D.)

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# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ASCENT PEDIATRICS, INC.", CHANGING ITS NAME FROM "ASCENT PEDIATRICS, INC." TO "MEDICIS PEDIATRICS, INC.", FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF MAY, A.D. 2004, AT 5:38 O'CLOCK P.M.



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*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State  
AUTHENTICATION: 4172020.

DATE: 09-21-05

PATENT  
REEL: 016844 FRAME: 0141

CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
ASCENT PEDIATRICS, INC.


It is hereby certified that:

1. The name of the corporation (hereinafter called the "corporation") is  
Ascent Pediatrics, Inc
2. The certificate of incorporation of the corporation is hereby amended by striking  
out Article 1 thereof and by substituting in lieu of said Article the following new Article:

"The name of the Corporation is: Medicis Pediatrics, Inc."

3. The amendment of the certificate of incorporation herein certified has been duly  
adopted and written consent has been given in accordance with the provisions of Sections 228 and  
242 of the General Corporation Law of the State of Delaware.

Signed on April 21, 2004

  
Mark A. Pryocki, Sr., Secretary and Treasurer

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