


Revised Form PTO-1595 (Rev. 6-93)	<b>RECORDATION FORM COVER SHEET</b>	U.S. Department of Commerce Patent and Trademark Office
PATENTS ONLY		Docket No.: A-869A
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): <u>Vinod F. PATEL</u> <u>Daniel LA</u>  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <u>AMGEN INC.</u>  Internal Address: <u>Patent Operations/Mailstop 28-2-C</u>  Street Address: <u>One Amgen Center Drive</u>  City: <u>Thousand Oaks</u> State: <u>CA</u> Zip: <u>91320-1799</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: <u>September 6, 2005</u>		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____  A. Patent Application No.(s) <u>11/042,634</u>  B. Patent No.(s) _____  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>U.S. Patent Operations/RSR</u>  Internal Address: <u>AMGEN INC.</u>  Street Address: <u>1120 Veterans Boulevard</u>  City: <u>S. San Francisco</u> State: <u>CA</u> Zip: <u>94080</u>	6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 0 5px;">1</span>  7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account.  8. Deposit account number: <u>01-0519</u>  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional filing fees which may be required or credit any overpayment to Deposit Account No. 01-0519	
DO NOT USE THIS SPACE		
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  <div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <u>Joseph W. Bullock</u> Name of Person Signing           </div> <div style="width: 30%; text-align: center;">  Signature           </div> <div style="width: 30%; text-align: right;"> <u>September 29, 2005</u> Date           </div> </div> <div style="text-align: right; margin-top: 5px;">         Total number of pages including cover sheet, attachments, and document: <span style="border: 1px solid black; padding: 0 5px;">4</span> </div>		

### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted to the United States Patent and Trademark Office on the date shown below:

September 29, 2005  
Date



Docket No. : A-869A

## ASSIGNMENT

Whereas, we, Vinod F. Patel, 3 Mossy Lane, Acton, Massachusetts 01720, USA  
Daniel La, 124 Park Street #3, Brookline, Massachusetts 02446, USA

have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled

COMPOUNDS AND METHODS OF USE

which is found in:

U.S. Application Serial No. 11/042,634 filed on January 24, 2005, which claims the benefit of U.S. Provisional Application No. 60/538,691 filed January 23, 2004.

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799, wishes to acquire the entire interest in all inventions disclosed in such Application;

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto AMGEN INC., its successors and assigns (collectively "AMGEN") our entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Further, we hereby sell, assign, transfer, and set over unto AMGEN our entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by us or at our direction or deposited on our behalf by Amgen, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, our unreserved and irrevocable consent and authorization to AMGEN to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

For ourselves and for our legal representatives, we covenant and agree with AMGEN that we have not granted to any others any license to make, use or sell any of such inventions, that our right, title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN that upon request we and they will: (i) execute continuing, divisional, or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such

Docket No.: A-869A

inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the 6<sup>th</sup> day of September 2005

Vinod F. Patel  
(Name of Inventor)

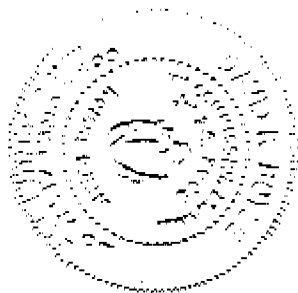
*Vinod F. Patel*  
(Signature of Inventor)

# UNITED STATES OF AMERICA

Commonwealth of Massachusetts }  
County of Middlesex } ss:

On September 6, 2005 before me, DAVID R. Boyle, Notary Public,  
personally appeared Vinod F. Patel

☒ personally known to me • OR • ☐ proved to me on the basis of satisfactory evidence



to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

*David R. Boyle*  
Notary Public

Place Seal Above

My commission expires: MY COMMISSION EXPIRES JULY 24, 2008 20

Docket No.: A-869A

In Witness Whereof I have executed this assignment on the 30 day of Aug. 2005

Daniel La  
(Name of Inventor)

*Daniel La*  
(Signature of Inventor)

## UNITED STATES OF AMERICA

Commonwealth of Massachusetts }  
County of Middlesex } ss:

On August 30, 2005 before me, David R. Boyle, Notary Public,  
personally appeared Daniel La,

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized capacity,  
and that by his signature on the instrument the person, or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

David R. Boyle  
Notary Public

MY COMMISSION EXPIRES JULY 24, 2009

My commission expires: \_\_\_\_\_ 20 \_\_\_\_.



Place Seal Above