

FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE
(Revised 3-01) Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE HONORABLE DIRECTOR OF U.S. PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): [Use numbers if there are multiple inventors and multiple dates of execution.]

(1) Gattadahalli M. Anantharamiah
(2) David W. Garber
(3) Geeta Datta

Additional names of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies):

Name: The UAB Research Foundation
Internal Address: AB 1120G
Street Address: 1530 3rd Avenue South
City: Birmingham
State: Alabama
Zip: 35294-0111

Additional name(s) and address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance:

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger	<input type="checkbox"/>	Other
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name	<input type="checkbox"/>	

Execution Date: April 21, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

10/712,447

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

NEEDLE & ROSENBERG, P.C.
Suite 1000
999 Peachtree Street
Atlanta, Georgia 30309

6. Total number of applications and patents involved:

One (1)

7. Total fee (37 CFR § 3.41): \$40.00

☐ Check enclosed

☒ Credit Card Payment Authorization Form PTO-2038 enclosed

☐ The Commissioner is hereby authorized to charge the total fee to Deposit Account No. 14-0629 (Attach duplicate copy of this form if paying by deposit account)

☒ The Commissioner is hereby authorized to charge any underpayment, or credit any overpayment, to Deposit Account No. 14-0629.

OP \$40.00 10/712447

PATENT

REEL: 016853 FRAME: 0301

700215812

ATTORNEY DOCKET NO. 21085.0143U2

APPLICATION NO. 10/712,447

Page 2 of 2

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



Tina W. McKeon
Reg. No. 43,791

October 5, 2005

Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 6

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and any items indicated as attached or included are being transmitted via facsimile transmission to: the Assignment Division at 571 273 0140 on the date indicated below.



Tina W. McKeon

October 5, 2005

Date

ASSIGNMENT

WHEREAS, we, Gattadahalli M. Anantharamiah, a citizen of the United States and residing at 3798 Carisbrooke Drive, Birmingham, AL 35226, David W. Garber, a citizen of the United States and residing at 1718 14th Terrace South, Birmingham, AL 35205 and Geeta Datta, a citizen of India and residing at 118 Crestmont Lane, Pelham, AL 35124 are the inventors of a certain new and useful invention and discovery, for which we have made an application for a United States Patent entitled "SYNTHETIC SINGLE DOMAIN POLYPEPTIDES MIMICKING APOLIPOPROTEIN E AND METHODS OF USE" also identified as Attorney Docket Numbers 112739.123US and NDA-122US, the specification of which was filed with the United States Patent and Trademark Office on November 13, 2003 and has been assigned Serial Number 10/712,447.

WHEREAS, The UAB Research Foundation, whose address is AB 1120G, 1530 3rd Avenue South, Birmingham, AL 35294-0111, which, together with its successors and assigns is hereinafter called "ASSIGNEE," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited.


NOW, THEREFORE, for and in consideration of good and valuable consideration furnished by ASSIGNEE to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to ASSIGNEE the entire right, title, and interest in and to said inventions and discoveries, said United States Patent Application, any and all other applications for Letters Patent(s) on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, reexamination and convention applications based in whole, or in part, upon said inventions or discoveries, or upon said United States Patent Application, and any and all Letters Patent(s), reissues, and extensions of Letters Patent(s) granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon, or arise from, said inventions, said discoveries, said applications, and said Letters Patent(s);
2. Authorize ASSIGNEE to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable, under International Conventions or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments throughout the world to issue or transfer all said Letters Patent(s) to ASSIGNEE, as assignee of the entire right, title, and interest therein or otherwise as ASSIGNEE may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents, or any license to use the same, or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to ASSIGNEE without encumbrance;

5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon ASSIGNEE's request and at ASSIGNEE's expense, but without additional consideration to either us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications, and said Letters Patent(s) shall be held and enjoyed by ASSIGNEE as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this Assignment had not been made; and particularly to execute and deliver to ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by ASSIGNEE; and to communicate to ASSIGNEE all facts known to us relating to said inventions and discoveries or the history thereof, and to testify as to the same in any court or proceeding; and to furnish ASSIGNEE any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 21 day of April 2004.


Gattadahalli M. Anantharamiah

On this 21st day of April, 2004, before me personally came the above-named Gattadahalli M. Anantharamiah to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

MARILYN H. ROBINSON

WITNESS:

Marilyn H. Robinson
Signature and Printed Name

MARILYN H. ROBINSON
NOTARY PUBLIC
ALABAMA STATE AT LARGE
MY COMMISSION EXPIRES
11-18-06

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 21 day of APRIL
2004.

David W. Garber
David W. Garber

On this 21st day of April, 2004, before me personally came the above-named David W. Garber to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS: Marilyn H. Robinson
Signature and Printed Name
MARILYN H. ROBINSON
NOTARY PUBLIC
ALABAMA STATE AT LARGE
MY COMMISSION EXPIRES
11-18-06

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 21 day of APRIL
2004.

Geeta Datta
Geeta Datta

On this 21st day of April, 2004, before me personally came the above-named Geeta Datta to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS: Marilyn H. Robinson
Signature and Printed Name
MARILYN H. ROBINSON
NOTARY PUBLIC
ALABAMA STATE AT LARGE
MY COMMISSION EXPIRES
11-18-06