

## U.S. PATENT AND TRADEMARK OFFICE

Form PTO-1595

U.S. Department of Commerce

**RECORDATION FORM COVER SHEET - PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

A) Ugochukwu Charles Njoku  
 B) Frank W. Brice Jr.  
 C) David Craddock  
 D) Richard K. Errickson  
 E) Mark S. Farrell  
 F) Charles W. Gainey Jr.  
 G) Donald W. Schmidt  
 H) Gustav E. Sittmann III

## 2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS  
MACHINES CORPORATION  
 Address: New Orchard Road  
Armonk, NY 10504

More names attached? Y X NMore names attached? Y X N3. Nature of Conveyance: X Assignment

4. Application number: 11/100,837 Filed: 4/7/2005  
 Filed with new application: yes X no

## Execution date(s):

a) April 5, 2005 \_\_\_\_\_ i) \_\_\_\_\_  
 b) April 7, 2005 \_\_\_\_\_ j) \_\_\_\_\_  
 c) April 5, 2005 \_\_\_\_\_ k) \_\_\_\_\_  
 d) April 5, 2005 \_\_\_\_\_ l) \_\_\_\_\_  
 e) April 5, 2005 \_\_\_\_\_ m) \_\_\_\_\_  
 f) April 6, 2005 \_\_\_\_\_ n) \_\_\_\_\_  
 g) April 5, 2005 \_\_\_\_\_ o) \_\_\_\_\_  
 h) April 6, 2005 \_\_\_\_\_ p) \_\_\_\_\_

Additional numbers attached? Y X N

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eugene I. Shkurko  
IBM Corporation  
Intellectual Property Law  
2455 South Road, P386  
Poughkeepsie, New York 12601  
 Fax: 845-432-9601

6. Total # of applications and patents involved: 01

7. Total fee (37 CFR 3.41):  
\$ 40.00

8. Charge to Deposit Account  
09-0463 (IBM).

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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Eugene I. Shkurko*  
 Signature

10/7/2005  
 Date

Total number of pages including cover sheet, attachments, and document: 5

Express Mail Label No.

Docket No.: POU920050025US1**PATENT**

700216363

REEL: 016860 FRAME: 0048

CH \$40.00 090463 11100837

Docket No.: POU920050025US1

**A S S I G N M E N T****Whereas, We**

Inventor	(1) Ugochukwu Charles Njoku	of Bronx,
	County of Bronx,	State of New York
Inventor	(2) Frank W. Brice, Jr.	of Hurley,
	County of Ulster,	State of New York
Inventor	(3) David Craddock	of New Paltz,
	County of Ulster,	State of New York
Inventor	(4) Richard K. Errickson	of Poughkeepsie,
	County of Dutchess,	State of New York
Inventor	(5) Mark S. Farrell	of Pleasant Valley,
	County of Dutchess,	State of New York
Inventor	(6) Charles W. Gainey, Jr.	of Poughkeepsie,
	County of Dutchess,	State of New York
Inventor	(7) Donald W. Schmidt	of Stone Ridge,
	County of Ulster,	State of New York
Inventor	(8) Gustav E. Sittmann, III	of Webster Groves,
	County of St. Louis,	State of Missouri

have invented certain improvements in

**Virtualization of an I/O Adapter Port  
Using Enablement and Activation Functions**

and executed, respectively, a United States patent application therefor on

(1) April 5, 2005, (2) April 7, 2005, (3) April 5, 2005  
 (4) April 5, 2005, (5) April 5, 2005, (6) April 6, 2005  
 (7) April 5, 2005, (8) \_\_\_\_\_.

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM

## Docket No.: POU920050025US1

may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## Signed:

(1)	at <u>Poughkeepsie, NY</u> on <u>4/5/05</u>	<u>Ugochukwu Charles</u> Ugochukwu Charles Njoku
(2)	at <u>POUGHKEEPSIE, NY</u> on <u>4/7/2005</u>	<u>Frank W. Brice, Jr.</u> Frank W. Brice, Jr.
(3)	at <u>Poughkeepsie, NY</u> on <u>5 April 2005</u>	<u>David Craddock</u> David Craddock
(4)	at <u>Poughkeepsie, NY</u> on <u>5 April 2005</u>	<u>Richard K. Errickson</u> Richard K. Errickson
(5)	at <u>Poughkeepsie, NY</u> on <u>April 5, 2005</u>	<u>Mark S. Farrell</u> Mark S. Farrell
(6)	at <u>POUGHKEEPSIE NY</u> on <u>APRIL 6, 2005</u>	<u>Charles W. Gainey, Jr.</u> Charles W. Gainey, Jr.
(7)	at <u>Poughkeepsie, NY</u> on <u>4/8/05</u>	<u>Donald W. Schmidt</u> Donald W. Schmidt
(8)	at _____ on _____	<u>Gustav E. Sittmann, III</u> Gustav E. Sittmann, III

Docket No.: P00520050043031

## A S S I G N M E N T

Whereas, We

Inventor	(1) Ugochukwu Charles Njoku County of Bronx,	of Bronx, State of New York
Inventor	(2) Frank W. Brice, Jr. County of Ulster,	of Hurley, State of New York
Inventor	(3) David Craddock County of Ulster,	of New Paltz, State of New York
Inventor	(4) Richard K. Erickson County of Dutchess,	of Poughkeepsie, State of New York
Inventor	(5) Mark S. Farrell County of Dutchess,	of Pleasant Valley, State of New York
Inventor	(6) Charles W. Gainey, Jr. County of Dutchess,	of Poughkeepsie, State of New York
Inventor	(7) Donald W. Schmidt County of Ulster,	of Stone Ridge, State of New York
Inventor	(8) Gustav E. Sittmann, III County of St. Louis,	of Webster Groves, State of Missouri

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 (4) \_\_\_\_\_ (5) \_\_\_\_\_ (6) \_\_\_\_\_  
 (7) \_\_\_\_\_ (8) April 6, 2005

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM

Docket No.: POU920050025US1

may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IEM.

## Signed:

(1)	at _____ on _____	_____ Ugochukwu Charles Njoku
(2)	at _____ on _____	_____ Frank W. Brice, Jr.
(3)	at _____ on _____	_____ David Craddock
(4)	at _____ on _____	_____ Richard K. Erickson
(5)	at _____ on _____	_____ Mark S. Farrell
(6)	at _____ on _____	_____ Charles W. Gainey, Jr.
(7)	at _____ on _____	<i>Donald W. Schmidt</i> Donald W. Schmidt
(8)	at <u>Hazelwood, Mo.</u> on <u>April 6, 2005</u>	<i>Gustav E. Sittmann III</i> Gustav E. Sittmann, III