

Substitute for Form PTO-1595

**RECORDATION FORM COVER SHEET
PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office**Attorney's Docket No. 018765-225**

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Masato YAMAZAKI, (2) Kenshi MITSUISHI, (3) Isao HARADA, (4) Masao TANAKA, (5) Kohichi HARUTA, (6) Koji WATARI, (7) Jin-yu QIU, (8) Yuji HOTTA and (9) Kimiyasu SATO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: (1) September 12, 2005; (2) September 14, 2005; (3), (6), (8) and (9) September 9, 2005; (4) and (5) September 13, 2005; and (7) October 3, 2005**2. Name and address of receiving party(ies):**Name: (1) MITSUI CHEMICALS, INC.
and(2) NATIONAL INSTITUTE OF ADVANCED
INDUSTRIAL SCIENCE AND TECHNOLOGY

Address:

(1) 5-2, Higashi-Shimbashi 1-chome
Minato-ku, Tokyo
105-7117 JAPAN(2) 3-1, Kasumigaseki 1-chome
Chiyoda-ku, Tokyo
100-8921 JAPANAdditional name(s) & addresses attached? ☐ Yes ☒ No**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
11/174,723

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: Robert G. Mukai

Address:

Buchanan Ingersoll PC
Including attorneys from Burns, Doane, Swecker & Mathis
Customer Number 21839
P.O. Box 1404
Alexandria, VA 22313-1404**6. Total number of applications and patents involved:**

1

7. Total fee (37 CFR 3.41).....\$ \$40.00 (8021)

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☐ Credit card. Form PTO-2038 is attached.

8. Deposit account number:02-4800

(Attach duplicate copy of this page if paying by deposit account.)

9. Statement and Signature.*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Robert G. Mukai
Name of Person Signing28,531
Reg. No.

Signature

October 10, 2005
Date

Total number of pages including cover sheet, attachments, and documents:

5

Mail documents to be recorded with required cover sheet information to:
 Director of the United States Patent and Trademark Office / Mail Stop Assignment Recordation Services
 P.O. Box 1450 / Alexandria, VA 22313-1450

CH \$40.00 024800 11174723

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by Masato YAMAZAKI, Kenshi MITSUISHI, Isao HARADA, Masao TANAKA, Kohichi HARUTA, Koji WATARI, Jin-yu QIU, Yuji HOTTA and Kimiyasu SATO, residing at Takaishi-shi, Osaka, Japan; Minato-ku, Tokyo, Japan; Shimonoseki-shi, Yamaguchi, Japan; Takaishi-shi, Osaka, Japan; Takaishi-shi, Osaka, Japan; Nagoya-shi, Aichi, Japan; Nagoya-shi, Aichi, Japan; Nagoya-shi, Aichi, Japan and Nagoya-shi, Aichi, Japan (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in ALUMINUM NITRIDE POWDER, METHOD FOR PRODUCING THE SAME AND USE THEREOF set forth in an application for Letters Patent of the United States, which is a

- (1) ☐ provisional application
- (a) ☐ bearing Application No. _____, and filed on _____;
- (b) ☐ to be filed herewith; or
- (2) ☒ non-provisional application
- (a) ☒ bearing Application No. 11/174,723, and filed on July 6, 2005;
- (b) ☐ having an oath or declaration executed on even date herewith prior to filing of application;
- (c) ☐ having an oath or declaration executed on a different date than this Assignment; and

WHEREAS, mitsui chemicals, inc. and NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, entities duly organized under and pursuant to the laws of Japan and having a principal places of business at 5-2, Higashi-Shimbashi 1-chome, Minato-ku, Tokyo 105-7117 Japan and 3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo 100-8921 Japan (hereinafter referred to as "the Assignees"), are desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignees, their successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignees, for their own use and behoof and the use and behoof of their successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignees, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the

Application No. 11/174,723
 Attorney Docket No. 018765-225

Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignees, their successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignees, or the counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignees, their successors, legal representatives, and assigns, but at the cost and expense of the Assignees, their successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Burns, Doane, Swecker & Mathis, L.L.P. to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignees as the Assignees of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignees, their successors, legal representatives, and assigns.

DATE September 12, 2005

Masato Yamazaki

Masato YAMAZAKI

DATE September 14, 2005

Kenshi Mitsuishi

Kenshi MITSUISHI

DATE September 9, 2005

Isao Harada

Isao HARADA

DATE September 13, 2005

Masao Tanaka

Masao TANAKA

DATE September 13, 2005

Kohichi Haruta

Kohichi HARUTA

DATE _____

Koji WATARI

DATE _____

Jin-yu QIU

DATE _____

Yuji HOTTA

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Kimiyasu SATO

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DATE _____

 Masato YAMAZAKI

DATE _____

 Kenshi MITSUISHI

DATE _____

 Isao HARADA

DATE _____

 Masao TANAKA

DATE _____

 Kohichi HARUTA

DATE Sep 9 2005

Koji Watari
 Koji WATARI

DATE _____

 Jin-yu QIU

DATE Sep 9 2005

Yuji Hotta
 Yuji HOTTA

DATE Sep 9 2005

Kimiyasu Sato
 Kimiyasu SATO

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DATE _____	_____
	Masato YAMAZAKI
DATE _____	_____
	Kenshi MITSUISHI
DATE _____	_____
	Isao HARADA
DATE _____	_____
	Masao TANAKA
DATE _____	_____
	Kohichi HARUTA
DATE _____	_____
	Koji WATARI
DATE _____	_____
	Jin-yu QIU
DATE <u>10/03/2005</u>	<u>Jinyu Qiu</u>
	Yuji HOTTA
DATE _____	_____
	Kimiyasu SATO