## Atty.DocketNo.CN920040010US1

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

|  | iginal documents or copy thereof.  |  |  |  |
|--|--|--|--|--|
| 1. Name of conveying party(ies):<br>Shi Xia Liu<br>Li Ping Yang<br>Additional name(s) of conveying<br>party(ies) attached? Yes <u>X No</u>   | 2. Name and address of receiving party(ies):<br>Name: International Business<br>Machines Corporation<br>Address: New Orchard Road<br>Armonk, NY 10504<br>Additional name(s) & addresses<br>attached? Yes X No                        |  |  |  |
| 3. Nature of conveyance:   | 4. Patent Application number(s)  |  |  |  |
| X Assignment   | 11/188,194 (filed 07/22/2005)  |  |  |  |
| Execution Date(s): 08/22/05  | Execution Date(s): <u>08/22/05</u>   |  |  |  |
| 5. Name and address of party to whom<br>correspondence concerning document should be<br>mailed:  | 6. Total number of applications<br>involved: 1   |  |  |  |
| Name: Louis P. Herzberg<br>Address: 3 Cloverdale Lane<br>Monsey, N.Y. 10592  | 7. Total fee (37 CFR 3.41): \$40.00<br>X Charge to Deposit Account No.: <u>50-0510</u>   |  |  |  |
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| attached copy is a true copy of original docum<br>Louis P. Herzberg (Reg. No. 41500)   | tour ferbra Oct 6,05   |  |  |  |
| To the best of my knowledge and belief, the for<br>attached copy is a true copy of original docum<br>Louis P. Herzberg (Reg. No. 41500)  | Signature Date   |  |  |  |
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| To the best of my knowledge and belief, the for<br>attached copy is a true copy of original docum<br>Louis P. Herzberg (Reg. No. 41500)<br>Name of Person Signing<br>Total Number of pages inclu   | Signature Date   |  |  |  |
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| To the best of my knowledge and belief, the for<br>attached copy is a true copy of original docum<br>Louis P. Herzberg (Reg. No. 41500)<br>Name of Person Signing<br>Total Number of pages includ<br>DO NOT DET<br>and documents to be recorded with required cover she<br>Assistant Commissioner for P<br>Box Assignments<br>Washington, DC 20231<br>blic burden reporting for this sample cover sheet is<br>recorded, including time for reviewing the document<br>viewing the sample cover sheet. Send comments regar<br>ademark Office, Office of Information Systems, PK2-3 | enty form for the stimated to average about 30 minutes per document to the data needed, and completing and rding this burden estimate to the U.S. Patent and 1000C, Washington, DC 20231, and to the Office of                       |  |  |  |
| To the best of my knowledge and belief, the for<br>attached copy is a true copy of original docum<br>Louis P. Herzberg (Reg. No. 41500)<br>Name of Person Signing<br>Total Number of pages includ<br>DO NOT DET<br>and documents to be recorded with required cover she<br>Assistant Commissioner for P<br>Box Assignments<br>Washington, DC 20231<br>blic burden reporting for this sample cover sheet is<br>recorded, including time for reviewing the document<br>viewing the sample cover sheet. Send comments regar<br>ademark Office, Office of Information Systems, PK2-3 | enty form for the stimated to average about 30 minutes per document t<br>and gathering the data needed, and completing and<br>rding this burden estimate to the U.S. Patent and<br>1000C, Washington, DC 20231, and to the Office of |  |  |  |

PATENT

**REEL: 016866 FRAME: 0970** 

(JOINT FORM)

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## Assignment

|  | Whereas, we   |                                  |  |  |  |  |  |
|--|---|----------------------------------|--|--|--|--|--|
| INVENTOR<br>AND CITY                                 | (1) Shi Xia Liu   | of Beijing<br>China              |  |  |  |  |  |
| INVENTOR   |   |                                  |  |  |  |  |  |
| AND CITY   | (2) Li Ping Yang  | of Beijing<br>China              |  |  |  |  |  |
| TITLE  | have invented certain improvements in<br>CONSTRUCTING AND MAINTAINING A PERSONALIZED CATEGORY TREE,<br>DISPLAYING DOCUMENTS BY CATEGORY AND PERSONALIZED<br>CATEGORIZATION SYSTEM |                                  |  |  |  |  |  |
| DATES THAT<br>INVENTORS<br>SIGNED THE<br>DECLARATION | and executed, respectively, a United States patent app<br>(1) Any 22 2005 and (2) Ang. 2.   | Dication therefor on<br>2. 2005. |  |  |  |  |  |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

| CITY AND<br>DATE | (1) at Beijing<br>on Ang, 22 2 | 2005, | Ghixia Lan        |                        |                   | SIGNATURE |
|------------------|--------------------------------|-------|-------------------|------------------------|-------------------|-----------|
|                  |                                | ·     | Shi<br>FIRST NAME | Xia<br>middle initial  | Liu<br>last name  | :         |
| CITY AND<br>DATE | (2) at Beijing<br>on Ang, 22   | 2005, | Li<br>FIRST NAME  | Ping<br>MIDDLE INITIAL | Yang<br>LAST NAME | IGNATURE  |

PATENT REEL: 016866₀**₣₽₳₩**₣<u>₀₽₽7</u>3 \*\*\*

**RECORDED: 10/11/2005**