

08-16-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

REC



103061620

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Informative, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 13, 2005

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Apex Investment Fund V, L.P.

Internal Address: _____

Street Address: 225 West Washington Street, Suite 1500

City: Chicago

State: IL

Country: USA Zip: 60606

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/952,753

B. Patent No.(s)

5,920,725
5,995,951
6,018,805
6,304,861

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Elizabeth Plageman

Internal Address: Gunderson Dettmer Stough Villeneuve
Franklin & Hachigian LLP

Street Address: 155 Constitution Drive

City: Menlo Park

State: CA Zip: 94025

Phone Number: 650-321-2400

Fax Number: 650-321-2800

Email Address: eplageman@gunder.com

6. Total number of applications and patents involved: Five (5)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 200.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

Signature: 00000123-09952753

200.00 00

Signature

Date

Elizabeth Plageman
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 11

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 016871 FRAME: 0643

Name and Address of Receiving Parties:

Nokia Ventures, L.P.
545 Middlefield Road, Suite 210
Menlo Park, CA 94025
USA

Star Bay Technology Ventures IV, L.P.
c/o Levensohn Venture Partners
333 Bush St. Suite 2580
San Francisco, CA 94104
USA

Star Bay Partners, L.P.
c/o Levensohn Venture Partners
333 Bush St. Suite 2580
San Francisco, CA 94104
USA

Star Bay Associates Fund, L.P.
c/o Levensohn Venture Partners
333 Bush St. Suite 2580
San Francisco, CA 94104
USA

New Enterprise Associates 8A, L.P.
2490 Sand Hill Road
Menlo Park, CA 94025
USA

New Enterprise Associates VIII, L.P.
2490 Sand Hill Road
Menlo Park, CA 94025
USA

EXHIBIT A

DESCRIPTION OF COLLATERAL

All personal property of Borrower (herein referred to as "Borrower" or "Debtor") whether presently existing or hereafter created, written, produced or acquired, including, but not limited to:

(i) all accounts receivable, accounts, chattel paper, contract rights (including, without limitation, royalty agreements, license agreements and distribution agreements), documents, instruments, money, deposit accounts and general intangibles, including, without limitation, payment intangibles, returns, repossessions, books and records relating thereto, and equipment containing said books and records, all financial assets, all investment property, including securities and securities entitlements;

(ii) all software, computer source codes and other computer programs and supporting information (collectively, the "Software Products"), and all common law and statutory copyrights and copyright registrations, applications for registration, now existing or hereafter arising, United States of America and foreign, obtained or to be obtained on or in connection with the Software Products, or any parts thereof or any underlying or component elements of the Software Products together with the right to copyright and all rights to renew or extend such copyrights and the right (but not the obligation) of any Lender (herein referred to as "Lender" or "Secured Party") to sue in its own name and/or the name of the Debtor for past, present and future infringements of copyright;

(iii) all goods, including, without limitation, equipment and inventory (including, without limitation, all export inventory) and all computer programs embedded in goods and any supporting information;

(iv) all guarantees and other security therefor;

(v) all trademarks, service marks, trade names and service names and the goodwill associated therewith;

(vi) (a) all patents and patent applications filed in the United States Patent and Trademark Office or any similar office of any foreign jurisdiction, and interests under patent license agreements, including, without limitation, the inventions and improvements described and claimed therein, (b) licenses pertaining to any patent whether Debtor is licensor or licensee, (c) all income, royalties, damages, payments, accounts and accounts receivable now or hereafter due and/or payable under and with respect thereto, including, without limitation, damages and payments for past, present or future infringements thereof, (d) the right (but not the obligation) to sue for past, present and future infringements thereof, (e) all rights corresponding thereto throughout the world in all jurisdictions in which such patents have been issued or applied for, and (f) the reissues, divisions, continuations, renewals, extensions and continuations-in-part with any of the foregoing (all of the foregoing patents and applications and interests under patent

license agreements, together with the items described in clauses (a) through (f) in this paragraph are sometimes herein individually and collectively referred to as the "Patents");

(vii) all letter-of-credit rights and letters of credit; and

(viii) all products and proceeds, including, without limitation, insurance proceeds, of any of the foregoing.

Notwithstanding the foregoing, no security interest is granted in any contract rights, licenses or intellectual property if such grant causes a default enforceable under applicable law or if a third party has the right enforceable under applicable law to terminate Borrower's rights under or with respect to any such contract, license or intellectual property and such third party has exercised such right of termination.



SECRETARY OF STATE
STATE OF CALIFORNIA

UCC Filing Acknowledgement

07/13/2005

Page 1 of 2

PLAGEMAN ELIZABETH
155 CONSTITUTION DRIVE
MENLO PARK CA 94025

Filing Fee: \$5.00
Total Fee: \$5.00

The California Secretary of State's Office has received and filed your document. The information below reflects the data that was indexed in our system. Please review the information for accuracy. Included is an image of the filed document to assist you in your review. If you find a potential error, please notify the UCC Section at the number listed below at your earliest convenience.

Filing Type: **Financing Statement**
Filing Number: **05-7034125845**

File Date: **07/13/2005**
Lapse Date: **07/13/2010**

File Time: **16:35**

Debtor(s):

ORGANIZATION

**INFORMATIVE, INC.
2000 SIERRA POINT PARKWAY, SUITE 301 BRISBANE CA
USA 94005**

Secured Party(ies):

ORGANIZATION

**APEX INVESTMENT FUND V, L.P.
225 WEST WASHINGTON, SUITE 1500 CHICAGO IL USA
60606**

ORGANIZATION

**NOKIA VENTURES, L.P.
545 MIDDLEFIELD ROAD, SUITE 210 MENLO PARK CA
USA 94025**

ORGANIZATION

**STAR BAY TECHNOLOGY VENTURES IV, L.P.
(C/O LEVENSOHN VENTURE PARTNERS) 333 BUSH ST.,
SUITE 2580 SAN FRANCISCO CA USA 94104**

ORGANIZATION

**STAR BAY PARTNERS, L.P.
(C/O LEVENSOHN VENTURE PARTNERS) 333 BUSH ST.,
SUITE 2580 SAN FRANCISCO CA USA 94104**

ORGANIZATION

**STAR BAY ASSOCIATES FUND, L.P.
(C/O LEVENSOHN VENTURE PARTNERS) 333 BUSH ST.,
SUITE 2580 SAN FRANCISCO CA USA 94104**

ORGANIZATION

**NEW ENTERPRISE ASSOCIATES 8A, L.P.
2490 SAND HILL ROAD MENLO PARK CA USA 94025**

ORGANIZATION

**NEW ENTERPRISE ASSOCIATES VIII, L.P.
2490 SAND HILL ROAD MENLO PARK CA USA 94025**

Filing by the Secretary of State is not conclusive proof that all conditions for securing priority have been met. Ensuring that accurate information is on the document to be filed is the responsibility of the filing party. If this filing is challenged, the Secretary of State does not guarantee that the filing is legally sufficient to secure priority under UCC Article 9 and expressly disclaims any liability for failure of the filing party to secure priority resulting from the information contained in the filed document, or the lack of information on the filed document.

GUNDERSON
DETTMER
STOUGH
VILLENEUVE
FRANKLIN &
HACHIGIAN, LLP

August 10, 2005

Via Certified Mail

Assignment Recordation Services
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Security Assignment – Form 1595

Dear Director:

Enclosed please find our Form 1595 filing for a security assignment in the following patents and patent applications:

09/952,753 , 5,920,725 , 5,995,951 , 6,018,805 and 6,304,861.

We have enclosed our UCC-1 Financing Statement as supporting evidence to perfect the security interest.

If you have any questions, please give me a call at 650-463-5343. Thank you for your assistance.

Yours Sincerely,



Elizabeth Plageman
IP Associate

EPZ/pid

Enclosure(s)

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]

Elizabeth Plageman 650-463-5343

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Elizabeth Plageman
155 Constitution Drive
Menlo Park, CA 94025
USA

DOCUMENT NUMBER: 4336370002

FILING NUMBER: 05-7034125845

FILING DATE: 7/13/2005 4:35:18 PM

IMAGE GENERATED ELECTRONICALLY FOR WEB FILING
THE ABOVE SPACE IS FOR CA FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or combine names

OR	1a. ORGANIZATION'S NAME Informative, Inc.				
	1b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
1c. MAILING ADDRESS 2000 Sierra Point Parkway, Suite 301		CITY Brisbane	STATE CA	POSTAL CODE 94005	COUNTRY USA
1d. SEE INSTRUCTIONS		ADD'L DEBTOR INFO	1e. TYPE OF ORGANIZATION Corporation	1f. JURISDICTION OF ORGANIZATION CA	1g. ORGANIZATIONAL ID#, if any 2178524 <input type="checkbox"/> NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

OR	2a. ORGANIZATION'S NAME				
	2b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
2d. SEE INSTRUCTIONS		ADD'L DEBTOR INFO	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID#, if any <input type="checkbox"/> NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

OR	3a. ORGANIZATION'S NAME Apex Investment Fund V, L.P.				
	3b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
3c. MAILING ADDRESS 225 West Washington, Suite 1500		CITY Chicago	STATE IL	POSTAL CODE 60606	COUNTRY USA

4. This FINANCING STATEMENT covers the following collateral:

See Attachment(s)

5. ALT DESIGNATION: ☐ LESSEE/LESSOR ☐ CONSIGNEE/CONSIGNOR ☐ BAILEE/BAILOR ☐ SELLER/BUYER ☐ AG. LIEN ☐ NON-UCC FILING

☐ 6. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS Attach Addendum [if applicable]

7. Check to REQUEST SEARCH REPORT(S) on Debtor(s)
[ADDITIONAL FEE] [optional] ☐ All Debtors ☐ Debtor 1 ☐ Debtor 2

8. OPTIONAL FILER REFERENCE DATA

UCC FINANCING STATEMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

OR	9a. ORGANIZATION'S NAME Informative, Inc.			
	9b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

10. MISCELLANEOUS:

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11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or combine names

OR	11a. ORGANIZATION'S NAME				
	11b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
11c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
11d. SEE INSTRUCTIONS		ADD'L DEBTOR INFO	11e. TYPE OF ORGANIZATION	11f. JURISDICTION OF ORGANIZATION	11g. ORGANIZATIONAL ID#, if any <input type="checkbox"/> NONE

12. ☒ ADDITIONAL SECURED PARTY'S or ☐ ASSIGNOR S/P'S NAME - insert only one name (12a or 12b)

OR	12a. ORGANIZATION'S NAME Nokia Ventures, L.P.				
	12b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
12c. MAILING ADDRESS 545 Middlefield Road, Suite 210		CITY Menlo Park	STATE CA	POSTAL CODE 94025	COUNTRY USA

13. This FINANCING STATEMENT covers ☐ timber to be cut or
☐ as-extracted collateral, or is filed as a ☐ fixture filing.

14. Description of real estate:

15. Name and address of RECORD OWNER of above-described real estate
 (if Debtor does not have a record interest):

16. Additional collateral description:

17. Check only if applicable and check only one box.

Debtor is a ☐ Trust or ☐ Trustee acting with respect to property held in trust or
☐ Decedent's Estate

18. Check only if applicable and check only one box.

☐ Debtor is a TRANSMITTING UTILITY
☐ Filed in connection with a Manufactured-Home Transaction - effective 30 years
☐ Filed in connection with a Public-Finance Transaction - effective 30 years

UCC FINANCING STATEMENT ADDITIONAL PARTY

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

19. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT				
OR	19a. ORGANIZATION'S NAME Informative, Inc.			
	19b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
20. MISCELLANEOUS:				

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21. <input checked="" type="checkbox"/> ADDITIONAL SECURED PARTY'S or <input type="checkbox"/> ASSIGNOR S/P'S NAME - Insert only one name (21a or 21b)				
OR	21a. ORGANIZATION'S NAME Star Bay Technology Ventures IV, L.P.			
	21b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
21c. MAILING ADDRESS (c/o Levensohn Venture Partners) 333 Bush St., Suite 2580		CITY San Francisco	STATE CA	POSTAL CODE 94104
22. <input checked="" type="checkbox"/> ADDITIONAL SECURED PARTY'S or <input type="checkbox"/> ASSIGNOR S/P'S NAME - Insert only one name (22a or 22b)				
OR	22a. ORGANIZATION'S NAME Star Bay Partners, L.P.			
	22b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
22c. MAILING ADDRESS (c/o Levensohn Venture Partners) 333 Bush St., Suite 2580		CITY San Francisco	STATE CA	POSTAL CODE 94104
23. <input checked="" type="checkbox"/> ADDITIONAL SECURED PARTY'S or <input type="checkbox"/> ASSIGNOR S/P'S NAME - Insert only one name (23a or 23b)				
OR	23a. ORGANIZATION'S NAME Star Bay Associates Fund, L.P.			
	23b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
23c. MAILING ADDRESS (c/o Levensohn Venture Partners) 333 Bush St., Suite 2580		CITY San Francisco	STATE CA	POSTAL CODE 94104
COUNTRY USA				

UCC FINANCING STATEMENT ADDITIONAL PARTY

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

23. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

OR	23a. ORGANIZATION'S NAME Informative, Inc.			
	23b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

24. MISCELLANEOUS:

DOCUMENT NUMBER: 4336370002

IMAGE GENERATED ELECTRONICALLY FOR WEB FILING
THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY**25. ☒ ADDITIONAL SECURED PARTY'S or ☐ ASSIGNOR S/P'S NAME - insert only one name (25a or 25b)**

OR	25a. ORGANIZATION'S NAME New Enterprise Associates 8A, L.P.			
	25b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
25c. MAILING ADDRESS 2490 Sand Hill Road		CITY Menlo Park	STATE CA	POSTAL CODE 94025
COUNTRY USA				

26. ☒ ADDITIONAL SECURED PARTY'S or ☐ ASSIGNOR S/P'S NAME - insert only one name (26a or 26b)

OR	26a. ORGANIZATION'S NAME New Enterprise Associates VIII, L.P.			
	26b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
26c. MAILING ADDRESS 2490 Sand Hill Road		CITY Menlo Park	STATE CA	POSTAL CODE 94025
COUNTRY USA				

FILING OFFICE COPY