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B-5643NP 622429-6

Form PTO-1595

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

- (1) Tom BAEHR-JONES  
(2) Michael J. HOCHBERG  
(3) Axel SCHERER

Additional name(s) of conveying parties attached? ☐ YES ☒ NO

## 2. Name and address of receiving parties:

Name: CALIFORNIA INSTITUTE OF TECHNOLOGY

Internal Address: \_\_\_\_\_

Street Address: 1200 E. California Blvd., M/C 201-85City: Pasadena State: CaliforniaZip: 91125 Country: U.S.A.Additional name(s) & address(es) attached? ☐ YES ☒ NO

## 3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Dates: May 27, 2005 by Tom Baehr-Jones;  
May 25, 2005 by Michael J. Hochberg; and May 26,  
2005 by Axel Scherer

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 11/116,111

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ YES ☒ NO

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Alessandro Steinf  
Name: LADAS & PARRY  
Internal Address: \_\_\_\_\_  
Street Address: 5670 Wilshire Boulevard, #2100  
City: Los Angeles State: CA Zip: 90036

6. Total number of application and patents involved: ☐ 17. Total fee 937 CFR 3.41).....\$ 40.00  
☒ Enclosed

Any additional fees which may be required are  
☒ Authorized to be charged to deposit account  
No. 12-0415

## 8. Deposit account number:

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any  
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Robert Popa  
Name of Person Signing  
Reg. No. 43,010

Robert Popa  
Signature

August 9, 2005  
Date

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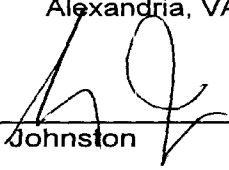
(SEE PAGE 2 FOR CERTIFICATE OF MAILING)

PATENT  
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By:  \_\_\_\_\_  
Suzanne Johnston

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest for the

United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim

priority, in and to any and all improvements which are disclosed in the invention entitled "**NEAR FIELD SCANNING MICROSCOPE PROBE AND METHOD FOR FABRICATING SAME**"

(check and complete (a), (b), (c) or (d))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on \_\_\_\_\_

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.

(c) ☒ U.S. Application serial no. 11/116,111 on filed April 26, 2005, which application claims the benefit of U.S. Provisional Application No. 60/566,139 filed on April 27, 2005.

(d) ☐ International application no. \_\_\_\_\_

(e) ☐ U.S. Patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (F) if foreign application(s) is also being assigned)

(f) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this 27 day of 2005, 2005 (date of  
signing).

Warning: Date of signing must be the same as the date of execution of the application if  
item (a) was checked above.

Tom Baehr-Jones



\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this \_\_\_\_\_ day of \_\_\_\_\_, 2005 (date of  
signing).

Warning: Date of signing must be the same as the date of execution of the application if  
item (a) was checked above.

Michael J. Hochberg

\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this \_\_\_\_\_ day of \_\_\_\_\_, 2005 (date of  
signing).

Warning: Date of signing must be the same as the date of execution of the application if  
item (a) was checked above.

Axel Scherer

\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this \_\_\_\_\_ day of \_\_\_\_\_, 2005 (date of  
signing).

Warning: Date of signing must be the same as the date of execution of the application if  
item (a) was checked above.

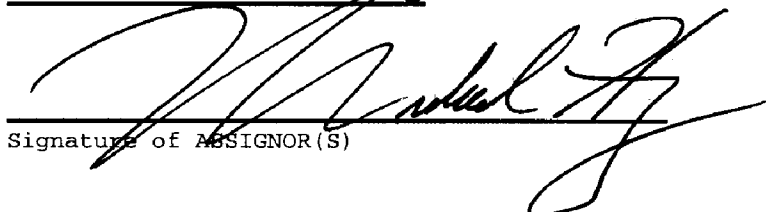
Tom Baehr-Jones

\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this \_\_\_\_\_ day of May 25, 2005 (date of  
signing).

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item (a) was checked above.

Michael J. Hochberg

  
\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this \_\_\_\_\_ day of \_\_\_\_\_, 2005 (date of  
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Axel Scherer

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Tom Baehr-Jones

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this \_\_\_\_\_ day of \_\_\_\_\_, 2005 (date of  
signing).

Warning: Date of signing must be the same as the date of execution of the application if  
item (a) was checked above.

Michael J. Hochberg

\_\_\_\_\_  
Signature of ASSIGNOR(S)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this 26 day of May 26, 2005 (date of  
signing).

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item (a) was checked above.

Axel Scherer

  
Signature of ASSIGNOR(S)