# RECEIVED CENTRAL FAX CENTER

NO.225

**P**03

08-16-2005

JG 0 8 2005

Form PTO-1595 (Rev. 09/ 04) QMB No. Q651-0027 (exp. 8/30/2005)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office
885 103061	534
To the Director of the U.S. Patent and Trademark Office: Please	
Name of conveying party(les)/Execution Date(s):	2. Name and address of receiving party(ies)
David A. Tirrell (05/24/2005); Robert H. Grubbs	Name: The California Institute of Technology
(03/18/2005); Paul J. Nowatzki (03/21/2005)	Internal Address:
Execution Date(s): in parentheses after inventor name	Street Address:
Additional name(s) of conveying party(ies) attached? Yes X No	
3. Nature of Conveyance:	1200 E. California Blvd.
X Assignment Merger	
Security Agreement Change of Name	City: Pasadena
Government Interest Assignment	State: CA
Executive Order 9424, Confirmatory License	Country: USA Zip: 91125
Other	Additional name(s) & address(es) Yes X No attached:
	This document is being filed together with a new application.
A. Patent Application No.(s) 60/538.844	B. Patent No.(s)
60/552,029	
11/040,130	
Additional numbers effected?	Yes X No
5. Name and address to whom correspondence concerning document should be malled:	6. Total number of applications and patents involved:
Name: Melissa L. Sistrunk FULBRIGHT & JAWORSKI L.L.P.	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00
Internal Address: Atty. Dkt.: HO-P03092US0	Authorized to be charged by credit card
Street Address: Fulbright Tower	
1301 McKinney, Suite 5100	Authorized to be charged to deposit account
	X Enclosed
	None required (government interest not affecting title)
City: Houston	8. Payment information
State: TX Zip: 77010-3095	a. Credit Card Last 4 Numbers
Phone Number: (713) 651-3735	Expiration Date
Fax Number: (713) 651-5246 Email Address: msistrunk@fulbright.com	b. Deposit Account Number 06-2375 Authorized User Name Melissa Sistrunk
9. Signature:	Auminora Distriction
WULA	
Signature	August 8, 2005 Date
Melissa W. Acosta- 45,579	Total number of pages including cover
Name of Person Signing	sheet, attachments, and documents:
Recordation For I hereby cartify that this correspondence is being facalmile transmitted on the date shown below.	m Cover Sheet d to the Patent and Trademark Office, facsimile no 571-273-8300,
Dated: August 8, 2005 Signature:	e ( Julio Erwin)
1)	
25583711	

PAGE 3/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

08/15/2005 GTDN11 00000215 062375 60538844 01 FC:8021 120.00 DA 60538844

REEL: 016879 FRAME: 0934

Attorney Docket No.: HO-P03092US0 / CIT-4033-NPA

Worldwide Rights - Sole or Joint

### Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto THE CALIFORNIA INSTITUTE OF TECHNOLOGY, 1200 E. California Blvd., Pasadena, California 91125 (hereinafter CO-ASSIGNEE) their entire right, title and interest in and to his invention relating to

#### ENGINEERED PROTEINS, AND METHODS OF MAKING AND USING

2S SC!	forth in his United S	States Patent Application			
	executed cond	currently herewith			
	executed on				
	Serial No.	60/538,844	filed	January 23, 2004	
	Serial No.	60/552,029	filed	March 10, 2004	
	Serial No.	11/040,130	filed	January 21, 2005	

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all divisions, continuations, additions, extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filling of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; to be held and enjoyed by said CO-ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said CO-ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said CO-ASSIGNEE, its successors, legal representatives or assigns he will communicate to said CO-ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said CO-ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions, continuations, reissues, extensions, additions and renewals thereof and to any and all Letters Patent which may be granted therefor or thereon, in said CO-ASSIGNEE, its successors, or assigns or to assist said CO-ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent for said invention;

Each of the undersigned hereby grants to all practitioners at Customer Number 26271 the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

P03092US0 assignment for Cal Tech inventors doc

PAGE 4/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

O.00	5/24/05		
David A. Tirrell	Date	Paul J. Nowatzki	Date
Robert H. Grubbs	Date	Name	Date
			·
	•		

PAGE 5/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

2

HO-P02357US1

Attorney Docket No.: HO-P03092US0 / CIT-4033-NPA

Worldwide Rights — Sole or Joint

## Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto THE CALIFORNIA INSTITUTE OF TECHNOLOGY, 1200 E. California Blvd., Pasadena, California 91125 (hereinafter CO-ASSIGNEE) their entire right, title and interest in and to his invention relating to

#### ENGINEERED PROTEINS, AND METHODS OF MAKING AND USING

as set fort	h in his United States	Patent Application		
[	executed concur	rently herewith		
[	executed on			
[	x Serial No.	60/538,844	filed	January 23, 2004
- 1	x Serial No.	60/552,029	filed	March 10, 2004
	x Serial No.	11/040.130	filed	January 21, 2005

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all divisions, continuations, additions, extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; to be held and enjoyed by said CO-ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said CO-ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said CO-ASSIGNEE, its successors, legal representatives or assigns he will communicate to said CO-ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said CO-ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions, continuations, reissues, extensions, additions and renewals thereof and to any and all Letters Patent which may be granted therefor or thereon, in said CO-ASSIGNEE, its successors, or assigns or to assist said CO-ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent for said invention;

Each of the undersigned hereby grants to all practitioners at Customer Number 26271 the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

PAGE 6/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

**D**07

Names and Signatures of Inventors:

David A. Tirrell	Date	Paul J. Nowatzki	Date
	مستوام مراجع	0 1	
MANITURE.	3-18 73	1 <del>4-2-1-2</del>	
Robert H. Grobbs	Date	Name	Date

25510684.1

PAGE 7/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

Attorney Docket No.: HO-P03092US0 / CIT-4033-NPA

Worldwide Rights - Sole or Joint

## Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto THE CALIFORNIA INSTITUTE OF TECHNOLOGY, 1200 E. California Blvd., Pasadena, California 91125 (hereinafter CO-ASSIGNEE) their entire right, title and interest in and to his invention relating to

#### ENGINEERED PROTEINS, AND METHODS OF MAKING AND USING

as set forth in his United	l States Patent Application
----------------------------	-----------------------------

executed conc	urrently herewith			
executed on				
X Serial No.	60/538,844	filed	January 23, 2004	
x Serial No.	60/552,029	filed	March 10, 2004	
x Serial No.	11/040,130	filed	January 21, 2005	

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all divisions, continuations, additions, extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; to be held and enjoyed by said CO-ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said CO-ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said CO-ASSIGNEE, its successors, legal representatives or assigns he will communicate to said CO-ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said CO-ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions, continuations, reissues, extensions, additions and renewals thereof and to any and all Letters Patent which may be granted therefor or thereon, in said CO-ASSIGNEE, its successors, or assigns or to assist said CO-ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent for said invention;

Each of the undersigned hereby grants to all practitioners at Customer Number 26271 the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

PAGE 8/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-52

Names and Signatures of Inventors:		Allah.	3/2405
David A. Tirrell	Date	Paul J. Niswatzki	Date
Robert H. Grubbs	Date	Name	Date

PAGE 9/9 \* RCVD AT 8/8/2005 4:51:11 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DNIS:2738300 \* CSID; \* DURATION (mm-ss):02-52