

Client Code: GLAUKO.11CP2C1

REC

08-17-2005

To the Director, U.S. Patent and Trademark Offic



ents or copy thereof.

1. Name of conveying parties: (1) Hosheng Tu; (2) Jason Artor; (3) David Haffner Additional name(s) of conveying party(ies) attached? () Yes (X) No	Name: Glaukos Corporation Internal Address: Street Address: 26061 Merit Circle, Suite 101 City: Laguna Hills State: California ZIP: 92653 Additional name(s) of receiving party(ies) attached?				
2 Notes of a superior					
<ul> <li>3. Nature of conveyance:</li> <li>(X) Assignment () Security Agreement</li> <li>() Merger () Change of Name</li> <li>() Other:</li> </ul>	(X) Yes () No				
Execution Date:	4. US or PCT Application number(s) or US Patent number(s):				
(1) July 18, 2005; (2) August 5, 2005; (3) July 22, 2005	(X) Patent Application No.: 11/084,314 Filing Date: March 18, 2005				
•	Additional numbers attached?				
	() Yes (X) No				
5. Party to whom correspondence concerning document	6. Total number of applications and patents involved:				
should be mailed:	One				
Customer No. 20,995					
Address: Knobbe, Martens, Olson & Bear, LLP	OPR/FILMSCI				
2040 Main Street, 14 <sup>th</sup> Floor	<u> </u>				
Irvine, CA 92614	<b>7</b> 0				
Return Fax: (949) 760-9502					
Attorney's Docket No.: GLAUKO.11CP2C1					
7. Total fee (37 CFR 1.21(h)): \$40	8. Deposit account number: 11-1410				
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9. Statement and signature.					
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> **PATENT REEL: 016884 FRAME: 0707**

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## **ASSIGNMENT**

WHEREAS, We, Hosheng Tu, a U.S.A. citizen, residing at 15 Riez, Newport Coast, CA 92657, Jason Artof, a U.S.A. citizen, residing at 2204 Kirsten Lee Drive, Westlake Village, CA 91361 and David Haffner, a U.S.A. citizen, residing at 24681 Via San Fernando, Mission Viejo, CA 92692, have invented certain new and useful improvements in an INJECTABLE GLAUCOMA IMPLANTS WITH MULTIPLE OPENINGS for which we have filed an application for Letters Patent in the United States, Application No. 11/084.314 filed on March 18, 2005;

AND WHEREAS, Glaukos Corporation (hereinafter "ASSIGNEE"), a Delaware Corporation, with its principal place of business at 26061 Merit Circle, Suite 101, Laguna Hills, CA 92653, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to ASSIGNEE, his successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of the said Letters Patent before or after issuance.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHER	EOF, I hereunto se	et my hand and seal this _/	18th day	of July	r	, 20 <u>05</u>	
		Hach	- PS-1	Tu			
		Hosheng Tu		•			
STATE OF CALIFORNIA	)		U				
	} ss.						
COUNTY OF PRANSE	J						
_		A					
On Juy 18 work	before me	SUNG O. PYON		nersonally	anneared	Hosheng	$T_{11}$

On Thy 18.2005, before me, Provided to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

MAm

PATENT REEL: 016884 FRAME: 0708

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IN TESTIMONY WHEREOF, I hereunto set my	hand and seal this
	Jason Arton
STATE OF California } ss.  COUNTY OF Ventura }	
COUNTY OF Ventura	
On August 5, 2005, before me, Frank personally known to me (or proved to me on the basis of to the within instrument, and acknowledged to me that he signature on the instrument the person, or the entity upon be	satisfactory evidence) to be the person whose name is subscribed the executed the same in his authorized capacity, and that by his hehalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.	
SEAL]  OFFICIAL SEAL ERIC YBANEZ NOTARY PUBLIC - CALIFORNIA COMMISSION # 1418048 VENTURA COUNTY My Commission Exp. May 6, 2007	Notary Signature
IN TESTIMONY WHEREOF, I hereunto set my hand and	seal this 22 day of July, 2005.
IN TESTIMONY WHEREOF, I hereunto set my hand and	Dece Sitable
	David Haffner
STATE OF CALIFORNIA    SS.  COUNTY OF ORANGE	
managed by Impure to me (or proved to me on the basis of	nationally appeared David Haffner satisfactory evidence) to be the person whose name is subscribed the executed the same in his authorized capacity, and that by his schalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.	copril "
[SEAL]  HLA MAUNG  Commission # 1442987  Notary Public - California  Orange County  My Comm. Expires Sep 30, 2007	Notary Signature
071405	

**RECORDED: 08/15/2005** 

**PATENT REEL: 016884 FRAME: 0709**