Form PT9-1595 (Rev. 07/05) 08 - 24 - OMB No. 0651-0027 (exp. 6/30/2008)	-2005  U.S. DEPARTMENT OF COMMERCI  United States Patent and Trademark O
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103067	- <del></del>
To the Director of the U.S. Patent and Trademark Office: Pl	lease record the attached documents or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Christopher Doerr Dan Marom	Name: Lucent Technologies Inc.
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes	No.
3. Nature of conveyance/Execution Date(s):	Street Address: 600 Mountain Avenue
Execution Date(s) May 25, 2005 & May 26, 2005	300 1100 1100 1100
✓ Assignment	
Security Agreement Change of Name	City: Murray Hill
Joint Research Agreement	State: New Jersey
Government Interest Assignment	
Executive Order 9424, Confirmatory License	Country: USA Zip: 07974
Other	Additional name(s) & address(es) attached? Yes
4. Application or patent number(s):	is document is being filed together with a new application
A. Patent Application No.(s)	B. Patent No.(s)
11/073,010	
Additional numbers	attached? ☐ Yes ✓ No
5. Name and address to whom correspondence	6. Total number of applications and patents
concerning document should be mailed:	involved: 1
Name: Robert Axenfeld	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
Internal Address: WERNER & AXENFELD LLP	Authorized to be charged by credit card
	_ Authorized to be charged to deposit account
Street Address: P.O. Box 1629	Finclosed
	None required (government interest not affecting tit
City: West Chester	8. Payment Information
State: PA Zip: 19380	a. Credit Card Last 4 Numbers
Phone Number: (610) 701-5810	Expiration Date
Fax Number: (610) 701-5834	b. Deposit Account Number
Email Address:	Authorized User Name
9. Signature:	-1
DEVINE 00000057 11073010 Signature	<u>8/18/05</u>
40.00 C Robert Axenfeld	Total number of pages including cover
Name of Person Signing	sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 016905 FRAME: 0466

## ASSIGNMENT AND AGREEMENT

For value received, we, Christopher Richard Doerr of Middletown in the County of Monmouth and State of New Jersey, Dan Mark Marom of Howell in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Wavelength-Selective Switch and Integrated Wavelength Demultiplexer using Stacked Planar Lightwave Circuits described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

PATENT REEL: 016905 FRAME: 0467 We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Christopher Richard Doerr

Date:

United States of Amereica

State of New Jersey,
County of Monmostn,

**Notary Public** 

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commisssion Expires August 14, 2006

Dan Mark Marom

Date: 5/25/05

United States of America

State of New Jersey ) ss.:

County of Monmouth )

Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

Lucent Technologies Inc.
Docket Administrator (Room 3J-219)
101 Crawfords Corner Road
P. O. Box 3030
Holmdel, New Jersey 07733-3030

**RECORDED: 08/22/2005** 

PATENT REEL: 016905 FRAME: 0469