

08-26-2005

SHEET

U.S. DEPARTMENT OF COMMERCE
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Attorney's Docket No. 030681-875

To the Director of the United States Patent and Trademark Office



103068921

the attached original documents or copy thereof.

113268 U.S. PTO
11/207711

082205

1. Name of conveying party(ies):

Jung-Hyun LEE, Sung-ho PARK, Sang-Jun CHOI

2. Name and address of receiving party(ies):

Name: Samsung Electronics Co., Ltd.

Address:

416, Maetan-dong, Teongton-gu, Suwon-si, Gyeonggi-do,
Republic of KoreaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 16, 2005

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 16, 2005

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles F. Wieland III

Address:

Buchanan Ingersoll PC
Including attorneys from Burns, Doane, Swecker & Mathis
Customer Number 21839
P.O. Box 1404
Alexandria, VA 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ \$40.00 (8021)

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Credit card. Form PTO-2038 is attached.

8. Deposit account number:

02-4800

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9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Charles F. Wieland III
Name of Person Signing33,096
Reg. No.

Signature

August 22, 2005
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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08/24/2005 HMARZ11 00000056 11207711

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40.00 DP

PATENT
REEL: 016910 FRAME: 0507

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by Jung-hyun LEE, Sung-ho PARK, and Sang-iun CHOI, residing at Yongin-si, Republic of Korea; Seongnam-si, Republic of Korea; and Yongin-si, Republic of Korea (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in METHOD FOR FABRICATING A THREE-DIMENSIONAL CAPACITOR set forth in an application for Letters Patent of the United States, which is a

- (1) ☐ provisional application
- (a) ☐ bearing Application No. _____, and filed on _____;
- (b) ☐ to be filed herewith; or
- (2) ☒ non-provisional application
- (a) ☐ bearing Application No. _____, and filed on _____;
- (b) ☒ having an oath or declaration executed on even date herewith prior to filing of application;
- (c) ☐ having an oath or declaration executed on a different date than this Assignment; and

WHEREAS, SAMSUNG ELECTRONICS CO., LTD., a corporation duly organized under and pursuant to the laws of Republic of Korea and having a principal place of business at 416, Maetan-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, Republic of Korea (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;


AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

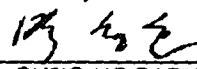
AND the Assignors hereby authorize and request the attorneys of Buchanan Ingersoll PC to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said invention, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

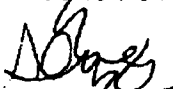
DATE 08/16/2005


JUNG-HYUN LEE

DATE 2005.8.16


SUNG-HO PARK

DATE 08/16/2005


SANG-JUN CHOI