

08-30-2005

COMMERCE
Trademark Office

RECORDATION FORM (C)

PATENTS

103070810

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Novartis Corporation

Execution Date(s) 2/27/2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Novartis Finance Corporation

Internal Address: _____

Street Address: 608 Fifth Avenue

City: New York

State: New York

Country: United States of America Zip: 10020

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

5,767,369

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Larry W. Stults

Internal Address: Syngenta Biotechnology, Inc.

Street Address: 3054 Cornwallis Road

City: Research Triangle Park

State: NC

Zip: 27709

Phone Number: 919-765-5117

Fax Number: 919-541-8689

Email Address: edouard.lebel@syngenta.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 8991
Expiration Date 4/06

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Edouard G. Lebel

Signature

8/24/2005

Date

Edouard G. Lebel, Ph.D., Reg. No. 43,742

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

08/29/2005 ECOOPER 00000204 5767369

01 FC:8021

40.00 DP

PATENT
REEL: 016914 FRAME: 0329

ASSIGNMENT

Whereas, Novartis Corporation, 608 Fifth Avenue, New York, NY 10020, a corporation of New York, is the assignee and legal owner of the entire right, title and interest in each of the United States patents listed in Appendix A;

Whereas, Novartis Corporation was formerly known as Ciba-Geigy Corporation, the change of name having been recorded in the Patent and Trademark Office on October 13, 1998 (Reel 9507, Frames 0112-0114); and

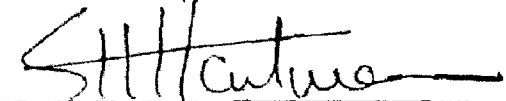
Whereas, in accordance with a resolution of the Board of Directors of Ciba-Geigy Corporation (now Novartis Corporation) made on December 20, 1996, Novartis Corporation wishes to assign its entire interest in each of the United States patents listed in Appendix A and in certain related United States patents and patent applications to Novartis Finance Corporation, 608 Fifth Avenue, New York, NY 10020, a corporation of New York, and said Novartis Finance Corporation wishes to acquire Novartis Corporation's interest in said patents and patent applications.

Now, therefore, Novartis Corporation hereby assigns to Novartis Finance Corporation and its successors and assigns its entire right, title and interest in (1) (a) each of the three hundred seven (307) United States patents listed in Appendix A, (b) any and all applications for reissues of said patents, (c) any and all reissues of said patents that may be issued and/or granted, (d) any and all applications for extensions of said patents (including reissue patents) and for reexamination certificates for said patents (including reissue patents) and (e) any and all extensions of said patents (including reissue patents) that may be issued and/or granted and reexamination certificates that may be issued for said patents (including reissue patents) and (2) (a) any and all United States patent applications, whether pending or abandoned, that are continuations and divisions (including further continuations and divisions such as, but not limited to, continuations and divisions of continuations) of the applications which issued as the patents listed in Appendix A, (b) any and all United States patents that may have been or, in the future, that may be issued and/or granted on all of said applications, (c) any and all applications for reissues of said patents, (d) any and all reissues of said patents that may be issued and/or granted, (e) any and all applications for extensions of said patents (including reissue patents) and for reexamination certificates for said patents (including reissue patents) and (f) any and all extensions of said patents (including reissue patents) that may be issued and/or granted and reexamination certificates that may be issued for said patents (including reissue patents), including, in the case of all patents (including reissue patents) within the scopes of (1) and (2), the right to sue, and to obtain damages, for past infringement, said right, title and interest conveyed herein by Novartis Corporation to Novartis Finance Corporation constituting the entire right, title and interest in said patents (including reissue patents), applications, extensions and reexamination certificates.

In witness whereof, Novartis Corporation has caused this Assignment to be signed by its duly authorized corporate officer.

Signed this 27th day of February 2004.

Novartis Corporation



Steven Hartman
Vice-President

Appendix A

4,600,428	4,886,887	5,120,741	5,436,248
4,601,745	4,888,348	5,135,927	5,439,912
4,602,936	4,897,109	5,153,200	5,446,154
4,603,211	4,897,396	5,166,153	5,447,935
4,605,656	4,897,424	5,166,430	5,447,945
4,608,372	4,900,827	5,169,951	5,451,595
4,612,037	4,902,340	5,175,293	5,457,276
4,612,395	4,904,778	5,179,094	5,466,785
4,618,360	4,908,467	5,183,492	5,477,002
4,623,382	4,914,098	5,190,928	5,478,958
4,629,810	4,918,088	5,194,628	5,481,013
4,632,695	4,918,230	5,204,100	5,484,918
4,671,819	4,919,704	5,209,771	5,489,695
4,674,229	4,919,935	5,217,871	5,493,032
4,681,619	4,921,980	5,232,898	5,496,848
4,686,294	4,925,840	5,237,084	5,506,099
4,692,524	4,925,842	5,245,040	5,508,184
4,693,741	4,925,876	5,250,556	5,508,402
4,693,745	4,931,439	5,250,557	5,514,795
4,705,800	4,931,560	5,250,559	5,514,816
4,707,182	4,931,581	5,254,739	5,519,026
4,709,092	4,935,051	5,262,386	5,519,125
4,727,186	4,938,797	5,266,554	5,521,153
4,729,190	4,939,257	5,266,585	5,521,195
4,753,954	4,944,790	5,274,100	5,523,311
4,758,667	4,948,798	5,276,186	5,527,760
4,759,793	4,952,726	5,280,041	5,527,917
4,766,253	4,958,030	5,281,718	5,530,195
4,780,125	4,959,096	5,286,709	5,532,203
4,780,551	4,962,126	5,296,449	5,536,726
4,798,688	4,968,344	5,302,605	5,536,900
4,810,724	4,978,383	5,302,607	5,538,979
4,812,164	4,989,741	5,310,721	5,541,148
4,831,148	4,992,434	5,322,853	5,541,310
4,833,255	4,996,325	5,324,842	5,545,630
4,847,258	4,997,941	5,332,717	5,552,368
4,849,007	4,997,967	5,373,013	5,554,619
4,849,009	5,002,604	5,384,321	5,556,828
4,849,219	5,002,606	5,384,403	5,558,997
4,851,028	5,023,259	5,384,416	5,559,034
4,851,031	5,023,333	5,397,697	5,561,230
4,853,396	5,041,603	5,399,545	5,567,705
4,854,963	5,049,571	5,403,814	5,583,249
4,857,100	5,063,236	5,403,844	5,585,238
4,859,229	5,075,316	5,407,934	5,585,519
4,861,787	5,075,443	5,409,823	5,596,131
4,866,079	5,077,311	5,412,107	5,597,779
4,870,184	5,082,860	5,420,301	5,597,840
4,872,902	5,102,445	5,430,034	5,599,771
4,881,964	5,104,440	5,430,035	5,599,828
4,881,966	5,112,849	5,430,188	5,601,847

Appendix A

5,606,057	5,731,267	D364,956
5,610,042	5,731,500	
5,610,044	5,731,501	
5,612,288	5,731,502	
5,614,395	5,731,506	
5,625,071	5,758,766	
5,625,136	5,759,561	
5,627,188	5,763,481	
5,631,007	5,766,900	
5,637,763	5,767,369	
5,639,949	5,770,450	
5,641,666	5,770,696	
5,641,882	5,770,758	
5,643,360	5,783,704	
5,643,774	5,789,214	
5,645,169	5,792,904	
5,646,145	5,804,693	
5,646,157	5,817,602	
5,646,288	5,830,899	
5,648,383	5,837,290	
5,648,487	5,840,868	
5,650,505	5,846,571	
5,650,517	5,847,147	
5,654,414	5,847,258	
5,659,124	5,849,870	
5,662,898	5,851,766	
5,663,176	5,852,012	
5,663,383	5,856,154	
5,670,350	5,859,300	
5,674,514	5,859,335	
5,679,560	5,859,336	
5,679,623	5,866,153	
5,686,282	5,866,326	
5,686,283	5,880,328	
5,686,295	5,882,869	
5,686,600	5,888,801	
5,688,939	5,889,174	
5,689,044	5,891,688	
5,693,774	5,912,375	
5,698,425	5,925,367	
5,710,031	5,929,102	
5,714,599	5,955,274	
5,716,849	5,958,835	
5,721,364	5,981,582	
5,723,491	5,990,383	
5,723,721	6,017,851	
5,723,759	6,018,105	
5,728,875	6,034,083	
5,728,922	6,048,824	
5,728,923	6,066,596	
5,731,266	D361,509	