

08-30-2005

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE  
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**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Ciba-Geigy Corporation

Execution Date(s) 12/26/1996Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**Name: Novartis Corporation

Internal Address: \_\_\_\_\_

Street Address: 608 Fifth AvenueCity: New YorkState: New YorkCountry: United States of America Zip: 10020Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

5,767,369

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Larry W. StultsInternal Address: Syngenta Biotechnology, Inc.Street Address: 3054 Cornwallis RoadCity: Research Triangle ParkState: NC Zip: 27709Phone Number: 919-765-5117Fax Number: 919-541-8689Email Address: edouard.lebel@syngenta.com**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**a. Credit Card Last 4 Numbers 8991  
Expiration Date 4/06

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**Edouard G. Lebel

Signature

8/24/2005  
Date

Edouard G. Lebel, Ph.D., Reg. No. 43,742

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

08/29/2005 ECDOPER 00000129 5767369

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**PATENT**  
**REEL: 016914 FRAME: 0348**

State of New York }  
Department of State } ss:

*I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.*

*Witness my hand and seal of the Department of State on*

JAN 03 1997



*J. Clark*

*Special Deputy Secretary of State*

DOS-1266 (5/90)

PATENT

REEL: 016914 FRAME: 0349

CSC 45

6961231000613

## CERTIFICATE OF MERGER

OF

SANDOZ CORPORATION

INTO

CIBA-GEIGY CORPORATION

UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

CURTIS, MALLET-REVOST, COLT &  
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NEW YORK, NY 10178

GIR

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1cc  
STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED DEC 31 1995

TAXS

BY:

West

BILLED

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PATENT

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CERTIFICATE OF MERGER  
OF  
SANDOZ CORPORATION  
INTO  
CIBA-GEIGY CORPORATION  
UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

We, the undersigned, Jeff Benjamin and Bruce J. Brumberg, being respectively Vice President, General Counsel and Secretary, and Assistant Secretary of Ciba-Geigy Corporation and Robert L. Thompson, Jr. and Wayne P. Merkelson, being respectively Vice President, General Counsel and Secretary, and Vice President, Associate General Counsel and Assistant Secretary of Sandoz Corporation hereby certify:

FIRST: The name of the constituent corporation which is to be the surviving corporation is Ciba-Geigy Corporation and the name under which it was formed is Ardsley Chemical Corporation. The date upon which its Certificate of Incorporation was filed by the Department of State is November 15, 1966.

SECOND: The name of the other constituent corporation which is being merged into the surviving corporation is Sandoz Corporation, and the name under which it was formed is Zodnas Holdings, Inc. The date upon which its Certificate of Incorporation was filed by the Department of State is December 22, 1976.

THIRD: The Board of Directors of each of the constituent corporations has duly adopted a Plan of Merger setting forth the terms and conditions of the merger of said corporations.

FOURTH: As to each constituent corporation, the designation and number of outstanding shares of each class and series and the voting rights thereof are as follows:

- (a) Designation, voting rights and number of shares in each class or series outstanding:
  - (1) For Ciba-Geigy Corporation:
    - (i) Common Stock, \$1.00 par value; voting; 72,230,756 shares outstanding
    - (ii) Common Stock, \$1.00 par value; nonvoting; 5,304 shares outstanding
  - (2) For Sandoz Corporation:
    - Common Stock, \$1.00 par value; voting; 1,000 shares outstanding

- (b) Shares entitled to vote as a class or series:
- (1) For Ciba-Geigy Corporation: None
  - (2) For Sandoz Corporation: None

FIFTH: The merger was adopted by each constituent corporation in the following manner:

- (a) As to Sandoz Corporation, by the written consent of the sole shareholder.
- (b) As to Ciba-Geigy Corporation, by the written consent of the sole shareholder.

SIXTH: The Certificate of Incorporation of Ciba-Geigy Corporation is hereby amended as follows:

- (a) Article 1 (concerning the name of the corporation) of the Certificate of Incorporation is hereby deleted in its entirety and replaced with:

"The name of the corporation shall be Novartis Corporation."

SEVENTH: The effective date of the merger shall be January 1, 1997.

IN WITNESS WHEREOF, we have signed this certificate on the 26 day of December, 1996, and we affirm the statements contained therein as true under penalties of perjury.

CIBA-GEIGY CORPORATION

By: [Signature]  
Name: Jeff Benjamin  
Title: Vice President

By: [Signature]  
Name: Bruce B. Bumbarg  
Title: Assistant Secretary

SANDOZ CORPORATION

By: [Signature]  
Name: Robert L. Thompson, Jr.  
Title: Vice President

By: [Signature]  
Name: Wayne P. Markelson  
Title: Assistant Secretary

tek:cofmerger

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RECORDED: 08/26/2005

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