

08-29-2005

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103070193

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

David L. Bernard  
Chris A. Craft

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 8-17-05

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Lexmark International, Inc.

Internal Address: Intellectual Property Law

Street Address: 740 W. New Circle Rd.

City: Lexington

State: KY

Country: \_\_\_\_\_ Zip: 40550

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Scott N. Barker, Lexmark International, Inc.

Internal Address: \_\_\_\_\_

Street Address: Intellectual Property Law

740 W. New Circle Rd.

City: Lexington

State: ky Zip: 40550

Phone Number: 859 232-3668

Fax Number: 859 232-7850

Email Address: sbarker@lexmark.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 12-1213

Authorized User Name \_\_\_\_\_

**9. Signature:**

Scott N. Barker  
Signature

8/19/05  
Date

Scott N. Barker Reg. No. 42,292

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

08/26/2005 6TOW11 00000121 121213 11208815

01 FC:8021

(40.00 DR)

PATENT  
REEL: 016915 FRAME: 0407

**PATENTS ONLY (Form PTO-1595)**

Gary R. Williams

☐ Yes ☒ No

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

**Street Address:** \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:\_\_\_\_\_

**B. Patent No.(s)**

☐ Yes ☒ No

**PATENT**  
**REEL: 016915 FRAME: 0408**

412967 U.S. PTO  
11/208815

082205

## ATTACHMENT FOR RECORDATION FORM COVER SHEET

**PATENTS ONLY (Form PTO-1595)**

## 1. Name of conveying party(ies):

Gary R. Williams

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNOR(S)**:

**David L. Bernard  
1837 Normandy Rd.  
Lexington, KY 40504**

**Christopher A. Craft  
122 Old Post Rd.  
Paris, KY 40361**

**Gary R. Williams  
3218 Pimlico Pkwy.  
Lexington, KY 40517**

hereby sell, assign and transfer to

the **ASSIGNEE**:

**Lexmark International, Inc.  
740 West New Circle Road  
Lexington, KY 40550**

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**LAMINATION OF DRY FILM TO MICRO-FLUID EJECTION HEAD SUBSTRATES**

which is disclosed in a U.S. patent application executed on even date herewith, and any legal equivalent thereof in a foreign country, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

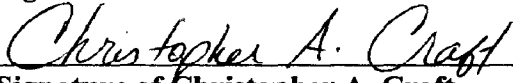
IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.



Signature of David L. Bernard

08/17/05

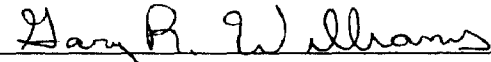
Date



Signature of Christopher A. Craft

8-17-05

Date



Signature of Gary R. Williams

8-17-05

Date

### NOTARIZATION

Details of Country

USA

and place of signing of assignment

Lexington, Kentucky

Before me this 17<sup>th</sup> day of Aug 2005, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.



Notary Public

My commission expires: January 22, 2006

