

Form PTO-1595 (Rev. 07/05)
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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Attorney Docket No. B0801.70198US01

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

JULIA YING WANG and MICHAEL H. ROEHL

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): 10/13/05 and 09/23/05, respectively

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Brigham and Women's Hospital, Inc.

Internal Address: _____

Street Address: _____

75 Francis Street

City: Boston

State: Massachusetts

Country: United States of America Zip: 02115

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/432406

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Alan W. Steele
WOLF, GREENFIELD & SACKS, P.C.

Internal Address: _____

Street Address: Federal Reserve Plaza
600 Atlantic Avenue

City: Boston

State: MA Zip: 02210-2206

Phone Number: (617) 646-8257

Fax Number: (617) 646-8646

Email Address: asteale@wolfgreenfield.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number 23/2825
Authorized User Name Alan W. Steele

9. Signature:


Signature

October 20, 2005

Date

Alan W. Steele - 45,128

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: October 20, 2005

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PATENT
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B0801.70199US01
(formerly E00377.70012.US)

ASSIGNMENT

For good and valuable consideration, the sufficiency of which is hereby acknowledged, I the undersigned **Julia Ying Wang**, residing at 17 Strathmore Road, Brookline, MA 02445; hereby:

Sell, assign and transfer to **The Brigham and Women's Hospital, Inc.**, a Massachusetts corporation having a place of business at 75 Francis Street, Boston, MA 02115, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on May 22, 2003 under Serial No. 10/432,406, bearing attorney's docket no. E00377.70012.US and entitled **USE OF ZWITTERIONIC POLYSACCHARIDES FOR THE SPECIFIC MODULATION OF IMMUNE PROCESSES**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

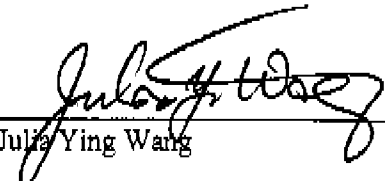
I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

10/13/05

Date


Julia Ying Wang

STATE OF Massachusetts:
COUNTY OF Suffolk:

Subscribed and sworn to before me this 13 day of October 2005

SEAL


Notary Public

My Commission Expires 3/17/2011

Teresita Velazquez
Notary Public
Commonwealth of Massachusetts
My Commission Expires
March 17, 2011

B0801.70199US01
(formerly E00377.70012.US)

ASSIGNMENT

For good and valuable consideration, the sufficiency of which is hereby acknowledged, I the undersigned **Michael H. Roehrl**, residing at 17 Strathmore Road, Brookline, MA 02445; hereby:

Sell, assign and transfer to **The Brigham and Women's Hospital, Inc.**, a Massachusetts corporation having a place of business at 75 Francis Street, Boston, MA 02115, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on May 22, 2003 under Serial No. **10/432,406**, bearing attorney's docket no. **E00377.70012.US** and entitled **USE OF ZWITTERIONIC POLYSACCHARIDES FOR THE SPECIFIC MODULATION OF IMMUNE PROCESSES**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

09/23/2005
Date

Michael H. Roehrl
Michael H. Roehrl

STATE OF MASSACHUSETTS :
COUNTY OF SUFFOLK :

Subscribed and sworn to before me this 23rd day of SEPTEMBER, 2005

SEAL

Jean Carriere
Notary Public

My Commission Expires MAY 25, 2012