

8-25-05

08-31-2005



FORM PTO-1595
(Rev. 10/02)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 072304-0011

103072325
PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):
Shirong LIAO, Jinlin YE, Theeradetch DETCHPROHM, Jyh-Chia CHEN, Yea-Chuan Milton YEH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 15, 2005, August 15, 2005, August 15, 2005, August 15, 2005, August 15, 2005, August 15, 2005

2. Name and address of receiving party(ies):

Name: BLUE PHOTONICS INC.

Address: 679 Brea Canyon Road

Walnut, CA 91789

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

August 15, 2005 August 15, 2005
August 15, 2005 August 15, 2005
August 15, 2005

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D.C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Aaron Weisstuch, 41,557

August 25, 2005

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet: 13

OMB No. 0651-0027 (exp. 6/30/2005)

08/30/2005 ECDOPER 00000177 500417 11210847
01 FC:8021 40.00

PATENT
REEL: 016922 FRAME: 0345

Docket No.: 072304-0011

ASSIGNMENT

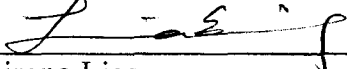

WHEREAS WE, Shirong Liao, Jinlin Ye, Theeradetch Detchprohm, Jyh-Chia Chen, Yea-Chuan Milton Yeh of Diamond Bar, CA, Diamond Bar, CA, Schenectady, NY, Yorba Linda, CA, Santa Monica, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled MONOLITHIC MULTI-COLOR, MULTI-QUANTUM WELL SEMICONDUCTOR LED, and filed concurrently herewith;

AND WHEREAS, BLUE PHOTONICS INC., a corporation of the State of California and having an address of 679 Brea Canyon Road, Walnut, CA 91789 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto BLUE PHOTONICS INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said BLUE PHOTONICS INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

8-15-05	
Date	Shirong Liao
8-15-05	
Date	Jinlin Ye
Date	Theeradetch Detchprohm
Date	Jyh-Chia Chen
Date	Yea-Chuan Milton Yeh

Signature Witness:

STATE OF California
COUNTY OF Los Angeles) SS:

On this 15th day of August, 2005, before me, the undersigned notary public, personally appeared Shirong Liao, proved to me through satisfactory evidence of identification, which consisted of California Drivers License, to be the person whose name is signed on the preceding or attached document in my presence.

Silvia F. Aguilar
Notary Public
My commission expires August 31, 2008



Signature Witness:

STATE OF California
COUNTY OF Los Angeles) SS:

On this 15th day of August, 2005, before me, the undersigned notary public, personally appeared Jinlin Ye, proved to me through satisfactory evidence of identification, which consisted of California Drivers License, to be the person whose name is signed on the preceding or attached document in my presence.

Silvia F. Aguilar
Notary Public
My commission expires August 31, 2008



Signature Witness:

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Theeradetch Detchprohm, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Jyh-Chia Chen, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Yea-Chuan Milton Yeh, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Docket No.: 072304-0011

ASSIGNMENT

WHEREAS WE, Shirong Liao, Jinlin Ye, Theeradetch Detchprohm, Jyh-Chia Chen, Yea-Chuan Milton Yeh of Diamond Bar, CA, Diamond Bar, CA, Schenectady, NY, Yorba Linda, CA, Santa Monica, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled MONOLITHIC MULTI-COLOR, MULTI-QUANTUM WELL SEMICONDUCTOR LED, and filed concurrently herewith;

AND WHEREAS, BLUE PHOTONICS INC., a corporation of the State of California and having an address of 679 Brea Canyon Road, Walnut, CA 91789 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto BLUE PHOTONICS INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said BLUE PHOTONICS INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date	Shirong Liao
Date	Jinlin Ye
Date	Theeradetch Detchprohm
Date	Jyh-Chia Chen
Date	Yea-Chuan Milton Yeh

Signature Witness:

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Shirong Liao, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Jinlin Ye, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF NEW YORK)
COUNTY OF RENSSELAER) SS:

On this 15th day of Aug, 2005, before me, the undersigned notary public, personally appeared Theeradetch Detchprohm, proved to me through satisfactory evidence of identification, which consisted of NYDL - 869 919 091, to be the person whose name is signed on the preceding or attached document in my presence.

Andrea J. Fierro

Notary Public
My commission expires _____

ANDREA J FIERRO
NOTARY PUBLIC STATE OF NEW YORK
QUALIFIED IN SARATOGA COUNTY
COMMISSION EXPIRES 11/30/06

Signature Witness:

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Jyh-Chia Chen, proved to me through satisfactory evidence of identification, which consisted of _____ to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Yea-Chuan Milton Yeh, proved to me through satisfactory evidence of identification, which consisted of _____ to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

ASSIGNMENT

WHEREAS WE, Shirong Liao, Jinlin Ye, Theeradetch Detchprohm, Jyh-Chia Chen, Yea-Chuan Milton Yeh of Diamond Bar, CA, Diamond Bar, CA, Schenectady, NY, Yorba Linda, CA, Santa Monica, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled MONOLITHIC MULTI-COLOR, MULTI-QUANTUM WELL SEMICONDUCTOR LED, and filed concurrently herewith;

AND WHEREAS, BLUE PHOTONICS INC., a corporation of the State of California and having an address of 679 Brea Canyon Road, Walnut, CA 91789 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto BLUE PHOTONICS INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said BLUE PHOTONICS INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date	Shirong Liao
Date	Jinlin Ye
Date	Theeradetch Detchprohm
Date	Jyh-Chia Chen
Date	Yea-Chuan Milton Yeh

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Shirong Liao, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Jinlin Ye, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Theeradetch Detchprohm, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public
My commission expires _____

Signature Witness:

STATE OF CALIFORNIA)
) SS:
COUNTY OF LOS ANGELES

On this 15th day of AUG, 2005, before me, the undersigned notary public, personally appeared Jyh-Chia Chen, proved to me through satisfactory evidence of identification, which consisted of CA DRIVERS LICENSE, to be the person whose name is signed on the preceding or attached document in my presence.

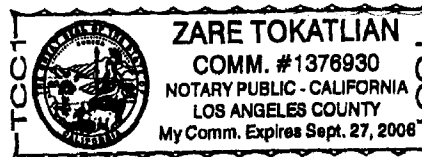
Zare Tokatlian

Notary Public

My commission expires 9/27/06

Signature Witness:

STATE OF _____)
) SS:
COUNTY OF _____)



On this _____ day of _____, 2005, before me, the undersigned notary public, personally appeared Yea-Chuan Milton Yeh, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Notary Public

My commission expires _____

ASSIGNMENT

WHEREAS WE, Shirong Liao, Jinlin Ye, Theeradetch Detchprohm, Jyh-Chia Chen, Yea-Chuan Milton Yeh of Diamond Bar, CA, Diamond Bar, CA, Schenectady, NY, Yorba Linda, CA, Santa Monica, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled MONOLITHIC MULTI-COLOR, MULTI-QUANTUM WELL SEMICONDUCTOR LED, and filed concurrently herewith;

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Date

Shirong Liao

Date

Jinlin Ye

Date

Theeradetch Detchprohm

Date

Jyh-Chia Chen

Date

8/15/2005

Yea-Chuan Milton Yeh