

08-31-2005

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



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PATENTS ONE

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

9-24-05

**1. Name of conveying party(ies)**

Peter Sallick; Peter Shelton; Lee Mindel

**2. Name and address of receiving party(ies)**

Name: Waterworks, Inc.

Internal Address: \_\_\_\_\_

113260 U.S. PTO  
29/236953

082405

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 06/27/ 2005; 08/09/2005; 08/10/2005

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

Street Address: 60 Backus Avenue

City: Danbury

State: CT

Country: USA Zip: 06810

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Eva I. Tan

Internal Address: Goodwin Procter LLP

Street Address: 103 Eisenhower Parkway

City: Roseland

State: NJ Zip: 07068

Phone Number: 973.992.1990

Fax Number: 973.992.4643

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 06-0923

Authorized User Name Eva I. Tan

**9. Signature:**

Signature

August 24, 2005

Date

Eva I. Tan (Reg. No. 46,406)  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 016927 FRAME: 0068**

**ASSIGNMENT OF RIGHTS: PATENT APPLICATION**

**WHEREAS, WE,**  
Peter Sallick,  
Peter Shelton, and  
Lee Mindel,

**ASSIGNORS,** citizens of the United States, residing respectively at  
203 Greens Farm Road, Westport, CT 06880  
56 West 22<sup>nd</sup> Street, 12<sup>th</sup> Floor, New York, NY 10010, and  
56 West 22<sup>nd</sup> Street, 12<sup>th</sup> Floor, New York, NY 10010

are the inventors of the invention in LEVER FAUCET HANDLE,

[ ] for which an application for a United States Patent was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_ and

[X] for which an application for a United States Patent is submitted herewith; and

**WHEREAS,** Waterworks, Inc., doing business at 60 Backus Avenue, Danbury, CT 06810, **ASSIGNEE,** is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

**NOW, THEREFORE,** in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said **ASSIGNORS,** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEE,** its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;


**AND WE HEREBY** authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said **ASSIGNEE,** its successors, legal representatives and assigns, in accordance with the terms of this instrument.

**AND WE HEREBY** covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, or will not execute, any agreement in conflict herewith.

**AND WE HEREBY** further covenant and agree that we will communicate to the said **ASSIGNEE,** its successors, legal representatives and assigns, any facts known to us respecting said


invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 27 day of June, 2005.

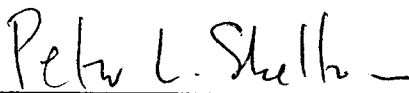
  
Peter Sallick

On this 27 day of June 2005, before me, Veronica Downs-White the undersigned Notary Public, personally appeared A. Peter Sallick, Assignor, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same.

WITNESS my hand and official seal in Litchfield County of Connecticut on the date set forth in this certificate.

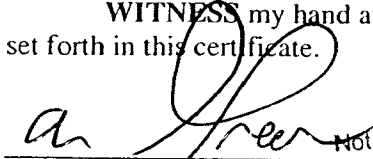
  
VERONICA DOWNS-WHITE  
NOTARY PUBLIC  
My Commission Expires 11/30/2005

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 9 day of Aug., 2005.

  
Peter Shelton

On this 9 day of Aug 2005, before me, Adam Greebler, the undersigned Notary Public, personally appeared Peter Shelton, Assignor, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same.

WITNESS my hand and official seal in Kingston County of New York on the date set forth in this certificate.

  
ADAM GREEBLER  
Notary Public State of New York  
No. 04GR5001894  
Qualified in Queens County  
Commission Expires Sept. 21 2006

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12 day  
of Aug., 2005.



Lee Mindel

On this 10 day of AUGUST, before me, Neil Schneider, the  
undersigned Notary Public, personally appeared Lee Mindel, Assignor, personally  
known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is  
subscribed to the within instrument, and acknowledged to me that he executed the same.

WITNESS my hand and official seal in New York County of New York on the date  
set forth in this certificate.



Notary Public

NEIL SCHNEIDER  
Notary Public, State of New York  
No. 01SC6065135  
Qualified in Nassau County  
Commission Expires October 9, 2005