

PTO Form 1595

RECORDATION



103076827

Docket No.:LUX-P005S

SNMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please record the attached original documents or copy thereof.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1.	Name of conveying party:			Execution Date:		£ 13			
	Inventor (s): Gunn III, Lawrence C.			8/2/2005					
		Pinguet, Thierry	' J.	8/1/	2005				
		Rattier, Maximo	. Jean	8/5/	2005				
		Witzens, Jeremy	7	7/25/	2005				
		Ji, Zhen-Li		7/25/	2005				
	Serial No: (N	Not yet assigned)		Group Art Unit:	(Not yet assigned)				
	Filed: 8/	29/2005		Examiner:	(Not yet assigned)				
2.	Name and A								
	Receiving pa								
			ton Avenue, Ste	102					
			d, CA 92008						
		onveyance: Assign							
4.		cation(s) / Patent		yet assigned)					
	New Patent A	Application Entitled	l :						
		"C	MOS Proce	ss Waveguide	e Coupler''				
5.	Name and ad				g document should be mai	led:			
	Name:	DENNIS S. FEI	-		-				
	Customer No:			•					
	Address:								
	radicos.	Address: FERNANDEZ & ASSOCIATES, LLP PATENT ATTORNEYS							
		PO BOX D	L'LIS						
		MENLO PARK,	CA 94025-6204						
		PHONE: (650) 3:		50) 325-1203					
		EMAIL: iploft@i		, , , , , , , , , , , , , , , , , , , ,					
6.	Total Number	er of applications		lved: ONE					
			•						
7.	Fee Calculat	ion							
	Assignment I	Recordation Fee	\$40.00						
	Total Fees (3	37 CFR 3.41):	\$40.00 <u>X</u>	Enclosed					
				Authorized to be o	charged to Deposit Account				
8.	Deposit Acco								
	In case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge								
	the necessary additional amount associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.								
	Account No:	500482. A duplic	cate copy of this a	uthorization is encl	losed.	511			
Λ	Ctatamant an					215511			
9.	Statement an		nd haliaf the fam						
	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy true copy of the original document.								
	u ue copy of t		ziit.			200			
		/W				00000Z			
					Date: 8/29/05	· · · · · ·			
	DENNIS S.	FERNANDEZ,	ESO			#			
	Reg. No. 34,	160	250			07/2005 DBYRNE FC:8021			
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						% FC.			

PATENT REEL: 016948 FRAME: 0268 A&\ 03 05 11:38a

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Decket Na.: LUX-P005S

ASSIGNMENT

Whereas, We, LAWRENCE C. GUNN III. THIERRY J. PINGUET, MAXIME JEAN RATTIER, JEREMY WITZENS and ZHEN-LI JI having agreed to assign the below identified invention to LUXTERA, INC ("LUXTERA"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Carishad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

CMOS PROCESS WAVEGUIDE COUPLER

X filed herewith and the inventions set forth and described therein, and United States and of countries foreign thereto which may be granted thereof	any and all Letters Patent of the n or therefor, or
Serial No.: filed on and the inventions set forth and de Letters Patent of the United States and of countries foreign thereto whi therefor;	scribed therein, and any and all ich may be granted thereon or
And for the above consideration, we agree promptly upon request of LUXI to execute and deliver without further compensation any power of attacher original, continuation, divisional, or reissue, or other papers which fully to secure to LUXTERA, its successors and assigns, the inventions dall patent rights therein, in the United States and in any country foreign them the prosecution of interference proceedings involving said invention recommination of said Letters Patent.	omey, assignment, application, a may be necessary or desirable escribed in said application and tem, and to concerns and resist
Inventor's Signature: Z SAS LAWRENCES C. GUNN III	Date: 8/2/05
Inventor's Signature:	Date: 8/112005
Inventor's Signature: MAXIME JEAN RATTIER	Date: 815/2005
Enventor's Signature: JEREMY WITZENS	Date;
Investor's Signature:	Date:

PATENT

REEL: 016948 FRAME: 0269

Docket No. : LUX-P005S

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CMOS PROCESS WAVEGUIDE COUPLER

_X filed herewith and the inventions United States and of countries foreign the countries foreign the countries foreign the countries foreign the countries for the countries are consistent to the countries of the countries o	set forth and described therein, and any and all Letters Patent of the hereto which may be granted thereon or therefor, or
Scrial No.: filed on Letters Patent of the United States artherefor;	and the inventions set forth and described therein, and any and all and of countries foreign thereto which may be granted thereon or
to execute and deliver without furthe whether original, continuation, division fully to secure to LUXTERA, its succeall patent rights therein, in the United S	ree promptly upon request of LUXTERA, its successors or assigns, or compensation any power of attorney, assignment, application, and, or reissue, or other papers which may be necessary or desirable essors and assigns, the inventions described in said application and latter and in any country foreign thereto, and to cooperate and assist receedings involving said inventions and in the adjudication or
Inventor's Signature:	LAWRENCE C. GUNN III
Inventor's Signature:	THERRY J. PINGUET
Inventor's Signature:	MAXIME JEAN RATTIER
Inventor's Signature:	JEREMY WIDLENS Date 07.25.2005
Inventor's Signature	Date:

ZHEN-LI JI

I :'S SS:I0 S0/L0/SI

MILSENS-PETER

FILENTERS + 49211641148

REEL: 016948 FRAME: 0270

Docket No.: LUX-P005S

ASSIGNMENT

Whereas; We, LAWRENCE C. GUNN III, THIERRY J. PINGUET, MAXIME JEAN RATTIER, JEREMY WITZENS and ZHEN-LI JI having agreed to assign the below identified invention to LUXTERA, INC ("LUXTERA"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States antitled:

CMOS PROCESS WAVEGUIDE COUPLER

x filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the

United States and of countries	foreign thereto which may be granted thereon	or therefor, or
Serial No.:, filed Letters Patent of the United therefor;	on and the inventions set forth and desc States and of countries foreign thereto which	ribed therein, and any and all may be granted thereon or
to execute and deliver without whether original, continuation fully to secure to LUXTERA, all patent rights therein, in the	on, we agree promptly upon request of LUXTE out further compensation any power of atternal, divisional, or reissue, or other papers which it is successors and assigns, the inventions design United States and in any country foreign there erence proceedings involving said inventions Patent.	ney, assignment, application, may be necessary or desirable cribed in said application and to, and to cooperate and assist
Inventor's Signature:	LAWRENCE C. GUNN III	Date:
Inventor's Signature:	THIERRY J. PINGUET	Date:
Inventor's Signature:	MAXIME JEAN RATTIER	Date:
Inventor's Signature:	JEREMY WITZENS	Date:
Inventor's Signature:	Jor Jo ZHEN-LI JI	Date: July 25, 2005

PATENT REEL: 016948 FRAME: 0271