

09-13-2005

REC



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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new addresses below.

## 1. Name of conveying party(ies)/Execution Date(s):

GREGORY ALAN LAZAR      APRIL 25, 2005  
JOHN R. DESJARLAIS      APRIL 26, 2005  
PHILIP W. HAMMOND      APRIL 25, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: XENCOR, INC.

Internal Address: \_\_\_\_\_

Street Address: 111 West Lemon AvenueCity: MonroviaState: CaliforniaCountry: United States      Zip: 91016Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

A. Patent Application No(s). 11/004,590

☐ This document is being filed together with a new application.

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin M. Silva      Reg. No. 38,304Internal Address: DORSEY & WHITNEY LLPIntellectual Property DepartmentStreet Address: 555 California StreetSuite 1000City: San FranciscoState: California      Zip: 94104Phone No.: (415) 781-1989Fax No. (415) 398-3249

Email Address: \_\_\_\_\_

## 6. Total number of applications and patents involved:

One7. Total Fee (37 CFR 1.21(h) and 3.41): \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to credit card.  
☐ Authorized to be charged to deposit account.  
☐ None required (government interest not affecting title)  
☒ Please debit any underpayment or credit any overpayment to the deposit account (Deposit Account Number 50-2319).

## 8. Payment Information

a. Credit Card      Last 4 Numbers \_\_\_\_\_

Expiration Date: \_\_\_\_\_

b. Deposit Account Number: \_\_\_\_\_

Authorized User Name: \_\_\_\_\_

## 9. Signature:

Timothy A. Worrall, Reg. No. 54,552 for  
Robin M. Silva, Reg. No. 38,304

Name of Person Signing

Signature

September 7, 2005

Date

09/12/2005 DBYRNE 00000125 11004590

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

## ASSIGNMENT

WHEREAS, the undersigned

<sup>62</sup>  
*Los Angeles*  
**Gregory Alan Lazar**, resident of ~~West Covina~~, state of California;  
**John R. Desjarlais**, resident of Pasadena, state of California;  
**Philip W. Hammond**, resident of Sierra Madre, state of California;

(hereinafter termed "Inventor(s)"), has invented certain new and useful improvements in

### ***Methods of Generating Variant Proteins with Increased Host String Content and Compositions Thereof***

for which an application for a United States Patent was filed on **December 3, 2004** having Application Number **11/004,590** and

WHEREAS,

**Xencor, Inc.**, a corporation of the State of Delaware having a place of business at 111 West Lemon Avenue, Monrovia, California (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

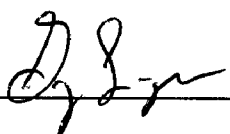
2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

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3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as of the dates below written adjacent to each of their respective signatures.

Signature of Inventor : 

**Gregory Alan Lazar**

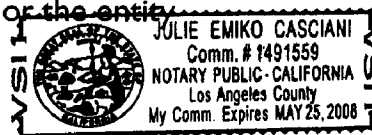
Date : 4/25/05

County of Los Angeles )  
State of California ) ss.

On this 25th day of April in the year 2005, before me Julie Casciani Notary Public of the State of California personally appeared Gregory Alan Lazar personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature 



(Seal)

Signature of Inventor :

John R. Desjarlais

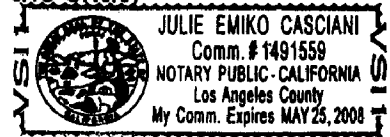
Date :

County of Los Angeles )  
 ) ss.  
State of California )

On this 26<sup>th</sup> day of April in the year 2005, before me  
Julie Emiko Casciani Notary Public of the State of California personally  
appeared John R. Desjarlais personally known to me (or proved to me on the  
basis of satisfactory evidence) to be the person whose name is subscribed to the within  
instrument, and acknowledged that he/she executed the same in his/her authorized  
capacity(ies), and that by his/her signature on the instrument the person, or the entity  
upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature



(Seal)

Signature of Inventor : Philip W. Hammond

**Philip W. Hammond**

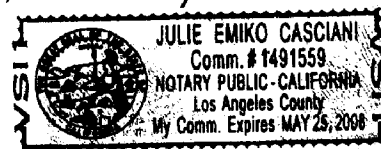
Date : 04/25/05

County of Los Angeles )  
 ) ss.  
State of California )

On this 25<sup>th</sup> day of April in the year 2005, before me  
Julie Emiko Casciani Notary Public of the State of California personally  
appeared Philip W. Hammond personally known to me (or proved to me on the  
basis of satisfactory evidence) to be the person whose name is subscribed to the within  
instrument, and acknowledged that he/she executed the same in his/her authorized  
capacity(ies), and that by his/her signature on the instrument the person, or the entity  
upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Julie Emiko Casciani



(Seal)