

09-15-2005

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

<div style="display: flex; justify-content: space-between;"> <span>9/12/05</span> <span>RE</span> <span>103081706</span> </div> <div style="text-align: center; font-weight: bold; font-size: 1.2em;">PATENTS ONLY</div>	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
<b>1. Name of conveying party(ies)</b> HO SUNG CHO THOMAS O. DANIEL  Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>AMBRX, Inc.</u> Internal Address: _____ Street Address: <u>10410 Science Center Drive</u> City: <u>San Diego</u> State: <u>California</u> Country: <u>U.S.A.</u> Zip: <u>92121</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>AUG. 23, 2005; AUG. 23, 2005</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No. (s) <u>11/155,909</u> B. Patent No. (s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>John W. Wallen, III</u> Internal Address: <u>AMBRX, Inc.</u> Street Address: <u>10410 Science Center Drive</u> City: <u>San Diego</u> State: <u>California</u> Zip: <u>92121</u> Phone Number: <u>(858) 875-2403</u> Fax Number: <u>(858) 453-9511</u> Email Address: <u>john.wallen@ambrx.com</u>	<b>6. Total number of applications and patents involved:</b> <u>1</u> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> \$ <u>40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
<b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>503417</u> Authorized User Name <u>John W. Wallen, III</u>	
<b>9. Signature:</b> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">             Signature  <u>John W. Wallen, III</u>            Name of Person Signing         </div> <div style="text-align: right;"> <u>September 9, 2005</u>            Date         </div> </div>	
Total number of pages including cover sheet, attachments, and documents: <span style="border: 1px solid black; padding: 2px 10px;">11</span>	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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Form PTO-1595  
RECORDATION FORM COVER SHEET  
PATENTS ONLY  
PAGE 2

PATENT APPLICATION NO.(S): 11/155,909  
RECEIVING PARTY(IES): AMBRX, Inc.

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

TROY E. WILSON	Executed:	AUGUST 23, 2005
THOMAS P. CUJEC	Executed:	AUGUST 30, 2005
FENG TIAN	Executed:	SEPTEMBER 8, 2005
ANNA-MARIA HAYS	Executed:	SEPTEMBER 8, 2005
BRUCE E. KIMMEL	Executed:	AUGUST 24, 2005
LILLIAN HO	Executed:	AUGUST 26, 2005

**A S S I G N M E N T**

Serial No.: 11/155,909  
Filed: JUNE 17, 2005

WHEREAS, HO SUNG CHO, residing in SAN DIEGO, CALIFORNIA, THOMAS O. DANIEL, residing in LA JOLLA, CALIFORNIA, TROY E. WILSON residing in SAN MARINO, CALIFORNIA, THOMAS P. CUJEC, residing in SAN DIEGO, CALIFORNIA, FENG TIAN, residing in SAN DIEGO, CALIFORNIA, ANNA-MARIA HAYS residing in LA JOLLA, CALIFORNIA, BRUCE E. KIMMEL, residing in SAN DIEGO, CALIFORNIA, and LILLIAN HO, residing in SAN DIEGO, CALIFORNIA (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

**"NOVEL ANTIGEN-BINDING POLYPEPTIDES AND THEIR USES"**

for which they have on the 17th day of JUNE, 2005 executed an application for Letters Patent of the United States; and

WHEREAS, AMBRX, INC., a corporation of the State of DELAWARE, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title, and interest therein;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to their moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and does hereby sell, assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, non-provisional, international, and national stage applications thereof, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that they have a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to themselves, promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 23<sup>rd</sup> day of August, 2005.

Ho Sung Cho  
HO SUNG CHO

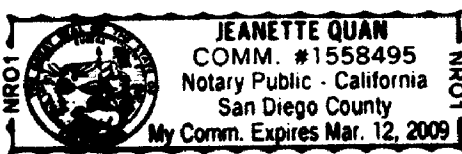
STATE OF CALIFORNIA)

SS:

COUNTY OF SAN DIEGO)

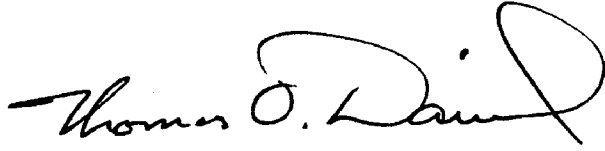
On this 23<sup>rd</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared HO SUNG CHO, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]  
Notary Public

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 23<sup>rd</sup> day of August, 2005.

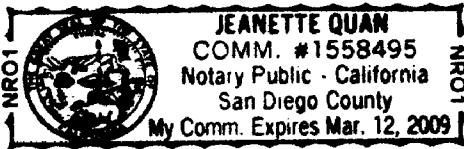


THOMAS O. DANIEL

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

On this 23<sup>rd</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared THOMAS O. DANIEL, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 23 day of August, 2005.

  
\_\_\_\_\_  
TROY E. WILSON

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

On this 23<sup>rd</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared TROY E. WILSON, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
Notary Public

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 30 day of August, 2005.

Tom Cuje  
THOMAS P. CUJEC

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

On this 30<sup>th</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared THOMAS P. CUJEC, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Jeanette Quan  
Notary Public

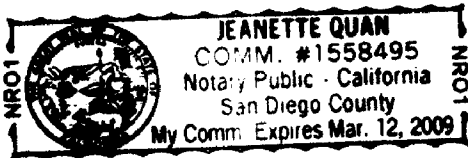
IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 8 day of 09, 2005.

  
FENG TIAN

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

On this 8<sup>th</sup> day of September, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared FENG TIAN, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

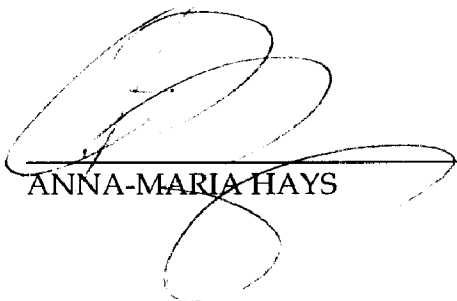
WITNESS my hand and official seal.



  
Notary Public



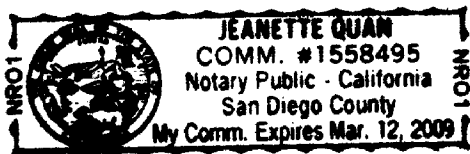
IN TESTIMONY WHEREOF, Assignor has hereunto set her hand and seal this 8 day of September, 2005.

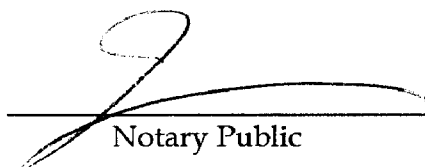
  
ANNA-MARIA HAYS

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

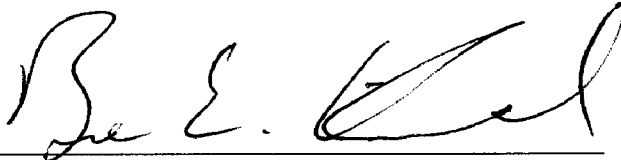
On this 8th day of September, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared ANNA-MARIA HAYS, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
Notary Public

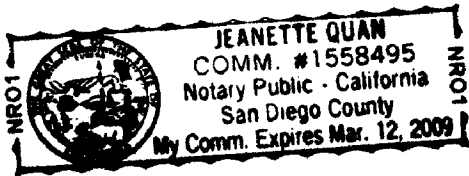
IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 24 day of August, 2005.

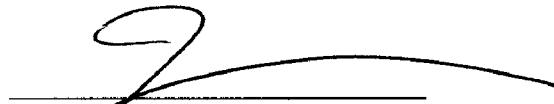
  
\_\_\_\_\_  
BRUCE E. KIMMEL

STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

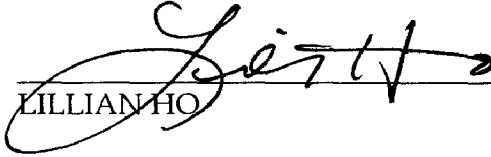
On this 24<sup>th</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared BRUCE E. KIMMEL, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
Notary Public

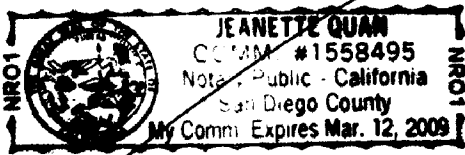
IN TESTIMONY WHEREOF, Assignor has hereunto set her hand and seal this 26<sup>th</sup> day of August, 2005.

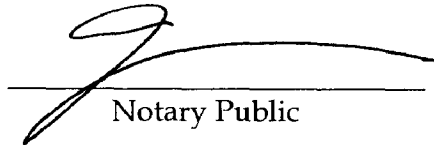
  
LILLIAN HO

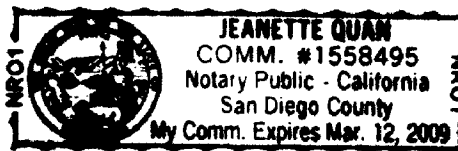
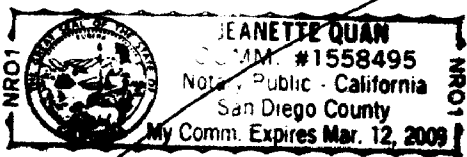
STATE OF CALIFORNIA)  
SS:  
COUNTY OF SAN DIEGO)

On this 26<sup>th</sup> day of August, 2005, before me, JEANETTE QUAN, a Notary Public, personally appeared LILLIAN HO, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
Notary Public



## Novel Antigen-Binding Polypeptides and Their Uses

### Inventors:

Ho Sung Cho  
Thomas O. Daniel  
Troy E. Wilson  
Thomas P. Cujec  
Feng Tian  
Anna-Maria Hays  
Bruce E. Kimmel  
Lillian Ho

Date of Deposit: September 9, 2005

I hereby certify that this Recordation Form Cover Sheet (2 pages), Assignment document (signed original; 9 pages), and return postcard are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 CFR § 1.8 on the date indicated above, addressed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, Virginia 22313-1450

By: \_\_\_\_\_

Jeanette Quan

Attorney Docket: AMBX-0038.00US