

Client/Matter No. 10095/34

09-09-2005

RECORDATIC
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To the Director of the U.S. Patent and Trademark Office:

the new address(es) below:

1. Name of conveying Party(ies)/Execution Date:

Phosistor Technologies, Inc.
7079 Commerce Circle
Pleasanton, CA 94588

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of receiving Party(ies):

Name: Seng-Tiong Ho
Internal Address:
Street Address: 120 Picardy Lane
City: Wheeling
State: IL
Country: Zip: 60090Additional name(s) and addresses attached? ☐ Yes ☒ No112987 U.S. PTO
11/216758
083105

3. Nature of Conveyance:

Execution Date(s): August 31, 2005

- ☒ Assignment ☐ Change of Name ☐ Executive Order 9424, Confirmatory License
☐ Security Agreement ☐ Merger ☐ Joint Research Agreement
☐ Government Interest Assignment ☐ Other

4. Application or patent number(s).

A. Patent Application No.(s)
10/083.674☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312) 321-4200
(312) 321-4299 Fax6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card.
☐ Authorized to be charged to Deposit Account No. 23-1925.
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

- a. ☐ Credit Card: Last 4 Numbers
Expiration Date
b. ☒ Charge fee and/or any Deficiencies to Deposit
Account Number: 23-1925
Authorized User Name: Brinks Hofer Gilson & Lione

9. Signature:

Signature

David W. Okey, Reg. No. 42,959

Name of Person Signing

August 31, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 016989 FRAME: 0499

Case No. 10095/27**ASSIGNMENT**

WHEREAS, Phosistor Technologies, Inc., a corporation organized and operating under the laws of the State of Delaware, and having a place of business at 7079 Commerce Circle, Pleasanton, Calif, hereinafter called the "Assignor", owns the entire right, title and interest in U.S. Pat. Appl. 10/083,674, filed on October 19, 2001, and entitled Integrated Planar Composite Coupling Structures for Bi-Directional Light Beam Transformation Between a Small Mode Size Waveguide and a Large Mode Size Waveguide;

And WHEREAS, Seng-Tiong Ho, an individual residing at 120 Picardy Lane, Wheeling, IL, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application, and any patents that may issue for said inventions in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignor hereby covenants and agrees, for both the Assignor and the Assignor's legal representatives, that the Assignor will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in

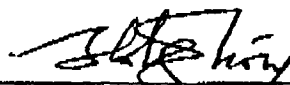
vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED:

31 Aug 2005



Seng-Tiong Ho, President
Phosistor Technologies, Inc.

STATE OF

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) ss.

COUNTY OF

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I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Seng-Tiong Ho, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed delivered the instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 31st day of August, 2005.

Notary Public

My Commission Expires: